# **Annual Report 2002**

**Selected Results** 

Berlin 2003

#### Captions of cover images



- Fig. 1: "Texture modification in titanium layers with heavy ions" (HMI at BESSY / SF4). Pole figure of the irradiated area of the 3µm thick sample.
- Fig. 2: "Intracellular Ca<sup>2+</sup>-dynamics as a stochastic medium" (SF5). Nucleation of a calcium wave with increasing concentration in time.
- Fig. 3: "Preparation of wide band gap materials by structure conformal conversion" (SE 2). Columns of  $Ag_2S$  from columnar ZnS reacted with highly diluted AgNO<sub>3</sub> solution.
- Fig. 4: "Analysis of buried interfaces in Cu(In,Ga)(S,Se)<sub>2</sub> thin film solar cells by X-ray emission and photoelectron spectroscopy in the CISSY apparatus" (SE 2). CISSY endstation with attached glovebox.

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#### **Table of Contents**

Foreword	V
STRUCTURAL RESEARCH	1
Large Scale Facilities / User Service	
BENSC – Berlin Neutron Scattering Center	
- BENSC-Operation	2
- Neutron spin echo technique for neutron reflectometry	5
- Resolution Theory for Neutron Spin Echo Spectroscopy on Three-Axis Spectrometers	6
Multi-spectral neutron beam extraction	7
- Interaction of enzymes with antincial interfaces	8
tion	. 9
- Field-induced condensation of magnons in an axial Haldane-gap antiferromagnet	10
- Bose-Einstein Condensation in dimer quantum spin systems	11
- Investigation of the microstructure of ferrofluids using SANS	12
ISL – Ion Beam Laboratory	
- ISL Operations and Developments	13
- Injector Developments at ISL	15
- Design Study for a 250 MeV-Proton Therapy Facility in Berlin	17 10
- Selforganisation of NiO layers under swift heavy ion irradiation	21
- Stoichiometry and density of reactively sputtered WS <sub>x</sub> thin films	22
HML at BESSY	
- The elliptical undulator UE46 of high brilliance and variable polarization for studies of magnetic	
nanostructures at BESSY	24
- A Self-Consistent Method for Multiaxial Residual Stress Analysis by Energy-Dispersive Diffraction	25
- Micro-Tomography for structural analysis of metallic foams	26
- rexture modification in titanium layers with neavy ions	21
NAA-Laboratory and Irradiation Service at BER II	
- Neutron Activation Service at BER II	. 29
Scientific Departments	
SF1 – Methods and Instruments	
- Fe(NCS) <sub>2</sub> (d <sub>4</sub> -pyrazine) <sub>2</sub> :A molecular-based system that behaves as a 2D S=1/2 Ising square lattice .	30
- Free quantum rotors in inclusion compounds: a study of NH <sub>3</sub> rotations in Hofmann-type clathrates	~
Using neutron spectroscopy	31
- New Integration method for three-dimensional bragg peaks	. 32
SE2 – Magnetism	
- A frustrated molecular magnet	34
- Studies of U <sub>2</sub> Pd <sub>2</sub> In single crystal up to 17 T	35
- Exposure of the EXAFS background	36
SF3 – Materials	
- Chemical gradients in superalloy Inconel 706	37
- Structure investigations in nanomaterials using SANS	38
SF4 – Structure and Dynamics	
- Guided transmission of 3 keV Ne7+ ions through nanocapillaries in PET polymers: dependence on	
the capillary diameter	41
- IOH-ITACK DASED MICTOSTRUCTURES FOR Electronic Applications	42 ⊿ר
- ASPIC*: Dominance of coordination-number dependence in a zero-dimensional magnetic system	44
- Anomalous emission of secondary positive ions from BeO films irradiated by swift Au lons	45
- Giant Barkas effect observed for light ions channelling in Si	46

SF5 – Theoretical Physics	
- Plasticity in current driven vortex lattices	47
- Dipolar tracer studies in F-Actin fluctuations	48
- Light scattering and structural relaxation	49
- A new Thermodynamics - from nuclei to stars	50
- Domain wall roughening in disordered dipolar films	51
- No noise – no wave – Intracellular Ca2+-dynamics as a stochastic medium	52
- Biological macromolecules: kinetics & structure	53
- Trainc jam on molecular highways	54
Motelleprotein menning in histological tique acctions by Micro SPVPE	
- Metallopiotein mapping in histological lissue sections by Micro-SRARF	55
- Selenium and selenoproteins in the central hervous system	50
- Selenium and brain functions. Discovery of a novel role of selenium in neuroprotection	57
SF7 – Nuclear Measurements	
- Measurement of the charge dependence of energy losses in thin media	58
- Structure investigations on <sup>16</sup> C and <sup>14</sup> C	60
- Parity Doublets as Indications of Cluster Structure in Nuclei	62
- Cluster Emission in Compound Nuclear Reactions	63
<ul> <li>Development of high-rate and high-resolution thermal neutron imaging detectors</li> </ul>	64
	65
	00
SE1 – Silicon Photovoltaics	
- Aluminium-induced layer exchange – Influence of the oxide layer	66
- Amorphous/crystalline-silicon heterojunction solar cells	67
	69
SE2 – Heterogeneous Material Systems	
- Recombination in Cu(In, Ga)S <sub>2</sub> thin-tilm solar cells	70
- Chaicopyrite Thin Film Landem Cell With $V_{0C} = 1.2V$	
- CuGaGe <sub>2</sub> (finit limits prepared by a nover chemical Close-Spaced vapor transport (CCGVT) tech-	72
- II GAR-ZnO/CIGSSe solar cells – effects of damp/heat exposure	
- Preparation of wide band gap materials by structure conformal conversion	74
- Phthalocyanine/C <sub>60</sub> organic solar cells	75
- Kelvin Probe Force Microscopy: Direct observation of electronic grain boundary properties	76
- Analysis of buried interfaces in Cu(In,Ga)(S,Se) <sub>2</sub> thin film solar cells by X-ray emission and photo-	
electron spectroscopy in the CISSY apparatus	77
SE3 – Technology	
- CuInS <sub>2</sub> mini-modules - preparation and testing	79
- CuInS <sub>2</sub> quality assessment by Raman spectroscopy	81
SE4 – Dynamics of Interfacial Reactions	
- A new application of NOPA: Generation of sub-20 femtosecond laser pulses in the visible and near	
infrared at 100 kHz repetition rate	82
<ul> <li>Experimental proof of electron injection with finite lifetime from the exited perylene chromophore an</li> </ul>	-
chored on TiO <sub>2</sub> with a carboxyl group	83
- In-situ Control of MOCVD grown GaSb(100)	84
- Energies of unoccupied and occupied electronic surface states on in-rich (2x4)-reconstructed	95
	00
SE5 – Solar Energetics	
- Electrochemical Surface Conditioning	86
- Growth monitoring of reactively sputtered MoS and WS thin films	סא ממ
- Growin monitoring of reactively sputtered MOS <sub>x</sub> and WS <sub>x</sub> thin hims	09

## Foreword

With this report we present some selected results of the research and development activities in the Hahn-Meitner-Institute during the year 2002. We hope in this way to give an idea of the wide spectrum of scientific activities in HMI and of the quality of the work performed by both our own staff and the large community of external users of our facilities.

2002 also saw several evaluation procedures and, in particular, preparations for the new program-oriented funding system (POF) of the Helmholtz Association HGF.

Our Solar Energy Division successfully passed the evaluation by the Scientific Council due every four years. This was the basis for the formulation of the programmatic strategy for the period 2004 to 2008 in preparation for the first evaluation within the POF.

The first effectual funding decisions in the POF scheme affirmed the contributions to the HGF research field "Health" for the period 2003 to 2007. Here HMI participates with its R&D accompanying the tumor therapy in the Ion Beam Laboratory ISL and the research of the department SF6 studying the role of trace elements in the living body.

In summer 2002 the German Wissenschaftsrat published its evaluation of future large scale facilities in Germany.

Based on it and the subsequent decisions of the Federal Government, efforts to prepare for a European Spallation Source will be scaled down.

A final evaluation of the HMI project N40T, the installation of up to 40 Tesla magnetic fields for neutron scattering experiments, was post-poned as the full scale Scientific Case and the technical Design report was available in early 2003 only.

Still, several of the results reported in this volume underline that experiments in high magnetic fields represent one of the high lights of the research possibilities at BENSC.

A new 15 Tesla magnet got operational in.2002. The erection of a second Neutron Guide Hall was one of the first priority tasks in 2002, construction work will start in summer 2003. This hall will house, among others, a new diffractometer specifically designed for experiments in extreme magnetic fields.

At BESSY, the build-up period comes towards its end. The first of the two "HMI insertion devices", the undulator UE46 is installed and the adjacent beam line for x-.ray spectrometry and reflectometry is in operation. The installation of the very ambitious 7 Tesla Wiggler is now scheduled for early 2004.

In the responsibility of the Solar energy Division, the experimental station CISSY for in-situ studies of solar energy devices and the TGM7 experimental station for the study of semiconductor interfaces are operational, too.

Looking back on 2002, we again gratefully acknowledge the very dedicated work of our staff and of the large external community using our facilities. Going without saying this includes the engaged work of the staff outside the scientific departments – from information technology over technical units to the administration. We equally appreciate the critical and always helpful backing by our advisory boards and the trustworthy support by the federal government and the Senat of Berlin. Our thanks go to everybody for his share to preserve HMI as a place to do exciting scientific work well noticed by the national and international community. Besides the activities directly connected to the user service at the large scale facilities BER II / BENSC, ISL and the HMI installations at BESSY, contributions in this report are arranged along the departmental organisation of the scientific divisions. (Reference to the department and the R&D-project is given in the top lines).

To this annual report, HMI publishes a separate supplement containing listed information on Publications Conference contributions Invited lectures Patents and patent applications Academic education Appointment and awards Participation in external scientific bodies and committees Organization of conferences and meetings Contributions to exhibitions / fairs / events Guests Co-operation partners External funding Both the report and the separate supplement are available as download on the homepage of the Hahn-Meitner-Institute:

www.hmi.de/pr/druckschriften.html

or can be referred on request over the public relations office of the institute:

> Hahn-Meitner-Institut Berlin Öffentlichkeitsarbeit Glienicker Strasse 100 D-14109 Berlin e-mail: info@hmi.de

More detailed information on the experimental activities at BENSC and ISL are published in separate reports:

## Division SF Structural Research (Structure and Dynamics in Condensed Matter)

The division Structural Research is formed by six departments which primarily use the three large scale facilities BER II / BENSC, ISL and BESSY. Although the study of the microscopic structure in condensed matter is the main field of research, the large scale facilities are also used for specific applications in health care and environmental protection (eye tumour therapy with proton beams, activation analysis with neutron beams).

The scientific program comprises three complementary issues: to provide a large national and international user community with top of the line research feasibilities at BENSC, ISL and BESSY, to develop new methods and instruments, and to do in-house research with instruments and methods built and developed at HMI.

Methods developed at HMI are e.g. in the fields of electron microscopy, field ion microscopy, X-ray diffraction, neutron scattering instruments, and extreme sample environment (low temperatures in the mk range, magnetic fields of up to 15 Tesla).

The division Structural Research comprises 6 scientific departments:

SF1 Methods and Instruments SF2 Magnetism SF3 Materials SF4 Strukture and Dynamics SF5 Theoretical Physics SF6 Trance Elements and the group SF7 Nuclear Measurement Technique.

The three first mentioned departments form the Berlin Neutron Scattering Center BENSC, SF4 is responsible for the operation and the development of the **Ion Beam Lab** and the **Eye Tumor Therapy**, and SF6 organizes the user service for the **Neutron Activation Analysis**.



## **BENSC-** Operation

#### Director: Prof. Ferenc Mezei

It is the mission of the Berlin Neutron Scattering Center (*BENSC*) to develop and operate the scientific instruments at the Berlin research reactor BER II. About 70 % of the beam time at the most important instruments is made available to external scientific user groups from all over the world.

On a **regional and national scale** BENSC performs a principal task of the Hahn-Meitner-Institute: Service for research groups

- from German Universities
- and from other public funded research institutions.

The relevant duties assigned to BENSC cover a broad range from contributions to scientific education up to performance of high ranking scientific research. An aim of increasing importance is

- scientific and technical support for industrial applications.

This support for industry is mainly based on cooperation agreements, where the partners produce the relevant samples and in return profit from the advanced analytical methods available at BENSC and from the highly specialized know-how of the BENSC staff.

On the **international scale** BENSC plays an important role in the round of European research centers. The growing international importance of BENSC is underlined by the fact that the number of short-term guest scientists from abroad exceeds the number of German visitors (see table 1) and has been stimulated by participating in the Access to Research Infrastructures Programmes of the European Commission.

The service orientation of BENSC requires a higher degree of reliable provision, preservation and development of know-how than this applies to rather basic research oriented institutions.

#### **Profile of BENSC**

The profile of BENSC is characterized by the exceptionally wide range of the instrumentation, which allows experiments to be performed in many areas ranging from basic to applied research. Several advanced BENSC instruments provide neutron intensities and resolutions competitive with the best available worldwide, including those operating at high flux reactors. Most of the instruments have the option of using polarized neutrons. Examples for unique advanced instrument options are, e.g., • the polarized neutron option SANSPOL, • the multidetector option the time-of-flight spectrometer NEAT, and the spin-echo instrument (SPAN) with the wide-angle NSE option. ♦ the flat-cone diffractometer 

and especially the sample environment. The leading role of BENSC for sample environment at extreme conditions is accepted worldwide: Experiments can be performed over a very large range of temperatures and/or magnetic fields.

The temperature range for routine use is 15 mK to beyond 1200 K; and magnetic fields up to 17 Tesla have been made available to routine user operation. The unique Cryostat (1.5 K < T < 300 K) with a split pair superconducting magnet and a vertical field up to 17 Tesla is the world's leading magnet system in a neutron scattering facility and up-to-now available only at BENSC. A second magnet of this type has gone operational in summer 2002.

The advanced instrumentation and the unique sample environment capabilities attract renowned research groups Europe- and world-wide, and even from other powerful neutron sources. Three examples of highlight results from external user groups are included below.



Fig. 1: Distribution of the BENSC instrument time

#### BENSC User Service

The BENSC user services provided for scientists of German universities and other national and international research institutions include

- Allocation of beam time to individual ("short-term") projects of external groups on the basis of peer reviewing by an international user committee and extensive scientific and technical support for the preparation, the experiments and the data evaluation. Logistic support and travel support for young researchers is provided.
- Long-term scientific and technical projects on the basis of co-operation agreements, which mostly include the operation of instruments for which a contingent of beam time is allocated to the group.

An amount of at least 50 % of the beam time of the 14 most important instruments operated by BENSC is reserved for individual scientific projects, up to 20% of the beam time would be made available for long-term co-operation projects.

#### Long-term co-operations

Long-term co-operation projects are not only in the interest of the respective co-operations partners but also increase the manpower at BENSC and broaden the capacities for scientific support to the external users. In the path breaking field of soft matter research for instance, which until recently was not a core field of HMI's own scientific program, BENSC has established close collaborative links with the Institute of Biochemistry of the Technical University Darmstadt, the Iwan-N.-Stranski Institute of the Technical University Berlin, and the Max-Planck Institute of Colloids and Interfaces in Golm/Potsdam.

The most important long-term co-operation partners from the region of Berlin-Brandenburg are

- Technische Universität Berlin, Iwan-N.-Stranski-Institut für Physikalische und Theoretische Chemie, (Prof. G.H. Findenegg)
- Max-Planck-Institut f
  ür Kolloid und Grenzflächenforschung, Golm/Potsdam (Prof Dr. H. M
  öhwald)
- Technische Universität Berlin, Institut f
  ür Werkzeugwissenschaften und -technologie, Metallphysik, (Prof. W. Reimers)
- Technische Fachhochschule Berlin, (Prof. W. Treimer)

Other national long-term co-operation partners in 2002 are

- Institut f
  ür Kristallographie, Universit
  ät T
  übingen (Prof. W. Prandl <sup>†</sup>/Prof. Ihringer)
- Institut f
  ür Biochemie Technische Universit
  ät Darmstadt (Prof. N. Dencher)
- Institut f
  ür Physikalische Biologie Universit
  ät D
  üsseldorf (Prof. G. B
  üldt)
- FB Materialwissenschaften Technische Universität Darmstadt (Prof. H. Hahn)
- Institut f
  ür Festk
  örperphysik
  Technische Universit
  ät Darmstadt
  (Prof. H. Wipf)

## Individual short-term research proposals of external users

It is the key issue of the BENSC user service to provide external groups with beam time and intensive scientific support for individual scientific experiments. This service is meant for both German groups and the international scientific community. The inclusion of new applications for beam time is decided by an internationally composed Scientific Selection Panel in semi-annual selection rounds on the basis of scientific merit of the proposals.

<u>External</u> <u>short term</u> proposals year 2002	Number of accepted <b>projects</b>	Allocated instrument <b>days</b>	Number of total <b>rejections</b>
D - Univ.	44	260	9
D - other	28	180	5
EU + Assoc + CH	94	605	35
Russia + Ukraine	20	200	15
USA, JAP, AUS,CAN, and other	19	185	16
	205	1430	80

Table 1: Allocations of BENSC instrument time for single projects in 2002 by the Scientific Panel, listed by country of home institution of principal proposer.

## BENSC – A European neutron facility, Funds from the European Commission

The remarkably high number of guest scientists from member countries of the European Community have been stimulated by successfully applying for funds from the programs of the European Commission to support the transnational access to large scale research infrastructures. BENSC and users of BENSC are presently supported by 600 000 € per year. This funding under the 5<sup>th</sup> Framework Programme of the EU is effective for the support of external BENSC users until February 2004.

Human Potential Programme, Transnational Access to Research Infrastructures (IHP-ARI):

- Contract HPRI-CT 1999-00020 (2/2000-1/2003) (Total value: 1500 000 Euro)
- Contract HPRI-CT 2001-00138 (11/2001-2/2004) (Total value: 650 000 Euro)

An analysis of the distribution of the beam time allocation for EU users demonstrates that a high number of users does not only come from countries which do not have a national research neutron facility, such as Italy, Spain or Greece. Strong user contingents also come from the UK and France to take advantage of options which are not available at their national neutron facilities or at the leading European reactor neutron source, the ILL in Grenoble. The fulfilled training aspect of the EU support is proved by the age distribution of the visitors (fig. 2.)

#### Scientific results of the external users at BENSC

The scientific interim reports on most recent experimental results guarantee for a rapid dissemination of the results of external users of BENSC.



These interim reports are published annually under the label of "BENSC EXPERIMENTAL REPORTS", and the year 2002 Volume, HMI-B 590 (ISSN 0936-0891), is distributed to all users of BENSC in May 2002 as cd rom and/or in printed form. The reports for 2000 through 2002 are also available on the BENSC internet pages.

The year 2002 volume includes 250 reports of external and internal users, with 62 contributions on EU IHP-ARI supported projects. A rough split-up of the number of reports into the different fields of science is displayed in Fig.3.



#### *Fig.3:* Fractions of Experimental Reports in HMI-B 590 listed by field of science

This rapid distribution of results is complemented by oral and poster contributions to national and international conferences. Publication in internationally renowned peer reviewed journals normally follows the experiments with a delay of 1 to 2 years. Since as a rule at least one collaborating BENSC scientist is among the authors the year 2002 publications of external users are included in the publication lists of the departments SF1, SF2 or SF3. A complete compilation of the year 2002 publication lists for all BENSC users and BENSC staff members is included in the volume "BENSC EXPERIMENTAL REPORTS 2002", HMI-B590, April 2003.

The majority of the BENSC publications has an external user as principal author, and a remarkably high fraction is published in high ranking journals:

Journal Impact Factor	total number of BENSC publications	BENSC publica- tions @ <u>external</u> <u>BENSC user</u> is principal author
>4 - 30 e.g.: Nature, Phys. Rev. Letters, Biophys. J.,	5	5
>3 - 4 e.g.: Chem. Commun., Macro- molecules, J. Phys. Chem. B, J. Chem. Phys., Phys. Rev. B;	18	10
>1,5 - 3 e.g.: Langmuir, Phys. Rev C, J. Appl. Cryst., Europhys. Lett	18	12
1,7 Appl. Phys. A	89	62
>0,9 - 1,5 e.g.: JMMM, Thin Solid Films, Nucl.Inst.Meth, J. Alloys Comp.,	35	20

#### Neutron spin echo technique for neutron reflectometry

A new method was developed to discriminate between specular and diffuse scattering in neutron reflectometry. This method, based on the neutron spin echo technique, allows the use of large beam divergence and simultaneously achieves good resolution. In addition to that large increase in intensity, the method opens up the possibility to measure the reflectivity curve of rough samples.

The essential conceptual point is that, at least for nonmagnetic samples, Larmor precession of a neutron's spin can be used to encode the difference between those components of the wave vectors of incident and reflected neutrons that are perpendicular to the reflecting sample. The fully polarized spinecho signal is obtained only when these two components are equal, i.e. when specular reflection occurs. Neutrons that are diffusely reflected by the sample will, on average, contribute nothing to the echo polarization and hence can be distinguished from specularly reflected neutrons. The setup used on the neutron reflectometer V6 could achieve an angular resolution (i.e., the minimum angular deviation from the specular condition that can be detected) of about 1 mrad.





Fig. 1: Geometry of the NSE set-up. The upper part of the Figure is a three-dimensional rendering while the lower panel is a top view showing only the  $\pi/2$ rotator films and the precession films. The neutron trajectory is indicated by bold lines in the upper part of the Figure. The magnetization, **M**, in each of the  $\pi/2$  rotator films is along the (1,1,0) direction. A uniform magnetic guide field of  $H_g \sim 20$  Oe is applied in the y direction over the whole apparatus.

The geometry of the apparatus as installed on V6 is shown in Fig. 1. The precession films as well as the  $\pi/2$  rotator films are 30  $\mu$ m thick permalloy films. The  $\pi/2$  rotator films turn the neutron spin from the y into the x direction (or vice versa), whereas the neutrons accumulate typically about 440 radians of precession in the precession films.

With our apparatus arranged with all the films shown in Fig. 1, but without a reflecting sample, we obtained the polarization signal shown in Fig. 2 as a function of the tilt angle between the second precession film and the neutron beam.



Fig. 2: Measured polarization for the apparatus depicted in Fig.1 but without reflecting sample. the polarization is recorded as a function of the tilt angle between the second precession film and the neutron beam.

As can be seen from Fig. 2 the polarization is only about 0.4. That loss of echo amplitude may be due to several reasons. One origin is probably small angle scattering in the polycrystalline copper seed layer that is used during the electrochemical deposition of the permalloy films. Another origin may be the inhomogeneity of the permalloy film thickness leading to depolarization. Further experiments are planned to improve that situation.

We also performed measurements on a smooth Si wafer that led to the same results as those shown in Fig. 2 for the direct beam.

As a conclusion we have demonstrated successfully the neutron spin echo method in a reflectometry setup. All components worked as intended and the apparatus itself is inexpensive and simple to construct. The method allows removing diffuse scattering from the measurement of specular reflectivity with an accuracy comparable to that achievable with milliradian collimation. In contrast to traditional methods of achieving this level of resolution, however, the spin echo method permits the use of relatively poorly collimated beams and hence can provide substantial gains in signal intensity.

[1] Jons, Rev. Sci. Instrum. 73, 2948 (2002)

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### Resolution Theory for Neutron Spin Echo Spectroscopy on Three-Axis Spectrometers

Much of the success of an inelastic neutron scattering experiment on a triple-axis spectrometer (TAS) depends on the knowledge of the resolution function. This information is also an essential prerequisite in planning and data analysis of high resolution experiments using a combination of three-axis and neutron (resonance) spin echo (N(R) SE) spectroscopy. Applying an analytical approach based on the Gaussian approximation of the triple-axis transmission function, we have derived a resolution theory for TAS + N(R)SE. The formalism is adapted to the general case of dispersive excitations with the precession field regions tilted relative to the incident and scattered beams. The results of the theory are applied in an experimental study of lifetimes of transverse acoustic phonons in Pb.

The theory allows the determination of a resolution function, i.e. the polarization as a function of spinecho time. Intrinsic lifetimes can then be obtained by normalization of the experimental data with the calculated resolution function since a spin echo measurement is directly related to the intermediate scattering function.

When a planar dispersion and zero linewidth of the excitation are assumed, our approach includes instrumental effects resulting from the fact that without path integral corrections the Larmor phase depends on momentum variations in second order.

The approach does not take into account stray fields or field inhomogeneities as sources of signal depolarization since these quantities are difficult to describe analytically but can easily be determined experimentally in a direct beam experiment. An example of a calculated resolution function is shown in Fig.1.



Fig.1: Instrumental resolution function for different TAS scattering senses; [2 0.1 0] TA phonon in Pb.

Parameters adopted in calculations of the TAS resolution apply to the cold TAS instrument V2 (FLEX), where measurements of Pb phonons were performed. The results of the calculations show that the depolarization is slightly dependent on the chosen TAS scattering senses, but it becomes significant only at large  $\tau$  (current apparatus limits  $\tau_{\text{exp}} < 500 \text{ ps}$ ). The purely instrumental resolution is negligible in a broad range of spin-echo times.

We have also extended the matrix formalism used to calculate TAS resolution properties to include variations in the Larmor phase. The real part of the resolution matrix is the TAS resolution matrix, while the imaginary part reflects the Larmor phase of the neutrons reaching the detector. Despite simplifications in the calculation of the resolution function, the matrix approach allows a graphical visualization of resolution volumes and phase distributions in wavevector-energy-space (see Fig.2). Furthermore, spatial effects e.g. curved monochromators, finite size of sample and beam optical elements can be included when the matrix formalism is used.



Fig. 2: Intersections of the TAS resolution ellipsoid (red lines) and surfaces of constant phase for fixed spin echo time with selected planes in  $(Q, \omega)$ -space. Color indicates phase in radians.

Sample imperfections and the curvature of the dispersion surface may play important roles in lifetime measurements by leading to a signal depolarization distinct from intrinsic lifetimes. Sample mosaicity – important in transverse phonon measurements – and spread in lattice spacings – important in longitudinal phonon measurements – are included in our resolution calculations, where we assumed Gaussian distributions. Curvature of the four-dimensional dispersion surface in  $(Q,\omega)$ -space is included via a second order expansion of the dispersion relation.

Furthermore, inaccuracies in the dispersion data – necessary for the setting of the tilt angles and the frequencies applied to the RF-flipper coils – may lead to instrumental misalignment and, in consequence, to an additional signal depolarization. This effect is built in explicitly in our method.

Our approach offers the opportunity to calculate resolution effects with N(R)SE-TAS instrumentation and use the results for detailed planning of experiments. Instrumental resolution can be separated from the signal. Hence, the approach can be used for data correction. This is of particular importance since in general an experimental calibration of the inelastic signal is not possible and the only clue to absolute linewidth determinations is the calculated resolution.

K. Habicht, R. Golub, T. Keller für Metallforschung Stuttgart)

#### Multi-spectral neutron beam extraction

Different neutron scattering experiments require different incoming neutron energies to become at all feasible or to be performed under optimal conditions. For this reason, neutron sources are equipped with so-called neutron moderators of different temperatures. Each moderator emits an approximately Maxwellian distribution of neutron energies corresponding to the temperature of the moderator. Cold moderators (or cold sources) typically consist of liquid hydrogen at about 30 K temperature and the emitted neutron spectrum peaks around 5 meV energy (or 0.4 nm neutron wavelength). Thermal moderators consisting of ambient water or Beryllium emit spectra centered around 40 meV energy (or 0.15 nm neutron wavelength). In general, neutron scattering experiments are best performed with the lowest incoming neutron energy possible. For example to observe the emission of an elementary excitation of 10 meV energy, the neutron energy has to be higher then 10 meV.

Neutron scattering instruments on reactor sources are classified by the temperature of the incoming neutron beam to hot, thermal and cold neutron machines. In many experiments part of the information to be obtained requires the use of one category and another part another one, and actually two experiments need to be made on two instruments. A new invention from HMI (in collaboration with Los Alamos National Laboratory, Patent applied for on 22.01.2002) revolutionizes the art to obtain neutron beams: it allows us to produce beams containing more than one spectrum, for example, a full intensity thermal and a full intensity cold neutron beam. It is based on the switching action of a supermirror evaporated on a neutron transparent substrate, e.g. Si wafers, which faces side-by-side neutron moderators of different temperatures (Fig. 1). At short

neutron wavelength, the neutrons traverse the supermirror and the outgoing beam in the neutron guide sees the thermal moderator. At longer wavelength the supermirrors become nearly fully reflecting, and now the guide sees the cold moderator. The switching effect is well illustrated (Figure 2) by the transmission probabilities of neutrons coming one or the other moderator to emerge on the right hand side of the neutron guide in Figure 1. A particularly promising application of a neutron beam with this new multi-spectral nature is the study of magnetic samples: the determination of the atomic crystal structure requires neutrons in the 0.1 nm wavelength range, while 0.4 nm or longer wavelength neutrons offer the best or eventually only chance to detect Bragg peaks due to weak magnetic order.



**Fig. 2** Transmission coefficient of the multi-spectral beam extraction system shown in Fig. 1 for neutrons emerging form the thermal (red) and cold (blue) moderators

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## Interaction of enzymes with artificial interfaces

Whenever a protein solution is in contact with an artificial interface, e. g. the surface of a material, the interface will almost always be covered spontaneously by a layer of the protein molecules. This phenomenon is used in a wide field of applications, such as the development of biochips, the use of solid-phase immunoassays in medical diagnostics, and the separation and purification of proteins by chromatography. However, the adsorption of proteins may also have unfavourable consequences. For example, the formation of biofilms on contact lenses, mainly composed of lysozyme from the tear liquid, can cause eye infections and diseases (Fig. 1).



Fig. 1 When using contact lenses. the enzyme lysozyme from the liquid will tear adsorb the on surface of the lenses and а biofilm is formed can cause that eve diseases.

In order to control the amount of protein that is adsorbed at an interface, one has to understand the driving forces that lead to adsorption. In a thermodynamic view, these driving forces are characterized as being enthalpic or entropic. Enthalpic driving forces include attractive electrostatic interactions between the protein and the interface leading to a release of heat. On the other hand, an entropic driving force can result from conformational changes of the protein molecules to optimise surface contacts.

The enzyme staphylococcal nuclease (SNase) was used as model protein in our experiments. The biological function of SNase is the cleavage of DNA and RNA. The degree of adsorption of this protein on a negatively charged poly(styrene sulfonate) surface was studied under variation of the temperature and the pH-value of the protein solution. While a change of the pH-value will yield information about the role of electrostatic interactions, a temperature variation helps to estimate the relative weight of enthalpic and entropic contributions to the driving forces for adsorption.

Neutron reflectometry was used to analyse the structure of the poly(styrene sulfonate)/aqueous interface with adsorbed SNase molecules. Compared to other spectroscopic and reflectometric methods, this technique is most powerful to determine the structure of solid/aqueous interfaces, because neutrons can penetrate condensed matter over macroscopic distances, and their wavelength is relatively short to resolve structural details. In a neutron reflectometric experiment, a neutron beam is simply directed to the interface under different angles of incidence. The reflected beam is detected and normalised to the incident intensity.

From the analysis of the obtained neutron reflectivity curves (Fig. 2), it has been found that at pH = 7.1 the degree of SNase adsorption is increasing with increasing temperature from 2.3 mg/m<sup>2</sup> at 23 °C to 4.1 mg/m<sup>2</sup> at 43 °C. This temperature effect suggests that although strong attractive electrostatic interactions exist between

the protein and the surface, the degree of adsorption is not dominated by this enthalpic driving force but by processes which increase the entropy of the system. A similar temperature effect has been found at a lower pHvalue of 4.5, where the protein molecules carry a higher net positive charge. Here, the surface coverage is increasing from 1.1 mg/m<sup>2</sup> at 23 °C to 1.9 mg/m<sup>2</sup> at 43 °C. These lower amounts of adsorbed protein clearly demonstrate that the amount of adsorbed SNase on a polyelectrolyte surface is affected more strongly by repulsive electrostatic interactions between the protein molecules than by the attractive electrostatic interactions between the protein and the surface (Fig. 3). Similar observations have also been made in a neutron reflectivity study of lysozyme adsorbed at the silica/water interface [1]. Thus, it appears that attractive Coulomb interactions between a protein and an interface play a minor role for the degree of protein adsorption at interfaces.



**Fig. 2** Neutron reflectivity curves of a silica/water interface that is coated with a negatively charged polyelectrolyte. The black curve refers to this interface without adsorbed protein, while the other curves have been measured when staphylococcal nuclease was adsorbed (blue: 23 °C, orange: 43 °C). The data show that the degree of protein adsorption is increasing when the temperature is raised.



**Fig. 3** Positively charged staphylococcal nuclease was adsorbed on a negatively charged polyelectrolyte surface. The degree of adsorption largely depends on protein-protein interactions, whereas attractive electrostatic interactions between the protein and the surface play a minor role.

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### Critical adsorption in binary liquid mixtures by neutron reflectivity: Evidence for two-phase adsorption

Although commonly believed not to mix, equal volumes of water and oil when shaken together yield equal volumes of oily water and watery oil. These liquid phases can be made to mix fully in two ways. In the simpler, adding surfactant causes the liquids to appear miscible by forming a microemulsion, a dispersion of discrete microscopic oil or water-rich regions stabilized by surfactant adsorption at the vast interface between them.

The alternative is to heat the two coexisting liquid phases, so increasing their mutual solubility, until free mixing occurs at an upper critical point (UCP), for water + hydrocarbons usually well above 200°C. UCPs are not uncommon since their energetic origin, the slight relative weakness in the energy between unlike pairs, is found in most molecules,. Accordingly, most mixtures, in the absence of freezing, would liquid-liquid phase separate at low enough temperatures.

By contrast, some pairs of partially miscible liquids mix only when *cooled* to a lower critical point (LCP). This behaviour is entropically determined under hard-to-satisfy conditions. LCPs are thus rarer than UCPs and arise chiefly in aqueous or polymer solutions. A third even rarer mixture class exhibits both an UCP and an LCP; some with the LCP at a lower temperature than the UCP and others *vice versa*.

Despite this complexity, near-critical behaviour, although unusual and, at one time, unexpected, is the same in detail for all kinds of liquid mixture and identical to that of other critical systems, that range from molecules, as here, through magnetic systems to very unusual systems involving quarks.

The details, often involving divergences in physical properties, are astonishingly independent of the nature of the interparticle forces but heavily dependent on the dimensionality of the system. Invariably, critical behaviour flows from the divergence at the critical point of the appropriate correlation length, the distance at which the constituent particles enjoy cooperative behaviour.

Criticality behaviour was first noted by Andrews in pure carbon dioxide and then partially explained by van der Waals over a century ago. The importance of the field has been recognized since by the award of several Nobel prizes, most recently to Wilson in 1982 for his *theory for critical phenomena in connection with phase transitions*.

Since criticality reflects the coexistence between phases, unsurprisingly some of the most striking phenomena are interfacial. Theory has predicted, and subsequent observation and measurement has confirmed, that in the freely mixing region near the critical point, the adsorption of one component at a noncritical interface is very strong and at the CP essentially diverges to infinity.

At a CP, the divergent adsorption of one *component* transforms into a layer of one coexisting *phase* said to *wet* one of the spectator phases – either an adjacent immiscible fluid phase or one of the container walls as illustrated below for a mixture of a primitive surfactant 2-butoxyethanol +  $D_2O$  against a silicon wall. Here successive stages reflect increasing temperature from below to above the wetting region.



The macroscopic issues concerning wetting layers include the identities of the wetting and wetted phases and the extent of the wetting region. Deeper microscopic questions concern the structure of the layer: how thick is it, is it homogeneous, and how does the thickness fall off away from the critical point?

Neutron reflectivity offers an ideal route to resolving such matters. Recent work on the mixture above has cast light on the structure of the layers.[1] More recent work has revealed two fresh aspects of wetting.

The first is that the kinetics of formation of the wetting layer is sufficiently rapid that a wetting phase can reform spontaneously without stirring in a temperature-cycled mixture provided the sample is thin enough and the wetted area large enough thus removing a previous huge experimental uncertainty.



*R*-Q profile for  $CD_3OD + c-C_6H_{12}$  against Si/SiO<sub>2</sub>

The second, and more theoretically challenging, finding was the repeated appearance in the reflectivity profile of *two* critical edges, suggesting strongly that surface inhomogeneities in the solid spectator phase can yield different kinds of wettable region. These may be either discrete islands of one structure embedded in a continuous sea of the other or topographically connected bicontinuous regions. These observations, if real, mirror microemulsion structural forms and call for confirming study – not least of the uniformity of chemically coupled surfaces.

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# Field-induced condensation of magnons in an axial Haldane-gap antiferromagnet

One-dimensional (1D) integer-spin antiferromagnets (AFs) are famous for having a disordered "spin liquid" ground state and an energy gap in the excitation spectrum. Elementary excitations are a triplet of massive (gapped) long-lived "magnons". An external magnetic field modifies the magnon energies by virtue of Zeeman effect. At a certain critical field H<sub>c</sub> the gap in one of the branches approaches zero. The result is a condensation of magnons and the emergence of a qualitatively new ground state. What this new ground state actually is, depends on the symmetry of the problem. In the axially asymmetric (AA) case (e. g., when the field is at an angle to a magnetic anisotropy axis) the scenario is Isinglike. According to several theoretical models and to recent experimental work [1-3], the AA high-field state is characterized by true AF long-range order at low temperatures, and sports a triplet of massive breather-type excitations [3]. At elevated temperatures the system becomes a gas of quasiclassical magnetic solitons [2].

Theory predicts that the axially symmetric (AS) case (external field applied parallel to the anisotropy axis) is totally different. At high fields one expects a gapless "Luttinger spin liquid" state with quasi-longrange order and a diffuse continuum of particle/hole excitations (no sharp magnons). The purpose of the present experiment was to search for such unique behaviour in the Haldane-gap material NDMAP. Due to a combination of factors, NDMAP is currently the only compound in which the AS high-field state can be investigated by means of neutron scattering. The measurements were performed on the V2-FLEX 3-axis spectrometer. A horizontal field magnet was used to apply a magnetic field along the spinchain axis c, which is also the axis of magnetic anisotropy. The data were collected on a fully deuterated 1.5 single crystal sample at T=30 mK and in field as high as 6 T.

Figure 1 shows typical constant-q scans taken at the 1D AF zone-center at different values of applied field. As expected, the gap in the lower mode decreases with increasing field and closes at  $H=H_C\sim4$ T. A totally unexpected result is that at  $H>H_c$  the gap in the lower mode *re-opens*, just as it does in the AA geometry [3]. Moreover, an analysis of several const-E scans, performed paying special attention to instrument resolution effects, reveal no evidence of excitation continuum. The scattering observed in the present AS experiment can instead be attributed to long-lived magnons with a simple relativistic dispersion, just as in the AA case.

What *is* different in the current AS setting for NDMAP is that the gap increases *sublinearly* at  $H>H_c$  and seems to be close to saturation at  $H\sim6$  T (see graph in the x-y plane of Fig. 1). In previous AA experiments a *linear* behavior was seen in fields as high as 11 T. Such variance suggests that the reopening of the gap at  $H>H_c$  in the two experiments represents totally different underlying physics.



**Fig. 1**: Constant-q scans measured in NDMAP at T=30 mK for different values of magnetic field applied along the crystallographic c axis (color symbols). The data can be described by a single-mode cross section function if resolution effects are properly taken into account (color lines). The resulting field dependence of the gap energies are shown in the x-y plane.

Several theoretical explanations are currently being considered, but the most likely one attributes the gap in the AS geometry to weak but relevant interchain interactions. Since isolated AS Haldane spin chains at H>H<sub>c</sub> are *critical*, inter-chain interactions should have the same effect as for critical S=1/2 chains. In particular, inter-chain coupling, no matter how weak, induces long-range order. The latter binds free spinons into sharp spin wave-like breather excitations, and induces a gap in the spectrum. A similar mechanism may be responsible for the unexpected behavior observed in NDMAP in the present study.

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#### Bose-Einstein Condensation in dimer quantum spin systems

Quantum phase transitions are radical events in solidstate physics. The ground-state of a system changes at a critical value of an external parameter like pressure, composition or external magnetic field. This happens at the lowest temperature "T=0 K" in contrast to conventional phase transitions like for example the condensation of water vapour. The Bose-Einstein condensation is another phenomenon, which has fascinated physicists during the last decades. Gases of alkali atoms were cooled down to very low temperatures, where the particles condense, lose their individuality and behave as a single entity.

A combination of both effects could recently be observed in the magnetic insulator TICuCl<sub>3</sub>. A new type of magnetic ordering, which can be explained by Bose-Einstein condensation of magnetic quasi-particles, appears above the quantum critical point  $H_c \approx 6$  T of an external magnetic field. This novel field-induced ordered phase at H>H<sub>c</sub> is identified by its excitation spectrum.



**Fig. 1** The nuclear structure of TICuCl3 consists of characteristic pairs of copper (red) atoms, which are stacked along the a direction. The resulting "ladders" show two different orientations and are separated by the TI atoms (blue). The magnetic exchange couplings are mediated by the CI atoms (white).

The characteristic features of the TICuCl<sub>3</sub> crystal structure are the pairs of Cu<sup>2+</sup> ions, which are separated by the TI atoms (Fig. 1). A dominant antiferromagnetic exchange between the two S=1/2 spin moments inside these dimers leads to a non-magnetic singlet ground-state with total spin S=0. The excited states are threefold degenerate S=1 triplets, which can propagate along all directions due to a three-dimensional network of interdimer exchange couplings. A spin energy gap  $\Delta \approx 0.7$  meV separates the ground-state from the excited triplet waves.

Inelastic neutron scattering on TICuCl<sub>3</sub> single crystals has been used to investigate the evolution of the ground-state and the excitation spectrum as a function of the external magnetic field. The excellent possibilities at HMI to apply high magnetic field in combination with powerful neutron scattering instruments allowed collecting high-quality data in the parameter range of interest.

The triplet waves above the energy gap show *quad-ratic* dispersion in zero field (Fig. 2, upper panel). The application of an external magnetic field splits the excited triplet into three components with  $S_z=+1$ , 0, -1. The energy of the triplet  $S_z=+1$  component

intersects the ground-state singlet at a critical magnetic field H<sub>c</sub> and long-range magnetic order occurs. Thus H<sub>c</sub> is a quantum critical point separating a gapped spin-liquid state (H<Hc) from a field-induced magnetically ordered state (H>H<sub>c</sub>). The triplet components with Sz=+1 can be regarded as diluted bosons, thus Bose-Einstein condensation occurs in this dimer compound at the quantum critical point H<sub>c</sub>. However, these considerations do not stem from a diluted gas cooled below the de Broglie temperature, but from dimer states split at high magnetic fields. The magnetic excitation spectrum associated with the condensate has been theoretically predicted to be a gapless and linear Goldstone mode. The results at fixed T=1.5 K and H=14 T clearly indicated the coexistence of two higher-lying modes and such a low-lying mode (Fig. 2, lower panel). The higher-lying modes are gapped and retain a quadratic dispersion around the Bragg point, whereas the low-lying mode is gapless and linear. These experimental conclusions are in agreement with a comprehensive theoretical study of the fieldinduced ordered phase in TICuCl<sub>3</sub>. They do not only confirm the model description of the magnetic quantum phase transition realized in TICuCl<sub>3</sub>, but also provide a general reference for the excitation spectrum of quantum spin liquids in the field-induced ordered phase.



**Fig. 2** The excitation spectrum in TICuCl<sub>3</sub> changes drastically at the quantum critical point  $H_c \approx 6$  T (measured on the FLEX three-axis spectrometer, HMI Berlin). Upper panel: quadratic dispersion of the triplet excitations in zero field, H=0 T. Lower panel: gapless and linear spin wave excitations coexist with gapped and quadratic modes above the quantum critical point H=14 T>H<sub>c</sub>. The second gapped mode at higher energy is not shown because of weak intensity.

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#### Investigation of the microstructure of ferrofluids using SANS

Ferrofluids, stable suspensions of magnetic particles dispersed in a carrier liquid, combine both fluidic and magnetic properties. Due to the possibility to change their properties, especially their viscosity by means of magnetic fields, they are a challenging subject for fundamental research as well as technical and medical application. Experiments performed with different ferrofluids indicate that a magnetic field applied to a sheared sample induces an increase in viscosity with increasing magnetic field strength (the magnetoviscous effect). The magnitude of the effect depends on the preparation methods, composition and especially upon the concentration of large particles contained in the ferrofluids. The main topic of our project is the observation of chain like agglomerates or other structures formed in ferrofluids, which are assumed to be responsible for the reversible changes of the viscosity.

For the investigations of the microstructural changes of ferrofluids under different conditions of applied magnetic field and shear rate, we use a special designed rheometer that allows SANS measurements in the same experimental conditions as used for rheological studies.



Fig.1 Schematic sketch of the arrangement for SANS

Two commercial ferrofluids – Ferrotec APG513A from two different production lines – and an experimental ferrofluid – TOA (UT Timisoara) – were investigated, all three containing magnetite particles. The samples have a different content of large particles and thus different magnitudes of the magnetoviscous effect.

The experiments were carried out with magnetic fields ranging from 0 - 160 kA/m, parallel to the neutron beam. As a first step for the data evaluation we have considered the high shear situation (shear rate  $\dot{\gamma} = 200 \text{ s}^{-1}$ ), i.e. the single particle situation as a reference. To eliminate the contribution of the surfactant and of the carrier liquid to the scattering, this reference scattering pattern has been subtracted from the scattering patterns obtained for different shear rates varied within the range 0 - 200 s<sup>-1</sup>. The resulting scattering depends on the coupling between magnetic field strength and shear rate, which influences the lengths of the chains and their orientation (the nuclear scattering), as well as the magnetic component of the scattering pattern due to its dependency on the angle between the magnetization and the scattering vector, whereas the direction of the magnetization is determined by the shear rate (Fig.2).



**Fig.2** Chain-like structure formation in ferrofluids: a. aligned with the magnetic field. b. An applied shear diverts the chains from the initial direction c. Breakage of the chains with increasing shear rate

The difference patterns obtained for the fluid with high magnetoviscous effect (APG513A\_1) indicate a clear dependence of the scattered intensity on the shear rate and the magnetic field strength (*Fig.3*).



Fig.3 Scattering patterns for APG 513A\_1

In the case of the fluids with low magnetoviscous effects (TOA, APG513A\_2) only a variation with magnetic field strength can be observed (*Fig. 4*).



To connect the experimental results with the rheological behaviour of ferrofluids, the development of a simulation of SANS patterns, using micro-structural information from numerical simulations, is planned.

For an introduction to SANS q.v. p. 39

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#### **ISL** Operations and Developments

The Ion Beam Laboratory ISL offers ion beams from various accelerators and accelerator combinations with energies ranging from some tens of eV to several hundred MeV dedicated to the application of ion beam techniques. Internal and outside users study the basics of the interaction of ions with solids. They modify and analyse materials with ion beams and they perform radiotherapy of eye tumours with fast protons in a joint venture with university clinics. Users have at their disposal 15 different irradiation areas equipped with specific instrumentation.

Any user has access to the ISL via a programme advisory committee, which meets annually, and decides on the applications for beam-time solely on the basis of the proposals' scientific merit. 27 different projects involving more than 70 scientists received beam time in 2002. In total, some 50 projects are active at ISL. At its annual meeting the programme advisory committee accepted 15 proposals which were either new or addenda of running experiments. The committee acknowledged the general high scientific standards of the proposals.



**Fig. 1**: ISL Operations up to 2002 – Again, ISL delivered the annual goal of 3000 h beam time on target. The low down time due to break-downs reflects the high reliability of the facility.

As seen in Fig. 1 ISL confirmed its high reliability as a user facility. The annual goal of 3000 hours of beam on target had been achieved again. The total break-down time was again below 10%. With these figures, all users received their scheduled beamtime since practically all break-downs could be fixed within a short period of time. Despite our continuing efforts, the total tuning time has not been reduced. This is due to the fact that higher specifications of the beam parameters, such as ion species, beam intensities and beam stabilities have been set by the users. The statistics of the use of ISL's ion-beams (see Fig. 2) reflect very clearly the primary goals of the scientific programme. The medical part, essentially the radiotherapy of eye tumours with fast protons, stays constant with 10 therapy blocks with 5 days each per year.

In the beam time statistics the special funding which has been received for the studies of ion tracks in solids shows up in the substantial increase of the share of beam-time scheduled for ion solid interaction and materials modification. This was boosted by the offer of intense Au-ion beams (see below).



**Fig. 2**: Share of the different activities using ISL ion beams – The modification of materials with fast ions gained importance. The material analyses part is back to the year 2000 level. One has to keep in mind that in 2000 about 50% of the analytical part used low energy beams ( $^{15}$ N) compared to less than 10% in 2002.

The share of materials analyses has come back to the year 2000 level. This means a further increase of fast ion use in materials analyses, heavy ions for ERDA and protons for high energy PIXE, since currently only a small part (<10%) of the analytical activities has been performed with low energy ions, preferentially <sup>15</sup>N, compared to about 50% in year 2000. As shown in other contributions to this report and the ISL Annual Report, most of the ERDA analyses contribute to the characterisation of thin layers of the HMI's solar energy programme.



**Fig. 3:** Fast ion beams used at ISL in 2002 – The spectrum of ion beams produced at ISL reflects the main issues of the scientific programme. The lightest (protons) and the heaviest (Au) beams are the mostly used ones.

The tendency of the scientific programme can also be observed when looking at the ion species produced. There is a clear trend towards the lightest and the heaviest ions. Au-ion beams, offered at ISL for the first time in 2001, has become the most frequently used beam in 2002 (see Fig. 3). Though tuning times are still rather long, very stable beams have been delivered over a long period of time. Further improvements can be expected.

The constitution of the user community at ISL stayed relatively constant. Nevertheless, it should be mentioned that the universities increased their share from 29% in 2001 to 34% in 2002. This increase is due to the trend in the scientific programme towards ion beam modification of materials.



**Fig. 4**: Origin of ISL Users – The universities' share underwent the biggest change compared to 2001 in rising from 29% in 2001 to 34% in 2003. This is due to their very active involvement in the materials modification scientific programme.

Finally, as demonstrated in Fig. 5, ISL has continuously attracted a larger number of external users after its foundation in 1994. The statistics starting 1995, the first year when the nuclear physics programme practically came to an end, show that the ratio of internal to external users has practically been reversed.



**Fig. 5**: User facility ISL – Starting 1994 ISL has attracted a growing number of users. Thus, the ratio of internal to external users has been reversed within the last 8 years.

Most developments in 2002 improved the reliability of the facility in general. The exchange of all magnet power supplies of the injection beam lines has been completed. On the high voltage terminal of the Vande-Graaff injector the last two power supplies were switched to regulated ones. Another improvement at the ion sources was the replacement of all parts which could be hit by the beam by titanium which greatly reduced the sputtered material. Substantial progress in ion source tuning led to new beams with higher energies and higher intensities. Higher intensities have also been made possible by the installation and commissioning of the beam suppressor in front of the RFQ-injector which kicks out particles of the injected beam which appear outside the acceptance phase width of the RF-period. The continuing renovation and development of the control system, in particular the new monitoring tools, have improved the tuning and quality of the beams.

The installation of three new target stations has nearly been completed. By the middle of next year, the area equipped with the X-ray diffractometer, the one equipped with a high power laser to ionise ion beam desorbed particle and the universal irradiation area will be fully installed and operational.

Scientists: H. Homeyer, P. Arndt, W. Busse, A. Denker, W. Pelzer, C. Rethfeldt, J. Röhrich Operators: J. Bundesmann, R. Grünke, G. Heidenreich, H. Lucht, E. Seidel, H. Stapel

### **Injector Developments at ISL**

The ISL as a modern facility for the investigation of condensed matter with ion beams runs a large complex of devices for production, transport, acceleration and application of ions (see fig. 1).



**Fig. 1:** View of the ISL facility. Encircled are the areas of ion source development: the high voltage platform of the Van-de-Graaff accelerator and the 200 kV injector platform for the RFQ structure.

In ion source development, it is always a major task to improve and to enhance the possibilities for scientific research and applications. Some milestones have been passed during the last year. They concern new ion species, ion beam stability and reliability. In particular, the production of high energetic Au beams was a great success from a scientific as well as of a technical view. Significant progress has been achieved in:

- i. the reduction of wear processes at the Van-de-Graaff high voltage terminal due to mechanical and electrical refinements,
- ii. oven techniques and tuning procedures for the ECRIS Supernanogan at the 200 kV highvoltage platform, allowing long term operation of highly charged Au beams and extremely stable high intensity Kr beams, and
- iii. the installation of the additional 150 kV high-voltage platform for the RFQ injector.

#### i. Van-de-Graaff Terminal

Sputtering from stainless steel parts of the 5 GHz BECRIS extraction elements and the Wienfilter during normal operations led to various follow-up failures. The replacement of all stainless steel parts by titanium has significantly reduced the damage. The tuning, the reproducibility, and the stability of the injected beam has been improved by the switchover from non-regulated to regulated power supplies which has been completed.



**Fig. 2:** View of the Van-de-Graaff terminal with the 10 GHz Nanogan right in the middle.

The changeover to a more powerful new source, a 10 GHz Nanogan from Pantechnik with a modified cooling system, has been promoted. This source will operate with a 50 W solid state RF-transmitter. A first test with the source installed on the terminal (see fig. 2) yielded the expected excellent results. Due to the modular design of the installation, the change from BECRIS to the Nanogan and back takes only two days. For  $Ar^{8+}$  ions 600 enA of beam current were measured after acceleration and analysis, which is a factor of 6 higher than our best results with the BECRIS. However, before the final changeover some long term stability tests of the Nanogan have to be performed in a longer shut down period.

#### ii. 200 kV High-Voltage Platform

The development of stable, intense and energetic Au ion beams has been continued in response to the increasing demand by various user groups. As seen in the ISL statistics of this report [1], Au ion beams have become the most frequently produced beams. Instead of the commercially available microoven, we use now a self-assembled and slightly revised model with higher mechanical stability and better electrical contact.

Tuning the 14.5 GHz permanent magnet ECRIS for high current and highly charged Au ions is still rather tedious and requires a lot of experience and patience. The tuning procedure has been improved by monitoring the short-term current fluctuations with an oscilloscope and a new LabVIEW<sup>TM</sup> tool (see "Beam Attenuation and Stability Monitoring" in the ISL's annual report 2002) in addition to the total intensity. A result of careful tuning for high charge states with high RF-power of 500 W is depicted in fig. 3. About 4  $\mu$ A of charge 30+ are analyzed. With this charge state, Au ion beams up to final energies of 600 MeV can be produced.



**Fig. 3:** Au spectrum from the Supernanogan equipped with the standard evaporation micro oven and tuned for the charge state 30+ for a final Au energy of 600 MeV.

With a total transmission from the source to the target between 10 and 20%, some 10 pnA for 600 MeV Au ion beams have been delivered to the target.

#### iii. New 150 kV High-Voltage Platform

With the tuning of the source with ions from solid material still being tedious and time consuming, an alternative injector platform should ease operations. Thus, a second platform with a Supernanogan is being installed. The design work was finished by the end of 2001. In the year 2002, most parts have been ordered. The utilities such as electricity, air conditioning, cooling, and safety doors have been installed at the foreseen site. In particular, the 1 m diameter hole for the path from the source to the existing injection beam line was sawed through a 1 m thick concrete wall (see fig. 4). Last but not least, the positioning and alignment markers for the components were prepared.



**Fig. 4:** Sketch of the new HV-platform Due to our limited space, a tricky layout was designed. The new beam line will meet the vertical part of the old one (in the background right) leading to the RFQ.

The installation work of the ion source test bench has nearly been completed. First tests will include the check of the performance of the new modified extraction system for the old Supernanogan and the development of new ion beams.

#### Outlook

To tap the full potential of the RFQ injector of 6 MeV/u for all masses of the periodic table, the ions need a charge to mass ratio of 1/4.7. With the existing ion sources at ISL this ratio can be produced for ions from gaseous compounds up to mass 130 (Xe) with sufficiently high currents. The necessary charge states 40+ for Au ions or 50+ for U are far beyond the current scope. Thus, ISL joins the efforts for ion source developments involving stronger confinements, higher RF-frequencies and higher RF-power. In co-operation with the ion source group of CEA Grenoble under the management of A. Girard design studies have been started. The aim of the joint venture is the layout of an appropriate source with superconducting magnets taking into account the severe boundary conditions in terms of limited space and electrical power.

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#### Design Study for a 250 MeV-Proton Therapy Facility in Berlin

Radiotherapy is one of the most effective tools in the treatment of many tumour sites. Typically, the tumours are irradiated with high-energy photons or electrons (up to 23 MeV) produced by small hospital based medical electron accelerators. As an alternative, protons or heavy ions, particles showing more favourable physical characteristics, can be used. Their main advantage lies in the fact that they deposit most of their energy close to the end of their path in tissue. Choosing an appropriate energy makes it possible to focus most of the energy on the tumour, leaving the healthy tissue virtually unharmed. This is of particular importance in the treatment of tumours in the back of the eye, where important organs like the optic nerve often lie in close vicinity to the tumour. Eye-tumours, mostly choroidal melanoma, have been treated successfully at the ion beam laboratory (ISL) of the Hahn-Meitner-Institut for almost five years. Another important indication for particle radiotherapy are tumours close to the base of skull.

To date about 32200 tumour-patients received radiotherapy with protons, 3800 with carbon ions worldwide. Most of these patients were treated in research institutes. Based on these positive results, dedicated and/or hospital based proton and ion radiotherapy facilities have recently started operations or are currently under construction. By operating two heavy ion and four proton facilities, Japan has taken the leading position.

In Europe, however, the situation is much less advanced. A dedicated proton therapy facility, taking into account the institute's own research experience with a nuclear physics machine, is being set up at PSI in Switzerland. The excellent results of the ion therapy at GSI motivated the current construction of a dedicated heavy ion synchrotron at the German Cancer Research Center (Deutsches Krebsforschungszentrum) Heidelberg.

Starting from our experience with eye-tumour treatment and the excellent results achieved at the Hahn-Meitner-Institut, we started a design study for a dedicated medical 250 MeV proton therapy facility. This study is carried out in collaboration with the University Hospital Benjamin Franklin.

The development of the layout follows several basic ideas. Besides tumour control, the complete protection of critical structures close to the malignant tissue is of utmost importance. In many cases, this can be achieved with passive shaping of the irradiation fields that allows one to avoid complications inherently connected with sophisticated scanning devices. As far as the actual procedures during the therapy are concerned, we consider immediate access to the beam once the patient is correctly positioned to be an important asset. Finally, the option to perform independent parallel treatments in three to four rooms would decrease the costs per treatment thanks to a higher patient flow. It has also decisive consequences for the general layout, in particular the choice of the accelerator, the necessary maximum beam intensity and the beam delivery system including radiation shielding. In the following we present a first draft of a possible conceptual design.

Proton beams with the necessary intensity can be realised only with a cyclotron. Looking at the landscape of proton facilities worldwide, we found the future facility MPRI in Bloomington, Indiana (Fig. 1) to follow similar ideas.

Their accelerator - a separated sector cyclotron speeds up the protons to a final energy of 210 MeV. When a lower beam energy is needed, the beam has to pass through an energy degrader of variable thickness (e.g. carbon or beryllium blocks) reducing its energy. At the MPRI the beam delivery system consists of an achromatic beam line followed by a so called trunk line which ends at a beam dump. There are three beam splitting systems, each consisting of a fast ferrite kicker and a Lambertson dipole magnet making it possible to switch the 210 MeV beam from the trunk into each of the energy selection systems (ESS) of the treatment rooms. Each of the three ESSes is equipped with an energy degrader followed by an achromatic magnet design. This solution makes it possible to split the beam into slices and to treat patients at the same time in different rooms [1].



**Fig. 1:** Layout of the future therapy centre MPRI in Bloomington. Each treatment room has available its own energy selection system (ESS), thereby enabling a parallel working mode for the whole facility. (Picture from V. Anferov et al., Proc. of the 2001 PAC, p. 645).

The application of a degrader has two main disadvantages. The first one is the production of secondary particles, mainly neutrons, via nuclear reactions in the degrader material. Therefore, a proper radiation shielding of places in the facility occupied by people is required. The spatial neutron distribution produced in the degrader consists mainly of two contributions: forward peaked high-energy neutrons and an isotropic fraction of evaporation neutrons. With a large angle between the proton beam and the treatment room, the efforts required in radiation shielding (wall thickness) can be minimized.

A second disadvantage is the increase of the beam emittance due to multiple scattering of the beam in the degrader. In order to keep the beam emittance at an acceptably low level, one has to send the degraded proton beam through collimators, thus causing enormous beam losses, in particular for low energy beams. In order to compensate for the loss and to make parallel treatment possible, the considered cyclotron has to provide a proton beam intensity of up to ~10  $\mu$ A.

From these sources a neutron dose arises which does not compare to other facilities so far. This is why a new concept of radiation shielding for the future facility in Berlin is developed. We decided in favour of a geometry, in which the proton beam is directed downwards in vertical direction while passing the degrader. This is different from the concept applied in Bloomington, where the kickers operate in the horizontal plane. The degrader itself is located 40 cm below ground level. This leads to larger angles between beam direction in the degrader and the treatment rooms. At the same time, the base plate will be used as a lateral shielding component. Right after the degrader an achromatic ion optic system, in which the adequate energy will be selected, transports the beam (bending it from the vertical into the horizontal direction) to the treatment room. The position of the degrader in relation to the ion optics and the ground is shown in Fig. 2. A first impression of the whole facility is shown in Fig. 3 as a 3Dsimulation.



**Fig. 2: Top)** A schematic drawing of the ion optics between the kicker and the transfer point to the gantry. The degrader is situated 40 cm beneath the ground. **Bottom)** 3D-drawing of the cyclotron (left) the "trunk"-beam line and 4 kickers followed by the ESS to each treatment room (light green).



[1] V. Anferov et al., Proceedings of the 2001 Particle Accelerator Conference, Chicago, 645-647 Fig. 3: The first 3D-Overview. See the cyclotron (upper right) followed by the trunk line and 4 ion-paths to the treatment rooms. Whenever the beam is not in use, it is sent into a beam dump (shown as grey box, upper left). In treatment room no. 1 there are three fixed ports: one horizontal and two vertical ones. If needed, the fixed ports can be removed and replaced by a gantry. Rooms no. 2 and no. 3 are equipped with 360° isocentric gantries. In room 4 there are two fixed horizontal beam lines: one for eye-tumour therapy and the other for the treatment of conditions in the head-neck-area. In a fifth room scientific research in dosimetry, biology etc. can be performed.

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Besides playing a fundamental role in the cells of living organisms, biomolecules are important for numerous applications in biotechnology. The mechanisms of their break-up in interactions with heavy ions, especially with highly charged ions (HCI), are not yet fully understood. Understanding these processes would be an important step towards a deeper insight into the interactions between radiation and biological tissues, e.g. in the case of radiation damage.

Due to the complexity of the processes that occur in the interaction of HCls with large molecules as proteins or DNA, it is desirable to first achieve a better understanding of the interactions with smaller molecules, such as  $H_2O$  and  $C_2H_2$ . Although a gas phase target has been used in the first step, it is planed to perform measurements with solid water or proteins deposited on a crystal surface, where both ion spectroscopy and the Atomic Force Microscopy (AFM) technique can be applied.

The interaction of fast HCls with  $H_2O$  molecules has been investigated recently by means of coincidence techniques [1,2]. These studies gave us an insight into the total kinetic energy distribution and the angular distribution of coincident fragments.

Here we report on an investigation of fragmentation of H<sub>2</sub>O molecules in the interaction with slow, highly charged Neon and Argon ions. The energy of the fragmentation products showed a strong dependence on the incident ion charge. Additionally, we distinguish between two fragmentation mechanisms: binary collisions (high energy part of the spectrum not shown here) and Coulomb explosions (low energy part of the spectrum). In the explanation of the low energy parts of the spectra we used a simple Coulomb explosion (CE) model. It is based on the assumption that after several electrons are removed by the incoming highly charged projectile, the molecule will become unstable and dissociate. In the first approximation, one can take that potential energy (calculated in the center of mass system) is transformed to the kinetic energy of ion fragments.

For the measurement ions from the 14.5 GHz Electron Cyclotron Resonance (ECR) ion source in the Ionenstrahllabor (ISL) at the Hahn-Meitner-Institut were used. The scattered ions and recoils were analyzed by means of an electrostatic spectrometer. A vapor target was produced from the water reservoir by means of a gas-jet under controlled conditions.

The typical spectra are presented in Fig. 1 for the case of incident 21 keV Ne<sup>q+</sup> (q=3, 5, 7, 9) ions. One easily observes that when the projectile charge-state was increased, fragments with an increasing energy of up to 70 eV were detected. In the case of incident  $Ar^{q+}$  ions (q=10, 13) we found this feature to be even more pronounced.

Assuming that several electrons are removed by the incoming ion, we calculated the kinetic energy released via the Coulomb explosion mechanism. In Fig. 2, these data are compared to the Gaussian fit to experimental spectra (peaks are marked in Fig. 1). The quality of the fit is checked by the Chi-square method.





**Fig. 1:** Fragments from the collision of 21 keV  $Ne^{q+}$  ions with  $H_2O$  molecules.

The first peak in each of the spectra is attributed to slow  $O^{Q+}$  ions, while structures at energies higher than 10 eV are formed by H<sup>+</sup> ions from different fragmentation channels (convolution of peaks marked with numbers 3, 4 and 5). It appears that the fragmentation into two charged and one neutral particle is more probable than the fragmentation into three charged particles, i.e. the model calculation of a Coulomb explosion into H<sup>+</sup>+O<sup>Q+</sup>+H<sup>0</sup> agrees better with the experimental results than the fragmentation into H<sup>+</sup>+O<sup>Q+</sup>+H<sup>+</sup>.

This assumption is supported by the results presented in Ref. 3, where single charged projectiles were used. Two different fragmentation channels  $(H^++H^++O^0 \text{ and } OH^++H^+)$  might give contribution to the peak at 6 eV (labeled as  $2^{nd}$  peak). The angular dependence of the energy of detected ions is noticeable, slow ions are accelerated in backward directions and decelerated in forward directions.



**Fig. 2:** Comparison of the experimental results with the prediction of the CE model. The charge state of the oxygen ions produced in the collision is denoted by Q. The circles and triangles refer to incident Ne<sup>5+</sup> and Ne<sup>9+</sup> ions, respectively, while the calculations for the CE explosions into the  $H^++O^{Q+}+H^0$  and  $H^++O^{Q+}+H^+$  channels are represented by solid lines and dashed lines.

Similar results were obtained with  $Ar^{q+}$  ions as projectiles. We were even able to resolve the  $H^++O^{Q+}+H^0$  fragmentation with Q as high as 6, i.e.  $H^+$  ions with energies up to 120 eV were detected.

However, our model does not take into account a possible excitation of the molecule, which might shift the energy distribution of the fragments, especially for lower charge states of the projectiles [1,2]. Second, a calculation providing the branching ratios would lead to a better understanding of the experimental data. From the experimental point of view, it would be interesting to increase the charge state of incident ions, e.g. to use highly charged Xenon ions.

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#### Selforganisation of NiO layers under swift heavy ion irradiation

After irradiation of thin NiO-films deposited on oxidized silicon wafers with swift heavy ions (90-260 MeV Ar, Kr, and Xe ions) at large tilt angles (above 60°) we found that the top layer had reorganized into periodic lamellae (see Fig. 1), which were oriented perpendicular to the beam direction and had a height of about 1  $\mu$ m, a thickness of about 100 nm and an average distance of 1-3  $\mu$ m.



Standardprobe, 230 MeV Xe<sup>13+</sup>,  $\Phi = 7.6 \times 10^{14}$ /cm<sup>2</sup>,  $\theta = 85^{\circ}$ 

**Fig. 1:** SEM top view of NiO/SiO<sub>2</sub> after irradiation with  $7.6 \cdot 10^{13}$  Xe/cm<sup>2</sup> at 230 MeV.

At low irradiation fluences, first a periodic crack system develops, which extends from the surface to the SiO<sub>2</sub> layer. Increasing fluence then results in shrinking of the material between the cracks along the projected beam direction and its simultaneous growth in height, until finally the initially coherent and smooth toplayer reorganizes into the lamellae structure. According to EDX and Micro-Pixe analysis the NiO from the initial layer has quantitatively been transferred into the lamellae and no NiO was found in between [1]. We could show that this phenomenon is due to the formation of molten tracks along the ion's path and the mechanical stresses induced by the twofold phase transition between the solid and the liquid phase [2]. According to Grinfeld [3] these almost uniaxial tensile stresses lead to a surface instability, which manifests itself in the formation of a periodic system of cracks, which extend from the surface to the SiO<sub>2</sub> layer. With increasing ion fluence the material between the cracks shrinks due to the so-called hammering effect [4,5], which finally results in the observed lamellae structure.

We have extended the experiments to 350 MeV Auion irradiation and we have in detail investigated the kinetics of the lamellae formation and their structure and morphology as a function of the ion fluence and the deposited energy as well as its dependence on the incidence angle of the ion beam.

The speed of lamellae formation is described by the deformation rate A<sup>'</sup>, which is obtained by measuring the surface fraction covered by NiO as a function of the applied ion fluence  $\phi$ . This was done by means of scanning electron microscopy (SEM) and by Rutherford Backscattering Spectrometry (RBS). Fig. 2 shows the results obtained for 140 MeV Kr at 75° tilt angle. At low fluences, a linear decrease of the NiO coverage occurs with slope A<sup>'</sup>. At higher fluences a

saturation is observed, the reason of which is not completely understood yet. The deformation rate as a function of the electronic stopping power  $S_{\rm e}$  of the applied ion beams exhibits a threshold like behaviour

$$A^* = A_0 \left( S_e - S_{ec} \right).$$
 (1)



Fig. 2: NiO coverage of the surface after 140 MeV Kr irradiation.

The threshold value  $S_e=9.7(5)$  keV/nm compares nicely with the one found for the onset of mixing in NiO/SiO<sub>2</sub> and the one predicted for ion track formation and hence supports our above interpretation, that the lamellae formation is strongly related to melting of the material surrounding the ion trajectory.

The degree of order of the lamellea structure can be characterized by the number of ramifications per unit area. We found that this number increases with increasing electronic stopping  $S_e$  of the ion. The disorder increases exponentially with increasing  $S_e$  values, while it decreases for increasing tilt angle. This can be understood taking into account the homogeneity of the energy deposition and thus the homogeneity of the induced mechanical stresses, which is the better, the more ions contribute to the total effect (low stopping) and the longer is the single track within the NiO-layer (large tilt angle).

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#### Stoichiometry and density of reactively sputtered WS<sub>x</sub> thin films

The van der Waals type layered semiconductors  $MX_2$  (M = Mo, W; X = S, Se) are potential candidates for absorber materials in thin film solar cells [1]. The films can be deposited by various deposition techniques like sulphurisation of metal or oxide precursors with sulphur or H<sub>2</sub>S or by reactive magnetron sputtering, the latter technique allowing film deposition at low substrate temperatures due to the ion-assistance of the film growth. For this reason, the growth of thin MoS<sub>x</sub> and WS<sub>x</sub> films prepared by magnetron sputtering from a metallic target in mixtures of argon and H<sub>2</sub>S is the aim of our investigations.

From Elastic Recoil Detection (ERD) measurements the stoichiometry and the density of the films were calculated. Similar to  $MoS_x$  tungsten sulphide thin films with stoichiometries  $WS_x$  with  $x \le 2$  [2] are obtained.



**Fig. 1:** The stoichiometry [S]/[W] (a) and the concentration of the contamination H, C and O (b) of reactively sputtered WS<sub>x</sub> thin films on oxidised silicon substrates in dependence on the gas flow ratio  $F_{H2S} / (F_{H2S} + F_{Ar})$ . Deposition conditions: DC sputtering power 50 W; substrate temperature 450°C; total sputtering pressure 4.10<sup>-2</sup> mbar; floating substrate potential.

Fig. 1 shows the stoichiometry of WS<sub>x</sub> films deposited on oxidised silicon substrates in dependence on the gas flow ratio  $F_{H2S}/(F_{H2S}+F_{Ar})$ . Increasing the partial pressure of H<sub>2</sub>S leads to an increase of the sulphur content up to a maximum of x = 1.91. The decrease of the stoichiometry for the highest gas flow ratio  $F_{H2S} / (F_{H2S}+F_{Ar}) = 0.75$  cannot be explained. With decreasing argon gas flow the concentration of the hydrogen and carbon contamination also decrease which is a hint that the argon gas supply or tubing may be the origin of this contamination. Though it is also possible that the increasing porosity (see Fig. 2) of the films in this deposition series is responsible for the increase in contamination concentrations. The enlargement of the film surface can result in higher adsorbate concentrations of water and  $CO_2$  from air exposure after the depositions. The high oxygen concentrations in the films are difficult to assign only to the WS<sub>x</sub> films as they were deposited on oxidised silicon. Therefore, the separation between film oxygen and substrate oxygen is only possible with a relatively large error of  $\pm$  20%. The argon concentrations in these films are below the detection limit of 0.01 at%. Even at high substrate bias potentials of -300 V no argon could be detected in the films which is unexpected.

One reason for the sulphur deficiency in  $WS_x$  films may be the intercalation of additional tungsten atoms between the S-W-S stacks which form this layer type compound. It should be noted that there are no signs for the occurrence of other phases besides the hexagonal  $WS_2$  phase.



**Fig. 2:** a) The density (•) and the deposition rate ( $\Box$ ) of reactively sputtered WS<sub>x</sub> thin films on oxidised silicon substrates obtained from ERD measurements in dependence on the gas flow ratio  $F_{H2S} / (F_{H2S} + F_{Ar})$ . The horizontal line marks the density of a WS<sub>2</sub> single crystal. In diagram b) the rate dependence of the film density is plotted on a semi logarithmic scale. The results from Regula et al. [3] are given for comparison (x). The star (\*) marks the density of one sample measured by X-ray reflectometry. Deposition conditions: DC sputtering power 50 W; substrate temperature 450°C; total sputtering pressure 4.10<sup>2</sup> mbar; floating substrate potential.

From the measured atomic area densities [at cm<sup>-2</sup>] film densities could be calculated for the same deposition series under variation of the gas flow ratio. They are depicted in Fig. 2 in dependence on the gas flow ratio. Low H<sub>2</sub>S partial pressures lead to densities of only 30% of the density of a WS<sub>2</sub> single crystal (X-ray density), these films are very porous. At an H<sub>2</sub>S content of 75% of the gas mixture the X-ray density is almost reached. The thin, compact film (thickness of about 50 nm) could be analysed independently by X-ray reflectometry which confirmed the value derived by ERDA. The porous morphology of WS<sub>x</sub> films, which could be confirmed by SEM and TEM analysis, results from dentritic structures which are typical for layer type materials prepared at high deposition rates and have been reported by other groups (e.g. [3]).

The deposition rate is determined by the  $H_2S$  partial pressure, what can be seen also from Fig. 2 a, and itself influences the density of the growing films. In Fig. 2 b the dependence of the density on the deposition rate is plotted in order to compare the results with the literature data [3]. Both series fit well to each other although, the authors used a WS<sub>2</sub> compound target, only 1%  $H_2S$  and varied the deposition rate via the total sputtering pressure (1 -  $6 \cdot 10^{-2}$  mbar) indicating similar growth modes for both deposition procedures.

Fig. 3 shows the film stoichiometries  $WS_x$  and  $MoS_x$  in dependence on the substrate temperature. Stoichiometric  $MS_x$  can be obtained only at temperatures below 200°C. The decrease of the sulphur content in the films with increasing temperature is explained with an increased vapour pressure of sulphur, that desorbs from the substrate prior to the reaction to the sulphide [4].



**Fig. 3:** The stoichiometry [S]/[M] with M = Mo, W of reactively sputtered  $WS_x$  (•, ERDA) thin films on oxidised silicon substrates and of  $MoS_x$  (o, RBS) on glassy carbon in dependence on the substrate temperature. Deposition conditions: DC sputtering power 50 W; total sputtering pressure  $4 \cdot 10^2$  mbar; gas flow ratio  $F_{H2S} / (F_{H2S} + F_{Ar}) = 0.75$ ; floating substrate potential.

Further ERD experiments on the composition of reactively sputtered  $WS_x$  and  $MoS_x$  thin films are planned to find out whether the variation of the plasma excitation mode (DC and/or HF) gives rise to changes of the film composition. In situ-XRD investigations with synchrotron radiation during the sputtering process showed a remarkable effect of this deposition parameter on structural parameters especially on the texture of the films.

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### The elliptical undulator UE46 of high brilliance and variable polarization for studies of magnetic nanostructures at BESSY

The 3<sup>rd</sup> generation synchrotron BESSY II offers unique possibilities for experiments with X-rays in the soft photon energy regime, which will serve as a complementary probe to magnetic neutron scattering at HMI. Therefore, three years ago, we started the strategic HMI-project to build the elliptical undulator UE46. This insertion device, which provides *polarized* soft X-rays, and the instruments at the two beamlines supplied by it, is dedicated to research on magnetism in thin films and nanostructures. The project is performed in close collaboration with BESSY and financed from the so called Helmholtz-Strategiefonds.

The undulator UE46 was designed in 2000, built in 2001, and inserted into the low beta section of the ring in February 2002. The subsequent commissioning of one of the beamlines delivered excellent results. It has a pure permanent magnet design of the Advanced Polarized Photon Light Emitter (APPLE-II) type consisting of four identical rows of high remanent NdFeB-magnets arranged in four quadrants around the axis of the electron beam (period = 46 mm).

Two rows are movable and two rows are fixed (Figs. 1, 2). The variation of gap and shift determines the energy and the state and degree of polarization of the light.

*1. mode:* linear horizontal pol.





Fig. 1 (down left): Different relative positions of the magnet rows in the UE46 undulator lead to different polarization modes of the synchrotron light.

The UE46 provides polarized soft X-rays with highest brilliance over a very broad energy range from 200 to 1800 eV optimized for studies of magnetic effects in 3d transition metals and Rare Earths. The polarization is variable and allows different modes of operation: right and left circular polarization, horizontal and vertical linear polarization, and linear polarization under various angles (Fig. 1). The last option of polarization is a novel one and is achieved by an antisymmetric operation where the upper right row of magnets is moved in opposite direction to the lower left row. During this antiparallel motion additional longitudinal and transverse magnetic forces are created which forced us to improve the mechanical design. The helicity can be switched mechanically from right to left circular polarization in about 3 sec (possible thanks to an improved design). For further details see Ref.[1].

Hence, the UE46 is excellently suited for magnetic studies on nanostructures applying the polarization dependence of the absorption of X-rays in magnetic materials, an effect known as magnetic dichroism. Its brilliance is higher than that of any other undulator at BESSY. The key advantages of soft X-ray undulator experiments on magnetism in nanostructures (thin films, multilayers, laterally structured layers, magnetic clusters on surfaces, etc.) are the high brilliance and polarization of the photon beam, the tuneable energy, and the focussed beam. There is a huge enhancement of magnetic effects at the absorption edges in the soft energy regime. Furthermore, element selective studies are possible and, finally, using the magneto-optical sum rules one has experimental access to the orbital magnetic moment, which is directly linked with the magnetic anisotropy.



Fig. 2: Photograph of the undulator UE46 (in Aug. 2001), before it was inserted into the BESSY ring.

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### A Self-Consistent Method for Multiaxial Residual Stress Analysis by Energy-Dispersive Diffraction

Compared with the well established methods of angle dispersive X-ray stress analysis, the application of energy dispersive diffraction techniques using white radiation provides several advantages. Besides the higher penetration depths which are achieved by the higher energies, the multitude of reflections recorded in one spectrum offers additional information that can be used for stress gradient evaluation in the intermediate zone between the surface and the volume of polycrystalline materials.

The diffraction analysis of residual stresses is based on the detection of lattice spacings  $d_{\phi\psi}(hkl)$  for different angle sets ( $\phi,\psi$ ) with respect to the sample system **P**. Information on the residual stress tensor is then obtained by means of Hooke's law. Whereas stress analysis in the near surface region up to about 10 µm is usually performed with characteristic X-rays of rather low energy, neutrons or high energy synchrotron radiation up to about 300 keV is used for the evaluation of the volume (bulk) residual stresses (Fig. 1).



Fig. 1 Principle of residual strain/stress analysis by diffraction methods

However, there remains a gap with respect to the accessible near surface zone, which concerns a range between about 10  $\mu$ m and 1 mm, where the conventional X-ray methods are no longer and the neutron methods are not yet sensitive. In order to extend the information depth to this 'intermediate' zone between the surface and the volume, use can be made of energy-dispersive (ED) diffraction in reflection geometry with photon energies up to about 100 keV. Measuring and evaluation procedures applied so far in the well-established X-ray stress analysis (XSA) by means of angle-dispersive (AD) diffraction were adapted to the ED case and further developed using the advantages provided by ED diffraction [1,2].

ED-XSA in the intermediate zone is of great theoretical as well as practical interest. On the one hand, it permits the non-destructive investigation of the transition from the biaxial surface stress state to the triaxial volume stresses in the bulk of the material. On the other hand, mechanical surface processing like grinding or shot-peening is well-known to have a deep impact on materials. Especially the latter method is used extensively in industry to generate beneficial near-surface residual stress fields in critical components, which may extend up to depths of some hundred microns into the material. Therefore, residual stress analysis in this zone is a necessary first step in order to optimize the mechanical properties of technical parts. Fig. 2 shows a typical application for ED residual stress analysis. The investigations were performed on a normalized and subsequently shot-peened ferritic steel 42CrMo4. In this case, the scattering vector method [3], which permits a self-consistent triaxial residual stress gradient analysis, was applied for the first time to the ED case of diffraction. The multitude of reflections hkl, which are detected in each ED spectrum, are treated as additional parameter for the depth resolved analysis.



Fig. 2 Residual stress depth distribution in the shotpeened 42CrMo4 sample evaluated by means of the scattering vector method [2]. (a) In-plane stress  $\sigma_{\rm ll}(\tau)$ and (b) out-of-plane stress  $\sigma_{33}(\tau)$ .

The results clearly show, that the residual stress state due to a homogeneous mechanical surface treatment of a single phase material remains nearly biaxial even in deeper zones below the surface.

Currently, an ED materials science synchrotron beamline up to about 100 keV is established at BESSY II in order to provide a powerful tool for advanced research activities in the field of residual stress-, texture and microstructure analysis.

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## Micro-Tomography for structural analysis of metallic foams

Computed tomography has been available for medical diagnostics since the early seventies of the last century. In 1979 the inventors G. Hounsfield and A. Cormack received the Nobel Prize for their invention. J. Radon (1917) and P. Funk (1916) contributed the mathematical foundations.

We introduced the synchrotron micro-tomography in the department SF3 (materials research) of the Hahn-Meitner-Institut in order to perform highresolution, non-destructive, three-dimensional structural investigations and material characterizations on metallic foams. The term  $\mu$ -tomography, used to describe this method, refers to a spatial resolution in the micrometer region. In Figure 1 the set-up used for the tomographic measurement is sketched schematically.



Fig. 1: Sketch of the measurement set-up for computed tomography

This tomography is based on the attenuation of monochromatic radiation by an object, e.g. by a metallic foam, for many different angular positions. Due to the different absorption coefficients of the different regions, the X-ray radiation is attenuated differently. This results in a set of about 700-900 single radiographic projections which are used for reconstructing the complete 3D-picture.



Fig. 2: Left: Tomographic image of the foam precursor containing AlSi10 Mg (blue), 10 % SiC (cyan), and 0.5 % blowing agent TiH<sub>2</sub> (red). Right: Distribution of the SiC-particles and the blowing agent separated from the aluminium matrix.

The measurement was carried out at BAMline, a beamline operated by the Federal Institute for Materials Research and Testing (BAM) at BESSY. The

extremely parallel, highly intensive synchrotron radiation allows for a high spatial resolution and a very good signal-to-noise ratio. Moreover, by monochromizing the beam we are able to distinguish between different phases in the samples. The X-ray energy range is tuneable from 6 to 60 keV.

Figure 2 shows two tomographic images of a metallic foam precursor. The cast solid precursor consists of the aluminium alloy Al Si10 Mg (blue) and some particles of the blowing agent titanium hydride (TiH<sub>2</sub>, red). After heating up the precursor to above the decomposition temperature of the blowing agent, the hydrogen is released and a porous structure is generated. To achieve a better stability of the metallic foam during the foaming process, 10 % (vol.) of insoluble, partially wetted, micrometer-sized silicon carbide particles (cyan) were added to the alloy. Thus, the viscosity of the melt is increased during the foaming process, and the surface tension decreases. Drainage along the walls then occurs less rapidly, and therefore the bubble collapse is slowed down. This leads to an evenly distributed pore structure. On the right hand side of Figure 2 the homogeneous distribution of the SiC-particles and the TiH<sub>2</sub>-particles is visualized. Because silicon carbide and aluminium have nearly the same absorption coefficient, we need the monochromatic synchrotron radiation (*E*=25 keV,  $\Delta E/E=10^{-2}$ ) to distinguish between them.

Fig. 3 shows a photograph and a tomographic view of the material after the foaming process.



Fig. 3 Photograph of the aluminium foam (left). tomographic clip of a pore wall of the fully foamed, solid aluminium foam with a smaller pore in it (right)

Now the silicon carbide particles (cyan) are mostly arranged on the wall's surface in front and around the smaller pore. The red areas in the right picture are remnants of the blowing agent titanium hydride. Thanks to the tomographic measurements, the rearrangement mechanism can be observed threedimensionally and the distribution of the SiCparticles can be visualized and evaluated quantitatively.

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#### Texture modification in titanium layers with heavy ions

Fast heavy ions interact with solid matter mainly via inelastic collisions with the target electrons. If the electronic stopping power of an ion penetrating the solid exceeds a specific critical value, a thermal spike is generated, i.e. the material "melts" in a volume of a few nanometers around the ion path. Due to the small volume, this state lasts only few picoseconds. This effect can be used to modify the material properties, e.g. its texture [1]. In the present report, the effect of the interaction of swift heavy ions on the orientation distribution of the crystals in polycrystalline hexagonal titanium is studied.

In our experiment we used two titanium samples of different thicknesses (400 nm and 3  $\mu$ m) prepared by vapor deposition at 400°C on commercial (001) silicon wafers. Both titanium samples were irradiated at the Tandem accelerator of the Maier-Leibnitz-Laboratory, Garching with 200 MeV gold ions. The fluence was about 10<sup>15</sup> Au/cm<sup>2</sup>, the angle between the ion beam direction and the surface was 19° and the beam spot size on the sample only 1×2 mm<sup>2</sup>.



Fig. 1: Hexagonal titanium lattice. The (101) plane is marked blue.

The orientation distribution of the crystals, i.e. the texture of the irradiated titanium layers, was studied at the bending magnet X-ray diffraction beamline KMC2 at BESSY using a 6-circle goniometer and a 2D position sensitive detector. The wavelength was  $\lambda$ =0.155 nm. For each sample irradiated and unirradiated parts were examined.



Fig. 2: The (002) and (101) pole figure of the 400 nm thick film. The pole figures did not change despite the irradiation.

The results of the measurements are presented as pole figure plots – the standard visualization method for the description of the crystal orientation in a material. The X-ray detector is positioned at the 20 angle where the scattering peak is expected, and the azimuthal ( $\phi$ ) and polar ( $\chi$ ) angles of the sample are varied between 0° and 360°, and from 0° to 90° respectively.  $\phi$  denotes the rotation of the sample around the surface normal and  $\chi$  denotes the tilt.

In the present experiments we used a position sensitive detector (Bruker AXS) for the pole figures. This choice had some advantages when compared to a point detector.



**Fig. 3:** Comparison of the pole figures of the unirradiated (left) and irradiated (right) area of the 3  $\mu$ m thick sample. Although the [100]-axis (a and c) does not change the direction, there is an obvious difference between the (101) pole figures (b and d). The ion beam direction is marked with a red cross on the figures c and d.

The area detector covered a very large region in  $\chi$  angle allowing for a fewer steps in the polar angle scans of the sample. The second advantage was that all Bragg angles of interest could be acquired in the same frame. In our experiment, we measured the (100), (101), and (002) Bragg reflections of titanium simultaneously (25°-45°). The step size for the measurement of the pole figures in  $\chi$  direction was 15°, and in  $\varphi$  direction 2°. In addition, utilizing the 2D detector made a simple background correction possible. The background was estimated by averaging the intensities for 2 $\theta$  values slightly larger and smaller than the Bragg reflection.

In the case of the thinner sample (400 nm), the orientation of the titanium layer was determined by the crystalline structure of the substrate (Fig. 2). The titanium lattice is oriented with its (101) plane parallel to the surface, and due to the 4-fold symmetry of the Si (001) substrate there are four different but equivalent orientations of the titanium lattice on the substrate. After irradiation the pole figure stayed unchanged.

In the case of the 3  $\mu$ m thick sample the layer is thick enough in order not to be influenced by the substrate, so the [001] axis of the unirradiated sample is oriented normal to the surface. As the surface is the only symmetry-breaking element, the crystals are randomly oriented in the azimuthal direction, which can be seen on Fig. 3b as the circular intensity distribution at the polar angle 64°, corresponding to the angle between (001) and (101) plane normals in titanium. After irradiation the (101) pole figure showed changes in the azimuthal distribution. The randomness is canceled, i.e. the crystals have a preferred azimuthal direction resulting in a 6-fold symmetry (see Fig. 1). One of the (101) pole figure maxima points in the direction of the incoming ions (red cross).

One can conclude that up to some critical thickness the lattice of the deposited titanium matches to the lattice structure of the silicon (001) substrate. The next neighbor distance in (101) planes is similar to the lattice parameter of silicon in the (001) planes. This matching of the lattices can be deduced from the 4-fold symmetry of the pole figures acquired from the thinner sample. The actual processes leading to the reorientation of the crystals is not yet investigated, and has to be studied further.

As the irradiated areas are very small, it was not easy to separate the irradiated and unirradiated regions of the sample. Thus, for some measurements probably both regions contribute to the recorded signal. The distinct peak on the (101) pole figure of the unirradiated sample (Fig. 3b), as well as the ring in Fig. 3d, is attributed to this effect. Lately, the possibility to accelerate gold ions to energies of 600 MeV with fluxes larger than those available at the tandem accelerator in Garching has been introduced at the cyclotron of the ISL accelerator. It will thus be possible to irradiate larger areas of the sample, so further X-ray measurements are going to be more accurate.

To our knowledge, the recorded ordering after irradiation, which is interpreted as a recrystallization in direction of the incoming high-energy ion beam, was observed for the first time. A detailed study of the interaction process will most probably lead to a better understanding of the thermal spike model, which is still under discussion. For the future experiments are planned which will clarify the dependence of the texture on the angle of the impinging projectiles, the fluence, and the thickness of the titanium layer.

 K. Zhang et al., Nucl. Instrum. Methods B161-163 (2000) 1116.

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## NAA-Laboratory and Neutron Activation Service at BER II

The department SF6 provides a neutron activation service at the BER II for universities, scientific institutions, hospitals and industry. Typical fields of applications are:

- Trace element determination by neutron activation analysis (NAA) for studies in various disciplines including biology, medicine, geology, environmental sciences and archeology. Certification of reference materials.
- Neutron activation experiments such as radionuclide production for medical applications, sources for Mößbauer spectroscopy and production of tracers for industrial applications.

The operation, maintenance and further development of the neutron irradiation devices at BER II and of the NAA measuring systems are a central task of the department SF6.

#### Irradiation devices

The BER II has been equipped with four irradiation devices which allow optimization of the irradiation conditions for the activation of short-lived, medium-lived and long-lived radionuclides.

- DBVK: rotatable irradiation device in the reactor core
- DBVR: rotatable irradiation device in the Be-reflector of the reactor core
- TBR: dry irradiation device outside the Be-reflector SRT: fast rabbit system

DBVK and DBVR are used for long-term activation experiments. Up to nine aluminium containers can be irradiated simultaneously.

Short-time activation experiments are carried out by means of TBR and SRT.

Device	Φ <sub>thermal</sub> [1/cm <sup>2</sup> s]	Φ <sub>fast</sub> [1/cm <sup>2</sup> s]	Number of containers
DBVK	1,5E+14	4,3E+13	9*
DBVR	7,5E+12	1,9E+10	9
TBR	3,4E+12	2,2E+10	1
SRT	4,4E+11	3,9E+10	1

\*Temporarily restricted to 4

#### Status 2002

• The fast rabbit system SRT was not in use in 2002 due to insufficient man power. The control system as well as the acquisition system has to be renewed.

• The work for upgrading the in-core position DBVK is still in progress. Extensive calculations for reactivity changes were performed by IBBS.

• Installation of a new germanium detector (66% Efficiency,) with digital signal processing.

• Replacement of six control systems for the automatic sample changers

#### Neutron activation experiments 2002

A total of 1246 samples were irradiated in 2002. 65% of the 173 activation experiments were performed by means of the DBVK or DBVR. With these devices it is possible to irradiate up to 24 samples simultaneously in one aluminium container.



Fig. 1: Use of the irradiation devices in 2002. In 173 activation experiments carried out in the DBVK, DBVR and TBR a total of 1246 samples were irradiated and analyzed by NAA.

About 60% of the activation experiments and of the trace element analyses by NAA was carried out for external users.

#### Internal users

Dept. SF1 Dept. SE5

#### **External users**

Universities: FU Berlin HU Berlin TU München University München University Mainz University Leipzig University Dresden University Karlsruhe

Research Institutes:

BAM, Berlin GSF, Neuherberg IIF, Leipzig

#### Industry:

Merck KGaA Schott Lithtec AG TruTec Process Diagnostics Pilkington Deutschland AG DaimlerChrysler AG

D. Alber (SF6)

## $Fe(NCS)_2(d_4-pyrazine)_2$ : A molecular-based system that behaves as a 2D S=1/2 Ising square lattice

The construction of molecule-based magnets through a coordination polymer approach is a particular area of contemporary materials research, which has proven quite fruitful and yielded diverse new materials. In the long run, research performed today may well enable us in the future to actually predict the topology and/or the connectivity of crystalline lattices based on the molecular structures of the small building blocks used in their assembly. This will, ideally, lead to the rational design of framework materials for specific applications. Currently, the most efficient approach to preparing such materials is via direct chemical combination of functional inorganic and organic components. Judicious choice of bridges is a crucial factor to generate fascinating structures and unusual magnetic properties. Cyano, azido, oxalato, pyrazine (pyz) and recently emerging dicyanamide (dca) ligands have been demonstrated to transmit magnetic interactions efficiently as bridges, and able to stabilize magnetic ordering temperatures up to room temperature. Of the reported coordination polymers that contain pyz, most of them possess low-dimensional structures, i.e. 1D chains or 2D sheets. Furthermore the combination of pyz and thiocyanate (NCS) anions leads to asymmetric lattices that may exhibit unusual magnetic magnetic properties.



Fig. 1: Nuclear structure of  $Fe(NCS)_2(d_4-pyz)_2$  perpendicular (a) and parallel (b) to the sheets. The solid line marks one unit cell space.

In this work we focus on Fe(NCS)<sub>2</sub>(pyz-d<sub>4</sub>)<sub>2</sub>. This system crystalizes in a 2D like structure consisting of stacked Fe<sup>2+</sup>-pyz sheets along the *c*-axis and shows long range antiferromagnetic order below  $T_N$ =6.6K with a sinusoidal modulation associated to a propagation vector [1,0, 1/4+ $\chi$ ]. Neutron powder diffraction measurements were performed using the diffractometer E6 close to  $T_N$  showing that the critical scattering resembles that of a 2D S=1/2 Ising system.

With the aim to obtain insight on the spin-wave dispersion at low temperatures and spin diffusion at high temperatures and thus better understand the 2D magnetic behaviour of Fe(NCS)<sub>2</sub>(pyz-d<sub>4</sub>)<sub>2</sub>, inelastic neutron scattering experiments were performed using the high-resolution multi-chopper time-of-flight NEAT. Data have been collected at different temperatures, between 2 and 50 K, with incident neutron energy of 3.15 meV ( $\lambda = 5.1$ Å), giving a resolution of 217  $\mu$ eV at the elastic peak position.



Fig. 2: Energy-momentum spectrum obtained  $Fe(NCS)_2(pyz-d_4)_2$  using NEAT. Two separate features, without significant dispersion, are observed on the magnetic spectrum. Below  $T_N$ , a main inelastic response appears at 1.64 meV, which on heating seems to merge with the peak observed at higher temperatures located at 1.04 meV.

From this experiment we can unambiguously conclude that in Fe(NCS)<sub>2</sub>(pyz-d<sub>4</sub>)<sub>2</sub> two distinct regimes exist, which are associated to two separate features on the magnetic spectrum. Below  $T_{N_1}$  as expected for an Ising system, a large gap is observed at 1.64 meV, equivalent to a thermal energy of about  $3T_{N_2}$ . Most interesting, and as also expected for an ideal Ising system, the spin wave mode presents almost no dispersion. While in the paramagnetic regime, a peak that shows a smooth form-factor-like decrease at large momentum transfer is observed at 1.04 meV. This mode can be associated with antiferromagnetic ordering which is maintained within the chains well above  $T_N$  magnetic transition temperature observed in these measurements.

Work to appear in PRB.

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#### Free quantum rotors in inclusion compounds: a study of NH<sub>3</sub> rotations in Hofmann-type clathrates using neutron spectroscopy

Hofmann-type clathrates Ni(NH<sub>3</sub>)<sub>2</sub>Ni(CN)<sub>4</sub>·2G with guest molecules G = C<sub>6</sub>D<sub>6</sub>, C<sub>6</sub>H<sub>6</sub> (benzene) and C<sub>12</sub>H<sub>10</sub> (biphenyl) are among the rare examples where (almost) free rotations of molecules in the solid state have been observed: the NH<sub>3</sub> groups perform uniaxial quantum rotations. The rotational levels E<sub>J</sub> = B J<sup>2</sup> (J = 0,1,2) are identical in these three compounds with an *effective* NH<sub>3</sub> rotational constant B = 0.71 meV, smaller than the gas phase value B = 0.78 meV. This difference is explained in a rotation-translation model [1] by a coupled reverse rotation of the NH<sub>3</sub> group and its center-of-mass.

Rotational transitions measured so far by inelastic neutron scattering (INS) are those between the rotational levels J=0,1,2. The INS spectra, taken with the time-of-flight spectrometer IN5 at ILL and with the triple-axis-spectrometer V2 at HMI, revealed a most surprising temperature dependence: line widths  $\Gamma_{JJ'}$  of transitions J $\Leftrightarrow$ J' broaden much faster for 0 $\Leftrightarrow$ 2 and 1 $\Leftrightarrow$ 2 transitions than for 0 $\Leftrightarrow$ 1 transitions with increasing temperature.

As theoretical treatments of line widths for free rotor transitions were not available, in a joint effort with a theoretical group a new heuristic quantum dissipation algorithm has been formulated and applied to the INS data [2]. In this approach, the dephasing of the quantum mechanical state due to an interaction with the environment has been simulated, whereby thermal fluctuations are implemented through a stochastic perturbation potential. We found individual coupling strengths for the various transitions, having classical temperature dependence. A comparison of experimental and simulated line widths is shown in Fig. 1.



Fig. 1: Temperature dependence of transition line widths ( $\bullet$  0 $\Leftrightarrow$ 1;  $\bullet$  0 $\Leftrightarrow$ 2) due to rotor-phonon coupling [2]

Another line broadening mechanism, based on resonant rotor-rotor coupling, has also recently been shown [3] to provide a good description of our INS data, both to the temperature dependence of the observed widths and to their relative magnitude, with only one scaling factor (Fig.2).

Thus two approaches, based on different mechanisms, describe our experimental data.

Using the time-of-flight spectrometer V3 at HMI we have, furthermore, studied transition line intensities S(Q) for different neutron momentum transfers Q.



Fig. 2: Temperature dependence of transition line widths due to resonant rotor-rotor coupling [3]

This function is the Fourier transform of the proton density distribution and shows, for a free rotor [4], pronounced maxima at  $Q \rho = 0.68 \pi$  for  $0 \Rightarrow 1$  and 1 $\Rightarrow$ 2 transitions and at Q· $\rho$  = 1.22  $\pi$  for 0 $\Rightarrow$ 2 transitions, where  $\rho$  is the proton distance from the rotation axis. As shown in Fig. 3, the experimental values with respect to shape and relative intensities are well described for  $\rho = 0.94$  Å which is the value for free (gas phase)  $NH_3$  with B = 0.78 meV. On the other hand, a value  $\rho' = 0.99$  Å derived from the effective rotational constant (B = 0.71 meV) leads to a poorer agreement with our experimental data. This implies, in terms of the rotation-translationcoupling model [1], that (within the accuracy of the experiment) the average radius of the proton distribution is not essentially modified in the coupled reverse center-of-mass rotation.



Fig. 3: Experimental transition line intensities (•  $0 \Rightarrow 1$ ; •  $0 \Rightarrow 2$ ; •  $1 \Rightarrow 2$ ) and calculations for  $\rho_0=0.94$ Å (solid lines) and  $\rho'=0.99$  Å (dashed lines)

In summary, we have shown that new INS data on rotational transitions of free NH<sub>3</sub> rotors in inclusion compounds of Hofmann-type clathrates can be successfully described by theoretical models.

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#### New integration method for three-dimensional Bragg peaks

The correct determination of collected peak intensities, especially of low intensity peaks, is a principal problem in single crystal structure analysis. Based on an idea of Bolotovsky et al. [1] a new method has been developed for area-detector peak integration, which is based on a statistical analysis of pixel intensities. According to this so-called "seedskewness" method, the presence of a peak in a predefined integration box is revealed by a significant skewness of the pixel intensity distribution, which is considerably larger than the skewness of the background noise. Starting from a "seed" of pixels with the highest intensities, pixels are added until the skewness outside the "seed" reaches a minimum.

In contrast to other methods, the "seed-skewness" method does not assume any a priori modelling of the reflections. The shape of the peak is determined dynamically, which allows for a clear separation of peak and background. This also avoids the risk of peak truncation, which occurs with integration methods using an integration box of a fixed size like e.g. the so-called "shoebox" method (see Fig.1)



Fig. 1: Shape found by the "seed-skewness" method (red line) and by the "shoebox" method (blue line) for a Bragg peak in one time slice (pulsed neutron data) presented on a logarithmic scale.

Furthermore, it can be shown that the "seedskewness" method optimises the standard deviations of the peak intensities, and thus increases the precision of the refined parameters determined in crystal structure refinements. As the method works for any three-dimensional reflection, we have now extended it to the integration of three-dimensional Bragg peaks obtained from neutron diffraction [2].

The superiority of the method has first been shown for data collected on the Single Crystal Diffractometer (SCD) at the Intense Pulsed Neutron Source (IPNS) in Argonne/USA, where the dimensions are the detector coordinates x, y and the time-of-flight (TOF) t. Then the program was adapted for Bragg peaks collected at two BENSC instruments (E5 and V1), where the scanning angle  $\omega$  now replaces the TOF.

For single-crystal data obtained from YTiO<sub>3</sub> collected at 295 K ( $\lambda$  = 0.902 Å) on the instrument E5,

the diffraction peaks registered were also integrated with the program RACER, which determines the three-dimensional profile by using a best fit ellipsoid [3]. It can be seen that the precision of the refined parameters is better for the data integrated with the "seed-skewness" method which results in a considerably smaller residual R(F) (Table 1).

Table 1: Results of the structure refinements of  $YTiO_3$ .

	SEED	RACER
<i>x</i> (Y)	-0.02067(13)	-0.02049(15)
<i>y</i> (Y)	0.07260(12)	0.07270(17)
x (O1)	0.11908(18)	0.11886(19)
y (O1)	0.45817(16)	0.45868(21)
x (O2)	-0.30893(11)	-0.30915(13)
y (O2)	0.30869(11)	0.30878(15)
z (O2)	0.05765(8)	0.05799(11)
R(F)	0.042	0.062
wR(F)	0.038	0.041
$\Sigma\sigma(F)/\Sigma F$	0.0195	0.0264
G.o.f.	5.65	4.75

Single crystals of the large membrane protein PS I have been studied by neutron diffraction on the instrument V1. For these data the program using the "seed-skewness" method has been generalised to search for Bragg reflections automatically and to determine their integrated intensity as well as their centre of gravity coordinates (x, y and  $\omega$ , see Fig. 2). This now replaces a time-consuming search by hand and guarantees for objective criteria for the determination of the peak in the refinement procedure.



*Fig.2: Diffraction pattern of a membrane protein crystal with the c-axis along the neutron beam.* 

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#### Neutron generation with GeV protons in massive target blocks

The development of new high-flux neutron sources necessitates an experimental revision of the underlying basic spallation reaction because the present reaction models and codes do not yet reach an adequate precision that would allow basing the source concept exclusively on calculations.

With this objective in mind, we have investigated the production of neutrons with proton beams of 0.4, 0.8, 1.2, 1.8 and 2.5 GeV from the COSY accelerator in FZ Jülich, thus encircling the envisaged bombarding energy for the *European Spallation Source* (*ESS*), 1.33 GeV. Three target materials were probed, Pb as the standard material, Hg, the favoured material for ESS, and W because of its higher density. The target blocks, up to 35cm in length and 15cm in diameter, were inserted into the inner chamber of the Berlin Neutron Ball (BNB) as shown in Fig. 1. BNB provides two important quantities, the inelastic nuclear reaction probability  $P_{reac}$  and the neutron multiplicity distribution P(Mn), both with exceptionally low detection thresholds.



Fig. 1: The neutron detector BNB with massive and highly segmented targets placed 'by hand' in its inner chamber.

Figure 2 selects the most important results from this experiment. The left panel shows the survival probability (1- $P_{reac}$ ), i.e. the compliment to  $P_{reac}$ , for incident protons with  $E_p$ = 1.2 and 2.5 GeV as a function

of target thickness.  $(1-P_{reac})$  decreases exponentially, as expected, with slopes characteristic of each of the three materials Pb, Hg and W and independent of E<sub>p</sub>. For 35 cm length, only 10% of the incident protons survive a nuclear reaction in Pb and only 1% in W mostly due to its higher density, while the range due to electronic interaction is as large as 170 and 97 cm for 2.5 GeV protons in Pb and W, respectively. These data allow the precise extraction of the interaction cross sections.

The right panel of Fig.2 exhibits multiplicity distributions for neutrons created by 1.2, 1.8 and 2.5 GeV protons in Pb targets of 2, 15 and 35 cm length. For thin targets, I=2 cm, these distributions originate from a single nuclear reaction. With increasing target size, however, high-energy particles from a first reaction can induce secondary reactions and thus the inter-nuclear cascade develops more and more with increasing I. As the result, the mean neutron multiplicity <M<sub>n</sub>> nearly doubles when increasing I from 2 to 35 cm and the same is true when rising E<sub>p</sub> from 1.2 to 2.5 GeV for fixed I=35 cm.

The various experimental results [1] can be condensed into a quantity expressing the economy of neutron production, i.e. the mean number of neutrons  $\langle M_n \rangle$  produced per incident proton and per unit of beam energy.  $\langle M_n \rangle$ /p increases sharply with increasing E<sub>p</sub> or decreasing electronic energy loss of the proton in the material and culminates between 0.8 and 1.2 GeV at 22 neutrons per proton and GeV for a Pb cylinder with  $\ell$ =35 cm and Ø= 15 cm. W gives a 10% higher yield than Pb and, hence, it would provide a brighter neutron source. HER-MES simulations reproduce the measured yields reasonably good.

It appears that 1.2 GeV is the most profitable choice for E<sub>p</sub>. A considerably higher bombarding energy would be more appropriate, however, when other aspects as, for instance, the lifetime of the window of the target station are taken into consideration. This all the more as  $<M_n>/p$  diminishes very slowly above 1.2 GeV.



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Fig. 2: Left: Survival probability of 1.2 and 2.5 GeV protons in Pb, Hg and W as a function of target length. Right: Neutron multiplicity distributions from 1.2, 1.8 and 2.5 GeV protons impinging on 2, 15 and 35 cm long Pb-targets.

## A frustrated molecular magnet

## A neutron diffraction study of magnetic ordering in a triangular lattice based on a tridentate molecule

A number of organic molecules have been shown to provide pathways for magnetic interactions between transition metal ions in transition metal coordination compounds. Ligands with two binding centres ("bidentate") result in a variety of interesting structural topologies and magnetic ground states. Recently, we have investigated, e.g., Cu(pym)(NO<sub>3</sub>)<sub>2</sub>(H<sub>2</sub>O)<sub>2</sub> [1], a quasi one-dimensional (1D) Heisenberg antiferromagnet with pym = pyrimidine, the magnetic ordering in the 2D rectangular network systems Co(ox)(bpy) and Co/NiCl<sub>2</sub>(bpy) with ox = oxalate (C<sub>2</sub>O<sub>4</sub><sup>2°</sup>), bpy = 4,4'-bipyridine [2,3] and the chiral 3D network Fe(pym)<sub>2</sub>Cl<sub>2</sub> [4].

Tridentate ligands are expected to add another flavour to magnetism in molecule-based compounds, because they may give rise to triangular topologies and therefore to magnetic frustration. The tricyanomethanide anion,  $C(CN)_3$ , has three-fold symmetry (see Fig. 1, left hand side) and therefore appears to be an ideal candidate.

We have investigated manganese tricyanomethanide, Mn[C(CN)<sub>3</sub>]<sub>2</sub>, that crystallizes in an orthorhombic lattice consisting of two interpenetrating 3D rutilelike networks (see Fig. 1, centre). In each network, the C(CN)<sub>3</sub><sup>-</sup> anion gives rise to magnetic exchange interactions between the Mn<sup>2+</sup> ions (S = 5/2) that can be mapped onto the "row model" for partially frustrated 2D triangular magnets. The corresponding magnetic topology is depicted in Fig. 1 (right hand side). In contrast to the ideal triangular lattice, in this model the intra- and inter-row magnetic exchange parameters, *J* and *J*', respectively, are different.

A powder sample of Mn[C(CN)<sub>3</sub>]<sub>2</sub> was synthesised at HMI. Heat capacity data reveal a phase transition at  $T_N = 1.18$  K, indicative of magnetic ordering. From magnetic-field dependent data, a saturation field  $B_{\text{sat}} = 4.2$  T is estimated, at which the low-temperature magnetic ordering breaks down.

The magnetically ordered structure in zero magnetic field was determined from neutron powder diffraction data measured between 0.04 and 1.2 K on the BENSC instrument E6 using a dilution-stick cryostat. It consists of an incommensurate spiral with a temperature independent propagation vector  $\mathbf{Q} = [2Q \ 0]$ 0] with Q = 0.311. This corresponds to [0.311 0.311 0] in a guasi-hexagonal representation. In this structure, neighbouring magnetic moments on the Mn ions enclose an angle of  $Q \times 360^\circ = 112^\circ$  (see Fig. 1, left). From this angle, the ratio  $J^{\prime}J = 0.749$  is determined. The ordered moment  $\mu = 3.3 \,\mu_B$  is about 2/3 of the full Mn<sup>2+</sup> moment. From the value of  $T_N$  the exchange parameters  $J/k_B = 0.15$  K can be estimated. Using the latter value and the value of  $J'_{J}$ , theoretical calculations based on the row model give an independent estimate of the saturation field, identical with the measured value of 4.2 T.

Therefore, we conclude that  $Mn[C(CN)_3]$  may serve as a "classical spin" (S = 5/2) model system for rowmodel type partial frustration.



Tridentate" Interpenetrating molecule 3D network structure

Partially frustrated triangular topology

Fig. 1: Local geometry around the tricyanomethanide anion in  $Mn[C(CN)_3]_2$  (left), the resulting 3D structure of two interpenetrating, independent networks (center), and the magnetic topology of the row model (right hand side).

For ideal triangular lattices (J = J') the existence of three different field-dependent magnetic phases has been reported. A 120° spiral structure in low fields is replaced by the "up-up-down" structure with magnetization 1/3 for fields close to  $B_{sal}/3$ . At higher fields, the so-called 2-1 structure is realized. In our magnetic-field dependent neutron diffraction measurements, using the horizontal field magnet HM1, we did indeed observe a similar sequence of magnetic phases in Mn[C(CN)<sub>3</sub>]<sub>2</sub>, see Fig. 2.



B < 1.35 T Intermed. B B > 1.60 T

Fig. 2: The three different magnetic phases observed in  $Mn[C(CN)_{3}]_2$  when applying a magnetic field along the row direction, namely the spiral structure, the upup-down like, and a 2-1 like phase (from left to right).

Interestingly, the corresponding propagation vector Q is magnetic-field dependent, initially increasing with increasing field. However, at the transition to the upup-down like structure, surprisingly no lock-in transition to Q = 1/3 is observed, which was expected theoretically. In contrast, Q varies continuously through an intermediate field range, before the transition to the 2-1 like phase takes place. The value Q = 1/3 is reached only at B = 1.9 T, i.e. in the 2-1 phase, but for higher fields it decreases again. This means, the magnetic structure is incommensurate for all field values except 1.9 T. To date, there is no explanation for this highly unexpected result and more theoretical work is required. (This work was published in ref. [5]).

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### Studies of U<sub>2</sub>Pd<sub>2</sub>In single crystal up to 17 T

Uranium intermetallics exhibit a rich variety of interesting phenomena (e.g. long-range order, superconductivity, Kondo, heavy fermion state, non-Fermi liquid state, etc.) due to several facts. First, 5f electrons, which are primarily responsible for the physical properties of these systems, have to be treated as relativistic particles (their speed is non-negligible with respect to the speed of light), they posses both spin and orbital magnetic moments with a verv strong spin-orbit coupling (in contrast to the d electron systems in which the orbital part is usually quenched), and they are situated nearby the Fermi level (in contrast to 4f materials in which the 4f states are well screened by valence states). Depending on the geometry, distance, number and nature of atoms in the U-atom's neighbourhood, the 5f electrons partially or completely lose their original 5f character - they become hybridized with other states [1]. In the strong hybridization limit the system is non-magnetic, in the weak one it resembles its 4f analogue. Therefore, 5f systems are so rich in magnetic properties and at the same time sensitive to various thermodynamic parameters like temperature, magnetic field and pressure. The existence of a strong spin-orbit coupling causes a large magnetocrystalline anisotropy (magnetic moments are kept in certain crystallographic directions), which exceeds anisotropies of conventional hard magnetic materials. It is therefore of primary importance to understand this mechanism in detail.

With these issues in mind, we have conducted a study of the tetragonal antiferromagnetic (AF)  $U_2Pd_2In$ , in which magnetic moments reside only on uranium ions [2]. A complicated non-collinear ground state arrangement exists in this compound (Fig. 1a) below 38 K. In sufficiently high magnetic fields, AF interactions are broken and a field-induced metamagnetic state is formed. In the limit of very high fields, a field-forced ferromagnetic (FIF) state is established. Most of the magnetic studies are performed by means of magnetization meas-



Fig. 1: The ground state antiferromagnetic structure of  $U_2Pd_2ln$  (a) consists of dimerized U magnetic moments (1.7  $\mu_B$ ) confined to the [110] type mirror planes. Upon application of magnetic field along the a axis (b), c axis (c) and [110] direction (d) theory predicts [3] that because of strong magnetocrystalline anisotropy only specific moments (inside circles) change their directions depending on the direction of applied field. Common feature is that all the moments stay in the [110] type mirror planes. For the field directed along the c axis no flip transition occur - U moments only tilt out of the basal plane.

urements, which sense only the total magnetic response of the material and are not able to reveal the contributions of individual atoms. For that, neutron diffraction is an indispensable tool.



Fig. 2: High-field magnetization curves of  $U_2Pd_2In$  measured at 4.2 K along the c axis ([001]), along the a axis ([100]) and in the [110] direction.

Unfortunately, the critical fields at which the AF interactions are broken in U<sub>2</sub>Pd<sub>2</sub>In are too high. For the a axis ([100]), it amounts to 29 T and for the [110] direction to 26 T. Along the c axis ([001]), no transition is observed up to 57 T although this is the direction of the easy-magnetization axis (Fig. 2). However, it appears that above 33 K one can achieve modifications of the ground state AF structure at 17 T (maximum available steady magnetic field for neutron research). Unfortunately, the original step-like character of the field-induced transitions is lost and an S-shaped magnetization curve is observed at this temperature. Nevertheless, an analysis of all the data sets scaled together revealed field-induced noncollinear magnetic structures (Fig 1b, c, d) that agree with ab-initio theoretical calculations [3] for low temperatures. These results clearly demonstrate the importance of the hybridization-induced magnetocrystalline anisotropy in U<sub>2</sub>Pd<sub>2</sub>In that locks U moments in the [110] type mirror planes even at elevated temperatures. However, unlike at low temperatures, the transition is not of the spin-flip type, where the direction of the U moment changes abruptly, but the moment magnitudes of specific U ions first decrease with increasing field (e.g. for fields along the [110] direction the moment is originally antiparallel to the field), change direction and increase again. In the first approximation, moments originally perpendicular to the field are not affected.

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#### Exposure of the EXAFS background

The X-ray absorption spectra of atoms bound in molecules or condensed matter show typical oscillations behind any of the absorption edges. This effect – known as EXAFS (Extended X-ray Absorption Fine Structure) – can be used to extract information about the structure of soft or solid materials.

In the last decades the analysis of the EXAFS data occurred in two steps: In a first one, the oscillatory part of the measured absorption data that contains the geometrical structure information, was separated from the smooth background part, which represents the embedded atom contribution. After that the oscillatory part was analyzed by a Fourier transformation technique. This analysis method neglects the error correlations between the structure parameters and the background function and makes it difficult to follow the error propagation from the measured input data to the output of the data analysis in a systematic, quantitatively reliable way.

To overcome these problems we developed a new data analysis procedure that determines the background function together with the structure parameters in one fitting process. The analysis of X-ray absorption data  $\mu_{exp}(k)$  as a function of the wave number k requires the determination of the detection efficiency A(k) and the embedded atom absorption  $\mu_0(k)$  (absorption without the contribution of the neighboring atoms) to obtain the EXAFS function  $\chi(k)$ . From the latter the radial distances  $R_i$ , Debye-Waller (DW) parameters  $\sigma_{i}^2$  and anharmonicity parameters  $C_{3,j}$  have to be inferred. Alternatively, instead of DW parameters for each scattering path j, the first few spring constants  $\kappa_s$  of a force-field model can be determined. We use a Bayesian approach to solve this ill-posed inversion problem. First A(k) is obtained from

$$A(k) = \frac{\langle \mu(k) \rangle}{\langle \mu_0(k) \rangle}; \qquad \mu(k) = \mu_{\exp}(k) - \mu_{\operatorname{pre}}(k) ,$$

where  $\mu_{\text{pre}}$  is the pre-edge background and where the brackets mean that the cross sections  $\mu$  and  $\mu_0$ have been subjected to a smoothing procedure. The *a priori* embedded atom absorption  $\mu_0(k)$  is obtained from calculations using the FEFF-program [1] and the smoothing procedure is performed following a proposal by Strutinsky and Ivanjuk [2].

Because of systematic errors in the oscillatory structure of  $\mu_0(k)$ , which are due to the approximate treatment of the many-electron problem in FEFF, the absorption function is written as

$$\mu(k) = A(k)(\mu_0(k) + \nu(k))(\chi(k) + 1)$$

with a cubic-spline correction function v(k) for  $\mu_0(k)$ . The ordinates  $v_p$  at the support points  $k_p$  of the spline are determined simultaneously with the model parameters  $R_{j}$ ,  $\kappa_s$ , and  $C_{3,j}$ . For the direct problem FEFF is used for the electronic part and the cluster approach of Poiarkova and Rehr [3] for the lattice dynamics.

To illustrate the procedure we have used K-edge data taken at room temperature on a well-ordered Ge sample by Newville [4], where the radii are essentially given by the lattice parameter, and for the diamond type lattice of Ge we use one stretching mode ( $\kappa_7$ ) and one bending mode ( $\kappa_3$ ). The inverse

problem is treated with our stochastic regularization method (Bayes-Turchin approach) [5]. The input of the procedure consists of an *a priori* guess of all model parameters, in particular:  $v(k_p) = 0$ ,  $C_{3,j} = 0$ , all  $R_j$  corresponding to ideal lattice distances, all  $\sigma_j^2$  from the correlated Debye model with  $\theta_{\text{Debye}} = 360 \text{ K}$  or spring constants  $\kappa_1 = 100 \text{ N/m}$  and  $\kappa_3 = 14 \text{ N/m}$ . The results of the fit are *a posteriori* model parameters, including their errors and error correlations, *a posteriori* functions  $\mu(k)$  and  $\chi(k)$ , and final spring constant values.

The data have been analyzed in k space between 3 Å  $\leq k \leq 20$  Å. The part k = 2 - 10 Å is shown in Fig. 1. It appears that the strong oscillations in the embedded atom cross section  $\mu_0$  predicted by FEFF (dashed line) needed to be significantly damped in order to get a good description of the data.

The extension of this method to overlapping  $L_{1,2,3}$  edges of 3d-transition metals in the soft x-ray regime is in progress. Instead of the expression above we now have to fit

$$\mu(k) = A(k) \sum_{s=1}^{3} D_s \left( \mu_0^{(L_s)}(k) + \nu^{(L_s)}(k) \right) \left( \chi^{(L_s)}(k) + 1 \right) \right),$$

where  $D_s$  are the absolute normalization factors for each of the three cross sections, and A(k) has to be adjusted properly.



Fig.1: Wave number dependence of the measured absorption data  $\mu_{exp}(k)$  divided by the detection efficiency A(k) (solid points), a posteriori  $\mu(k)$  (thin solid line), a priori  $\mu_0(k)$  (dashed line), and a posteriori  $\mu_0(k)$  (thick solid line). The vertical dashed line marks the lowest k-value of the fit region.

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#### **Chemical gradients in superalloy Inconel 706**

Inconel 706 is a wrought Ni-Fe base superalloy which is used for high temperature services [1], e.g. for gas turbine discs. It is an alloy that derives its good mechanical properties after age-hardening from a fine dispersion of ordered face centred cubic  $\gamma'$  and ordered tetragonal  $\gamma''$  phases or  $\gamma' - \gamma''$  coprecipitates in the solid solution  $\gamma$  matrix. However, the microstructural stability of Inconel 706 under service above 700°C for more than 200.000 hours is not sufficient. This is because of the growth of the hardening precipitates and their phase transformation into semicoherent  $\eta$  precipitates. Both lead to a strong reduction of strength. In addition, Inconel 706 tends to embrittle by stress induced grain-boundary oxidation.

Usually, in order to improve the creep rupture life of the Inconel 706, one applies a stabilization heat treatment (ST) between the solution treatment and the double-aging treatment. By this treatment, Ni<sub>3</sub>Ti based  $\eta$  precipitates are produced [2-4] that do not appear after direct ageing. They form either at the grain boundaries or inside the grains. Optimum creep rupture properties were achieved by a further slight modification of the ST heat treatment cycles as proposed by [5].

Up to now, the morphology of the precipitates, which cause the improved properties and their inner arrangement, is not exactly known. In the present study the microstructure of the two differently heat treated materials was investigated by means of high resolution methods such as transmission electron microscopy (HRTEM) and three-dimensional atom probe (3DAP).Both direct aged (DA) and modified standard (MST) heat treated IN 706 samples were analyzed by 3D atom probing. Fig. 1 displays reconstructed positions of Ti (pink) AI (blue) and Nb (yellow) atoms in a volume of 7.8 x 7.8 x 23.1 nm<sup>3</sup> of the alloy after MST heat treatment. The reconstructed volume contains regions enriched or depleted in Ti, Nb and Al atoms. Since these elements are usually the major constituents of the various precipitate phases, the Ti, Nb and Al rich regions are either  $\gamma'$  or  $\gamma''$  precipitates, or  $\gamma' - \gamma''$  co- precipitates.



## Fig. 1 Three-dimensional reconstruction of Nb, Ti and Al atoms of MST heat-treated Inconel 706 alloy

The region depleted of Ti, Nb and Al is generally the continuous  $\gamma$  matrix. The Ti, Nb and Al lattice planes in the  $\gamma'$  phase (left precipitate) are clearly visualized

by the stripy pattern. The precipitate on the right hand side is a sandwich  $\gamma''-\gamma''$  co-precipitate which is shown from a different angle in Fig. 2a. The lattice planes inside the precipitate are rich in Al and Ti, i.e. this region is  $\gamma'$ .



Fig. 2 (a) Three-dimensional reconstruction of Nb, Ti and AI atoms of a sandwich  $\gamma''$ - $\gamma'$ - $\gamma''$  co-precipitate after MST heat treatment (b) schematic image of  $\gamma'$  and  $\gamma''$  phases.

The regions right and left of this  $\gamma'$  phase are rich in Nb and Ti while practically no Al has been measured there, i.e. they correspond to the composition of the  $\gamma'$ -phase. The  $\gamma'$  phase is coherent to the  $\gamma''$  in the (001) plane. The morphology of the  $\gamma'/\gamma''$  coprecipitate is shown in Fig. 2b. The schematic sketch in Fig. 2b shows the arrangement of both phases in the precipitate.

From the concentration depth distribution of the components AI, Nb and Ti through a  $\gamma'/\gamma''$  coprecipitate, the width of the interface between  $\gamma'$  and  $\gamma''$  of about 0.2 nm can be deduced.

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### Structure investigations in nanomaterials using SANS

Small Angle Neutron Scattering (SANS) is used as a non-destructive technique for the analysis of nano-structures in modern materials. This nanoanalytical technique allows composition, density and magnetisation fluctuations to be identified on a length scale between 0.5 and 300 nm. Macroscopic averages of the nano-structural parameters are obtained and correlated with basic material properties in magnetic liquids, magnetic and ceramic nanomaterials, in amorphous and partly crystallised materials. The quantity actually measured in SANS is the intensity of the neutron flux as a function of the Q-value – the momentum transfer in the probe.

## Core-shell nanostructures in Magnetic Liquids: Solvent dependent arrangement of surfactants.

Ferrofluids are liquids with magnetic properties. They consist of small particles with diameters of several nanometres floating in a carrier liquid (water, organic solvents). The particles themselves are built of a core contributing the magnetic properties (Cobalt, Magnetit, Ba-Hexaferrite) and a nonmagnetic shell consisting of organic macromolecules. The shells separate the particles from each other and prevent them from sticking together and forming larger structures. This way they create a colloidal suspension that can remain stable for long periods of time.

The work presented here focused on a comprehensive study of nanoscaled structures in ferrofluids by a systematic variation of the magnetic core materials, shell-forming surfactants (mono- and bi-layers) and of the carrier liquids. This research was performed within the DFG Priority Program 1104.

Currently great effort is undertaken to prepare new bio-compatible magnetic liquids for potential biomedical applications. Magnetically supported drug targeting and delivering are mainly determined by the properties of the shell: Immunoassays and antibodies have to be attached selectively by chemical bonding to the shell via functional groups. In this context, two identical magnetite-ferrofluids with oleoylsarcosine as surfactant have been prepared. They were stabilized in different solutions in water or in toluene. Combining contrast variation using polarized neutrons (SANSPOL) with H/D isotope variation of solvents allows different magnetic and non-magnetic structural units of ferrofluids to be identified by Small Angle Neutron Scattering. A new approach of data analysis has been developed which uses in a first step the least-square fit of the nuclear magnetic cross-term as obtained from the difference of the scattering intensities of the two neutron polarisation states  $\int_{0}^{1} (\mathbf{Q} \perp \mathbf{H}) - \int_{0}^{1} (\mathbf{Q} \perp \mathbf{H}) =$ const \*  $F_N$   $F_M$  (Fig. 1). Here  $\int_{-1}^{+1}$  and  $\int_{-1}^{+1}$  refer to the intensities for polarized neutrons with negative and positive spin, respectively.  $F_N$  is the polarization independent nuclear contribution,  $F_{M}$  the magnetic one. Thanks to this method, only magnetic particles are separated out allowing a precise determination of size, composition and magnetization of the particles.



**Fig.1:** The fit of the difference (solid line) of the SANSPOL intensities  $\int^{(+)}(\mathbf{Q}\perp\mathbf{H}) - \int^{(-)}(\mathbf{Q}\perp\mathbf{H})$  corresponds to the magnetic-nuclear cross term of magnetic core-shell particles and magnetic aggregates.

It turned out that two magnetic units are present in this ferrofluid. Besides the main component of magnetic core-shell particles, a definite amount of larger aggregates was identified. These aggregates



**Fig.2:** Volume weighted size distributions of the structural parts in water (top) and toluene (bottom) based ferrofluids

consist of a mixture of magnetic cores and a higher amount of surfactants with a broader size distribution. Using this result derived from the cross term, the reconstruction of the curves for the two polarization directions revealed large discrepancies with respect to the measured curves at larger Q-values. This is the direct evidence that the sample must contain additional non-magnetic nano-structures of similar sizes. In a second step, three structural parts are analysed by a simultaneous least-square fit of all curves using constraints between various parameters. Beside the previous magnetic contributions, a non-magnetic surfactant structure was identified in both the water and toluene based ferrofluids. The final fits correspond to the volume size distributions of Fig. 2. The averaged core radii <R> of 5 nm were found to be identical in both solvents.



**Fig.3:** This sketch shows the bonding of oleoylsarcosine on the magnetite surface via the hydrophilic acid group. A bilayer is necessary for the solubility in water whereas a monolayer can stabilize the cores in toluene.

As main result the shell thickness of the surfactant, oleoylsarcosine, is found to be clearly different in ferrofluids based on water (2.3 nm  $\pm$  0.1 nm) and on toluene (1.9 nm  $\pm$  0.1 nm). Therefore, we conclude that the surfactant must form a bi-layer in water and a monolayer in toluene (Fig.3). This indicates that bonding of the surfactants to the magnetic core must be mediated via the hydrophilic acid group and not via the nitrogen atom. This is an important information in view of potential use of such types of ferrofluids for drug targeting.

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#### Microstructures in Semi Solid Metal Alloys under Shear Stress: SANS and Rheological Characterization

Metal alloys in the semi-solid state show special thixotropic flow behaviour. In this semi-solid state the alloys consist of a fluid phase and a globolitic solid phase. Modern casting processes, the so-called "Thixoforming"-processes take advantage of these special characteristics. In a common project of the German Research Foundation (Wi 1151/1-1) with the Institut für Verfahrenstechnik of RWTH Aachen, Germany, we investigate the structure evolution during solidification when shear fields are applied. SANS measurements are performed in-situ simultaneously with rheological characterization. A specially designed rotation rheometer and a heatable shear cell was in stalled at the SANS instrument of the HMI (V4).



Fig. 4: Sketch of the heatable shear cell

The inner cylinder is rotating to impress a certain shear stress while the outer one is fixed. Both cylinders are composed of synthetic quartz. Measurements are possible in radial and tangential geometries.

The set-up was tested using a synthetic polymer suspension generated at the RWTH Aachen with a mean particle diameter of 80 nm and a solid fraction of ~40%. The rheological measurements showed that the viscosity changed with every change of the shear rate, whereas the SANS just observed an alteration in microstructure at certain points. Fig.5 shows the SANS pattern corresponding to a lamellar hexagonal arrangement of particles induced by the shear stress. At low shear rates, the planes are stacked parallel to the flow direction while at high shear rates the planes are arranged perpendicular to it.



SANS of polymer suspensions at different shearrates in radial (upper part) and tangential scattering geometry (lower part)

For the investigations of a Sn-15%Pb metal alloy the cell had to be optimized concerning heating and oxidizing conditions. The alloy was cooled from 225°C to the solid state at 197°C and reheated again: at first without a shear strain, then with a shear rate of 100s<sup>-1</sup>. As expected, the intensity increased after reaching the semi solid state and reached the highest value in the solid state. This effect is reversible. When the results with and without shear rate are compared, it can be stated that a mechanical load during cooling leads to smaller particles and a more isotropic structure (Fig. 6).



Fig. 6: SANS patterns of Sn-15%Pb alloy during solidification without and with shear stress

Afterwards the alloy was cooled down to the semi solid state applying different shear rates (100s<sup>-1</sup>, 200s<sup>-1</sup>). The integral intensity increased after achieving the semi solid state, but was not completely reversible as a result of a small rate of oxidization. Nevertheless, a change in structure occurs when the alloy is sheared while cooling and this structure disappears after heating up (Fig. 7, 100s<sup>-1</sup>).



**Fig. 7**: Correlation between temperature, viscosity and total SANS intensity during cooling and reheating of a Sn-15%Pb alloy

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# Guided transmission of 3 keV Ne7+ ions through nanocapillaries in PET polymers: dependence on the capillary diameter

The outstanding progress in nanotechnology is accompanied by a continuous miniaturization of interfaces used in microelectronics and related fields. Particular attention has been paid to linear structures of mesoscopic dimensions, such as pores or capillaries. Advantageous tools for producing capillaries with great precision are ion tracks created by energetic projectiles in the solid [1].

Recently, we started experiments in which PET (Mylar) polymer foils of  $10 \,\mu$ m thickness were irradiated by 400 MeV xenon-ions. Capillaries with a diameter of a few hundreds nm in foil were obtained etching ion tracks using NaOH [2].

To study the capillary interior, we measured the transmission of  $3 \text{ keV Ne}^{7+}$  ions through the capillaries. The final charge state of the transmitted ions was analyzed including neutrals by means of an electrostatic deflector. Angular distributions of the transmitted Ne<sup>7+</sup> ions obtained with insulating PET material were compared to results with capillaries covered by a thin Ag metal film. Transmission measurements were made with a foil tilted with respect to the incident beam direction. Particular emphasis was given to the comparison of results achieved with capillary diameters of 100 and 200 nm.

The angular distributions of the transmitted Ne<sup>7+</sup> ions for PET are found to be essentially different from those obtained with metals. In the latter case, the Ne<sup>7+</sup> angular distribution is rather narrow (FWHM of 1°) and ion transmission vanishes when the foil is tilted (Fig. 1). In contrast, for the 5° tilted PET foil, the angular distribution of the Ne<sup>7+</sup> is found to be relatively broad (FWHM of 4°-6°) and the maximum is shifted by 5°. Similar effects were found for tilt angles as large as 25°. The latter observation suggests a "guidance" of the Ne7+ ion within the capillary, i.e. scattering events alter the main propagation direction of the Ne7+ ions along the capillary axis. This observation is surprising, since scattering events are expected to change the charge state of the projectile, i.e., highly charged ions scattered from the surface by, e.g., 5° are neutralized [3].

The present finding of ion guidance provides evidence that the inner walls of the capillaries become charged and close collisions with the surface are suppressed.

The charge deposition occurs by means of a selforganizing process, which allows charge up until a certain maximum value where the electric field becomes so high that the ions are deflected and further charge deposition is inhibited.

From Fig. 1 it is seen that the transmissions of the ions depend both on the tilt angle and the capillary diameter. Specifically, for 0° tilt angle the transmissions are about equal for the two capillary diameters, whereas for 15° tilt angle the transmission through 100 nm capillaries is about an order of magnitude larger than the corresponding transmission through 200 nm capillaries. This finding supports the picture of ion guiding in the capillary. The

higher the aspect ratio of the capillary the higher the capability to bend the ions along the axis of the capillary and transport them to its exit.



Fig. 1: Angular distributions of Ne<sup>7+</sup> ions transmitted through capillaries in PET. The tilt angle is indicated. Also plotted are data for capillaries in Ag. In (a) and (b) results for capillary diameters of 100 and 200 nm are compared.

As the present effects are associated with charge deposition, we expect a time dependence of the capillary guiding. When the beam is turned on we observe the capillary charging with a time constant of  $\tau_c$ . We obtained 2.5 min and 0.75 min for 100 nm and 200 nm capillaries, respectively. These values are plausible, since within the linear model  $\tau_c = Q_a/J_{in}$ where  $Q_{\infty}$  is the final charge deposition in the capillary [2], and the incident beam  $J_{in}$  increases by a factor of 4 when the capillary diameter increases from 100 nm to 200 nm. After 10 min the beam is turned off to verify the capillary discharging which was found to take place with an initial time constant of  $\tau_d^{0}$ =40 min. This is done by probing the transmission by short beam pulses whose contributions to the capillary charging can be neglected. It is noted, however, that due to the non-linear behaviour of the charge depletion, observable charges remain in the capillary even after weeks.

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### Ion-track based microstructures for electronic applications

Irradiating a thin polymer foil with fast ions and subsequent etching leads to the creation of a dense array of straight pores – ion tracks – with diameters of several hundred nanometers. In the present report we discuss a novel application of membranes created this way: the production of miniature electronic components, like magnets or transformers. Fig 1 shows a sketch of these devices. The wires crossing the foil were created by deposition of evaporated metal in selected ion tracks. We did not include ferromagnetic cores in these prototypes, as they become obsolete at the high frequencies where the devices are thought to be used.



#### ion track transformer

Fig. 1: Ion track based micro-magnets and micro-transformers, principle sketch.

Similarly, first ion-track based microcondensor structures have been realised (see Fig. 2).

For a first feasibility test of micro-magnets and micro-transformers, we started with relatively large devices with areas of a few  $mm^2$  in 20  $\mu$ m thick kapton foils and with only a few windings. The conducting connections were made of copper, silver, and/or gold. Though these devices still show a poor inductance and operate reliably only up to the GHz range, they already allow reasonable signal transformation and have non-negligible quality factors.



#### ion track condensor

Fig. 2: First ion track-based microcondensor structures.

In Fig. 3 (top), the individual etched tracks of the PI microporous foil can be clearly recognized in the transmission optical microscope image as small black spots. This Figure indicates that a further reduction in size by one more order of magnitude is still possible, which will make the devices interesting for technical applications, e.g. in anticollision radar systems.



**Fig. 3:** First miniaturized ion-track based transformer. Top) overall view, Bottom) detail. Grey: microporous PI (polyimide) foil, dark: evaporated Au wires.

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#### Thermal-spike-induced crystal growth in nanocrystalline nickel

In nanocrystalline materials many physical properties are altered compared to their coarser-grained counterparts because 30% of the atoms belong to or are affected by the presence of interfaces. Due to their distorted structure these interfaces are expected to affect also the behaviour of radiationinduced defects [1,2] and the time evolution of thermal spikes – regions along the track of the ion with a very high temperature of the electron gas. It is argued that a small grain size leads to a reduced thermal conductivity and, consequently, to an enhancement of the spike lifetime. The work presented here aims at an exploration of the latter possibility.

Commercially available nanocrystalline Ni foils of 100 and 50  $\mu$ m thickness were irradiated with 230 MeV Xe or 350 MeV Au ions at room temperature. Prior to and after irradiation, we examined the samples using X-ray diffractometry. For the evaluation of the X-ray diffraction data the (111) and (200) peaks have been used. Both a small average size of the crystallites and the presence of microstrains in a nanocrystalline sample lead to broadening of X-ray diffraction peaks, and we had to use a special mathematical technique in order to separate them. The Lorentzian line width  $w_L$  (FWHM) is linked to the mean grain size *D* by the Debye-Scherrer formula:

$$D = \frac{2}{\pi} \frac{K\lambda}{(w_L - w_I)\cos\theta},$$
 (1)

where  $K\approx 1.15$  is a crystallite form factor [3] and  $w_l$  is the instrumental resolution. *D* is about 12 nm for the unirradiated specimens. Fig. 1 displays  $w_L$  as a function of ion fluence for irradiation with Au ions. It can be seen that  $w_L$  decreases rapidly below  $1\times 10^{14}$  ions/cm<sup>2</sup> followed by small changes at higher fluences. Obviously, in the beginning ion bombardment induces a rapid crystal growth accompanied by a rapid decrease of microstrains. This process ends on a fluence scale of a few  $10^{13}$  ions/cm<sup>2</sup> and is followed by a comparatively slow process. Tentatively, the two processes are described by

$$w_L = w_{L0}e^{-\sigma\Phi t} - a\Phi t + b , \qquad (2)$$

where  $w_{L0}$  denotes the total line width change due to the fast process occurring with a cross-section  $\sigma$ . The slow process is empirically approximated as a straight line; the physical meaning of its parameters *a* and *b* is not clarified yet. For both, the (111) and (200) peaks the cross-section  $\sigma$  is  $(9\pm3)\times10^{-13}$  cm<sup>2</sup>. This cross-section is 3000 times larger than the total displacement cross-section for direct elastic collisions between the projectiles and the target atoms. Therefore, the fast process is definitively caused by the electronic excitation of the nickel atoms. From the values for  $w_{L0}$  we deduce an increase of the mean diameter of the nanocrystals by (1±0.1) nm. If we assume that crystal growth proceeds isotropically this finding implies that about 25% of the specimen atoms are involved in the fast process. Probably, a thermal spike released by the electronic excitations in a radius of about 2 nm around a projectile's path induces a rearrangement of all atoms to a "final" position in the grain boundaries. Further thermal spikes by later ion impacts do not change these positions. It is the slow process, which is probably very different in nature, which leads to further crystal growth.



**Fig. 1:** Lorentzian line width of the (200) and (111) reflections as a function of the applied 350 MeV Aufluence.

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## ASPIC\*: Dominance of coordination-number dependence in a zerodimensional magnetic system

In our recent experimental study [1], magnetic hyperfine fields ( $B_{hf}$ 's) at a nonmagnetic probe atom – cadmium (Cd) - positioned at different sites on nickel surfaces were measured with unsurpassed atomic resolution. A simple relationship between the  $B_{hf}$  values and the coordination number (NN), i. e. the number of nearest nickel neighbours, was found essentially confirming earlier predictions [2].

Basically, nonmagnetic (or magnetic) atoms on a ferromagnetic surface constitute a two-dimensional magnetic system. The results of our measurements [1], however, rather correspond to the predicted and measured properties of 0D systems: The coordination number seems to rule the magnetic properties. Thus, the introduction of an impurity on a ferromagnetic surface may be considered as a means of creating magnetic 0D systems embedded in 2D systems. In the present experiment, we wanted to find out to what extent the magnetic properties of the cadmium atom are only governed by the number of its nearest neighbours and not the symmetry of their arrangement.



*Fig. 1:* Adatom configurations of Cd impurities on Ni(001), Ni(111) and vicinal Ni(111).

The results of these investigations – presented in Ref [1] – show that the experimentally obtained values for  $B_{hf}$  did not depend on the orientation of the surface but were equal as long as the coordination number remained unchanged. This observation was made for NN=7, where the differences in geometry are not very pronounced. For smaller coordination number the differences in symmetry between nickel surfaces with (001) and (111) orientations become stronger. The extreme case of symmetry difference is given for NN=4 by

the comparison of the adatom on the fourfold hollow site on Ni(001), and the threefold hollow site on Ni(111), where the probe atom is additionally in contact with *only one atom* at a step of the next atomic monolayer. The comparison of B<sub>hf</sub> for NN=4 on Ni(001) and on Ni(111) allows for a decisive judgment on the role of local symmetry. In the present experiment we have measured the magnetic hyperfine field for <sup>111</sup>Cd on Ni(111) with NN=4.

This surface site is created on a Ni(111) crystal with zigzag shaped steps (cf. Fig. 2). On such a crystal, the cadmium atom can be located on three different sites: the adatom site with NN=3, the free step site (NN=5) and the cusp adatom site with NN=4. The fields at all three sites are measured simultaneously in a PAC measurement.



**Fig. 2:** Zig-zag shaped steps on vicinal Ni(111) with possible impurity sites.

The properties of the first two sites are known. Therefore, the evaluation of the data could be focused on the small third fraction with the result of  $|B_{hf}|=8$  T. This coincides remarkably well with the adatom value on Ni(001),  $|B_{hf}|=7.3(3)$  T [3] and thus supports our initial assumption that the coordination number rules the magnetic behaviour of the Cd impurity irrespective of the local symmetry.

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# Anomalous emission of secondary positive ions from BeO films irradiated by swift Au lons

In contrast to the nuclear sputtering which is directly energized by projectile-atom screened nuclear collisions, the electronic sputtering is mediated by the electronic excitation of the target along the path of swift heavy ions. Only in a second step the electronic energy is coupled into atomic modes of motion and the emission of secondary ions as well as neutral particles can occur. The electron-phonon coupling is usually assumed as the energy transfer mechanism leading to the thermodynamical equilibrium within the ion tracks and consequently to the thermal-like emission of secondary particles.

In this report we show that the electronic sputtering from BeO films irradiated by swift heavy ions deviates significantly from the generally assumed model. It seems that the primary electronic excitation strongly influences the following sputtering process.

The BeO films of about 10 nm thickness produced by 500 eV oxygen implantation [1] were irradiated by 350 MeV Au<sup>41+</sup> ions delivered by the ISL cyclotron and by 2.5 keV Argon ions from the sputter gun placed at the target chamber. The secondary ions Be<sup>+</sup>, O<sup>+</sup>, O<sup>-</sup>, BeO<sup>-</sup> and BeO<sub>2</sub><sup>-</sup> were detected by a mass spectrometer working under UHV conditions in the energy dispersive mode. Since the mass spectrometer was mounted at the target chamber at the fixed backward angle of 135° with respect to the ion beam direction, the angular distributions of emitted particles were investigated by means of target rotation.

The experimental results obtained for Be<sup>+</sup> and O<sup>-</sup> ions are displayed in Fig. 1. The measured angular distributions are presented as a function of the emission angle  $\beta$  which is directly connected to the incident angle  $\alpha$  by the relation  $\alpha + \beta = 45^{\circ}$  (both angles are defined with respect to the target normal). As the sputtering yield depends on both angles, we have to make some assumptions to discuss the experimental results.

Due to a larger energy deposition in the target for oblique impact of the projectiles, the experimentally observed yield behaves typically as  $\cos \alpha$ . On the other hand the thermal emission of secondary particles should show the  $\cos \beta$  dependence because of refraction at the surface binding potential. Applying both corrections we can define a corrected sputtering yield

$$Y_{corr}(\alpha, \beta) = Y_{exp}(\alpha, \beta) \cos(\alpha) / \cos(\beta)$$
 (1)

which should be constant for the thermal emission. As shown in Fig. 1 the angular distribution of O<sup>-</sup> ions agrees with this assumption quite well, whereas the emission of Be<sup>+</sup> ions increases strongly for negative emission angles corresponding to the incident half space. The results obtained for other ions imply that the discussed differences in angular distributions occur generally for positive and negative ions.

Similarity between emission of positive and negative ions observed for the nuclear sputtering suggests that the resonance electron exchange at the target surface [3] as a reason for large differences observed in the electronic sputtering can be excluded. Thus, it seems that the negative and positive ions induced by the swift heavy-ion irradiation of BeO films obey different sputtering mechanisms. The negative ions could be emitted at a later stage of the ion track evolution when the thermodynamical equilibrium has already been reached. In contrast, the positive ions should predominantly be created at an earlier time scale before the memory about the incident direction would be lost. The latter coincides with our conclusions concerning the mechanism of the energy transfer to the atomic system by a fast lattice relaxation [1] based on the analysis of Auger electrons emitted from BeO films. According to this model, the quickly arising plasma could expand along the ion track leading to the jet-like emission of positive ions. However, further detailed experimental and theoretical investigations of above phenomena are necessary.



**Fig. 1:** Angular distribution of secondary ions induced by irradiation with 350 MeV Au<sup>41+</sup> ion

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### Giant Barkas effect observed for light ions channelling in Si

The energy loss of ions slowing down in matter has been investigated for many years because of its relevance for ion beam analysis, materials modification and nuclear physics. Moreover, there exist fundamental issues concerning the underlying physical processes of the energy loss at low and intermediate projectile energies. In particular, important points related to energy loss in the polarization field are still unclear. Here [1] we have studied the Barkas effect, an energy-loss enhancement proportional to the third power of the projectile charge at high energies.

In this work [1] we report on measurements of a strong Barkas effect under channeling conditions for He and Li ions impinging along the Si main axial directions. Such a channeling investigation has many advantages compared to previous measurements performed in amorphous targets or at a random direction.

a) The main contribution to the channelling stopping-power stems from the valence electrons and exactly these electrons are sensitive to the polarization field.

b) Disturbing effects such as shell corrections (originating from finite target-electron velocities), electron capture, or other inner-shell effects that usually overshadow the Barkas term are strongly reduced.

c) Channelling conditions provide the best scenario for the applicability of electron-gas models.

We have used the Rutherford backscattering technique with SIMOX targets, a method that allows for energy loss measurements at low analyzing energies. In connection with the recently developed unitary convolution approximation UCA, we were able to extract the Barkas contribution to the energy loss with high precision. This effect is clearly separated from other processes and contributes with about 50% to the energy loss for Li ions channeling along the Si <110> direction [1]. This is the largest Barkas effect observed so far for non-exotic incident particles.



**Fig. 1:** Presentation of all experimental channelling data after subtraction of the Bethe-Bloch term (through the UCA method) and division by the mean third power of the projectile charge-state as a function of the projectile velocity. An inspection of this figure shows that the data are well grouped around an average curve (determined by a best fit), independent of the channelling direction and projectile specie. This gives a rather strong support to the interpretation of the Barkas term. In addition, the average curve agrees rather well with the Lindhard model.

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## Plasticity in current driven vortex lattices

#### A theoretical analysis of recent experiments on current-driven vortex dynamics in the Corbino disk geometry

The physics of vortex matter in type-II superconductors has been a very active field of research since the discovery of high-temperature superconductivity. An external magnetic field typically penetrates the sample in the form of an array of flux lines (vortex tubes). Depending on the magnetic field, the temperature, and the sample preparation that controls quenched disorder, the flux line array can form crystalline (Abrikosov lattice), liquid, or glassy phases. Of particular interest is the dynamics of the vortex array in the various phases and in the proximity of phase transitions. Transport experiments are often performed in a strip geometry where current is injected at one end and is removed at the opposite end. The current-voltage relation provides information about the dynamics of the vortex array because moving vortices induce an electric field perpendicular to their velocity. Edge effects complicate transport experiments in the strip geometry and can be avoided using the Corbino disk geometry shown in Fig. 1. This geometry provides another advantage too: the controlled spatial inhomogeneity of the driving Lorentz force which is inversely proportional to the distance from the center of the disk vields an inhomogeneous shear stress and allows one the probe the shear rigidity of the vortex array.



Fig. 1: The Corbino disk geometry. The external magnetic field is out of the page. The electric current flows radially from the inner circumference to the outer rim of the disk. The radial current density causes the vortices to move in circular orbits around the disk, without crossing the edges of the sample.

In the experiments done by the Argonne group a series of voltage taps placed in the radial direction probes the velocity profile of the moving vortex array as a function of the radius. If the vortices are in the liquid phase, their local velocity is inversely proportional to the radius (proportional to the driving Lorentz force density). If they are in the crystalline lattice phase, they move as an elastically deformed rigid body with a fixed angular velocity, provided that the shear stresses due to the local force gradients are not too strong. This kind of motion gives a velocity profile proportional to the radius. Above a certain value of the applied current, the local shear stress becomes strong enough to break the lattice bonds and cause a plastic response with the lattice breaking into two or more concentric annular sections rotating at different angular velocities and slipping past each other. In the experiments, it is possible for elastic, liquid and plastic response to coexist at a given value of the applied current. The shear stress associated with the spatial dependence of the Lorentz force is proportional to the current and inversely proportional to the square of the radius. Near the outer rim of the disk, the shear stress is very small and the vortex array rotates as a rigid body. Near the inner circumference, the shear stress is very large and the vortex array flows like a liquid. In the middle, the response is plastic.

In our theoretical analysis [1] of these experiments, the onset of plasticity is described as a nonlinear effect due to the stress-induced proliferation of free dislocations in the vortex lattice which in turn contributes to the relaxation of the shear. The result is a nonlinear voltage-current scaling. Two mechanisms can cause unbinding of dislocations from tightly bound pairs: thermal fluctuations and an externally applied shear stress. The latter is dominant at low temperatures and thick samples. In the absence of an external stress a pair of dislocations with opposite Burgers vectors is held bound in an infinitely high potential well. A shear stress changes the well to a finite barrier and allows the dislocations to unbind. When the position of the barrier becomes of the order of the intervortex spacing, free dislocations proliferate. This criterion for the onset of plasticity is in very good qualitative agreement with the experiments. Our analysis of finite temperature effects involves the generalization of standard methods of stochastic dislocation dynamics to spatially inhomogeneous external stress. In accord with the experiments, we obtain a "critical" current for the onset of plasticity at a certain radius which decreases with increasing temperature. The resulting phase diagram is shown in Fig. 2.



Fig. 2: A schematic phase diagram in the shear stress - temperature plane. The dashed vertical line is the location of the Kosterlitz-Thouless melting transition where thermal unbinding of neutral dislocation pairs occurs in the absence of external shear.

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## Dipolar tracer studies in F-Actin fluctuations

Theoretical studies on contour fluctuations of single semiflexible polymers. Comparison of experimental data with theoretical predictions for actin filaments at different length and time scales.

Actin filaments have been the subject of intensive biophysical in vitro studies over the past years. Besides the motivation to mimic cellular mechanics with reconstituted purified systems, actin, one of the few available semiflexible polymers, became a model case to study the effect of rigidity on polymer dynamics.

Loic Legoff from the Curie Institute in Paris found a beautiful noninvasive method to observe contour fluctuations of single actin filaments using video microscopy [1]. He mixed sparsely fluorescently labeled filaments with densely labeled ones, yielding in typical "copolymer" filaments as shown in Fig. 1. The size of the brighter segments was reduced to below optical resolution by gentle sonication. Their position and orientation was then easily determined by image analysis, and thereby they acted as end tags for the darker filament. With this method he could measure the time dependent end-to-end distance R(t) for filaments with lengths ranging between 6  $\mu$ m and 26  $\mu$ m in a time window of 10<sup>-2</sup> s to seconds.



Fig. 1: Fluorescence microscopy image of an actin filament. As shown on the sketch, it is made of one long filament that is annealed by the ends with two bright fragments, The scale bar is  $5 \,\mu m$ .

We analyzed the experimental results relying on the simple model of a wormlike chain, which considers the polymer to be locally inextensible and to have a bending stiffness, so that the contour of lowest elastic energy is the straight one. However a polymer in solution is permanently fluctuating because it is subject to thermal kicks of the surrounding solvent molecules. A semiflexible polymer like actin has a large bending rigidity compared to the energy of a thermal kick, so that it only weakly fluctuates around the straight contour. The ratio between bending stiffness  $\kappa$  and thermal energy  $k_{B}T$  is the so-called persistence length  $\iota_p$ . It is the length scale over which the wormlike chain appears straight. A polymer is semiflexible, if the contour length is much smaller than the persistence length.

Figure 2 shows a typical probability distribution  $G(\mathsf{R})$  for the end-to-end distance  $\mathsf{R}$  of an actin filament

sampled over 6200 configurations. The theoretical prediction for a wormlike chain [2] could be brought into excellent agreement with the data by adjusting the persistence and contour length of the filament. In this way the persistence length of actin could be extracted to a very high accuracy ( $I_p=16.1\pm0.2\mu m$ ).



Fig. 2: Measured radial distribution function (bars), and theoretical expression for the 2D RDF (line) for a filament of 13.40  $\mu$ m contour length.

As an important observable for characterizing the dynamics of semiflexible polymers we analyzed the time-dependent mean-square difference (MSD) of the end-to-end distance,

$$\partial R^{2}(t) \equiv \left\langle \left[ R(t_{0}+t) - R(t_{0}) \right]^{2} \right\rangle_{t_{0}}$$

In Figure 3 the data is shown on a double logarithmic scale. On short times it obeys a simple power law, which is a straight line in a log-log plot and on large times it saturates at an equilibrium value. Both, the dynamical exponent and the equilibrium value agree very well with theoretical prediction (thick line) over several orders of magnitude.



Fig. 3: Inset: Measured MSD for filaments with lengths ranging from 5.9  $\mu$ m (bottom) to 25.6  $\mu$ m (top). Upon rescaling all data come close to one line (large figure), which is predicted by the worm-like chain model very well (solid line).

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### Light scattering and structural relaxation

Theoretical predictions of light scattering by longitudinal acoustic modes in molecular supercooled liquids

Light scattering has become an important tool to investigate the structural relaxation in supercooled liquids. The sensitivity of these systems to slight lowering in temperature manifests itself most prominently in the drastic increase in viscosity. However, also other transport coefficients like the bulk viscosity or the single-particle diffusivity and more general dynamic susceptibilities exhibit this phenomenon. Recent developments in extending the dynamic window of polarized and depolarized light scattering opened the possibility to study the whole dynamic evolution of structural relaxation in the frequency range of 1 GHz to 1 THz as a function of temperature. The increase of the structural relaxation time can therefore be directly observed as the supercooled system changes from liquid-like to solid-like behavior.

As was already predicted by Brillouin in 1922 the scattering of light by excitation of longitudinal acoustic modes leads to a narrow inelastic doublet in the polarized light scattering spectrum (see Figure 1). Its first observation was reported in 1930 by Gross, who noticed that the spectrum consisted of the Brillouin doublet superimposed on a central Rayleigh line. An explanation of this Rayleigh-Brillouin triplet, based on the equations of macroscopic hydrodynamics, was suggested by Landau and Placzek in 1934.



Fig. 1: A schematic drawing of the intensity measured in a light scattering experiment as a function of frequency. The spectrum consists of a central Raleigh line and a Brillouin doublet at finite frequencies. The Brillouin doublet results from the excitation of longitudinal acoustic phonon modes.

Yet, for supercooled systems one expects that the hydrodynamic description breaks down once the frequency of the sound waves becomes comparable to the inverse structural relaxation time. Then the spectral shape of the resonances is dependent on the details of the line shape of the longitudinal viscosity. Differently speaking, a study of the polarized light scattering spectrum reveals the dynamical processes that underly the slow structural relaxation. In order to give a direct correspondence of the different effects involved, we have derived expressions for the intensity of the Brillouin polarized spectrum of a molecular liquid formed of axially symmetric molecules. These expressions take into account both the molecular dielectric anisotropy and the modulation of the local polarizability by density fluctuations. They also incorporate all the retardation effects which occur in such liquids. We have shown that the spectrum splits into a wavenumberindependent rotational contribution and wavenumber-dependent term, which reflects the propagation of longitudinal phonons. In the latter, the two light scattering mechanisms enter on an equal footing and generate three scattering channels. The influence of the two new channels has been studied, and we have shown that they may substantially modify the Brillouin line-shape when the relaxation time of the supercooled liquid and the phonon period are of the same order of magnitude.

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## A new Thermodynamics – from nuclei to stars

In the past years we developed a new **geometrical** formulation of thermo-statistics. Whereas traditional (canonical) statistics applies to homogeneous systems in the thermodynamic limit, our new thermo-statistics addresses also inhomogeneous systems as nuclei, clusters, soft-matter and even stars and star-systems [1,2].

By using the microcanonical ensemble and Boltzmann's principle  $S=\ln[W(E)]$ , the thermodynamic limit is not invoked. Here W(E) is the geometric size of the manifold of points in the 6*N*-dim. phase space with the prescribed energy. One of the most important differences to the conventional theory is the appearance of a **negative heat capacity** which can be seen by a **back-bending caloric curve** T(E). A negative heat capacity is strictly forbidden in any canonical theory. This implies a *violation* of Clausius' old formulation of the Second Law of Thermodynamics: "Heat can only flow from hot to cold". Nevertheless, in the form of "The entropy rises or remains constant during the approach to equilibrium" it is valid also for small systems [2].

In paper [3] we discussed the microcanonical properties of a system of N classical particles interacting via Newtonian gravity as a function of the total energy E and, for the first time, of the total angular momentum L. At low E and small L we obtained a single star phase and for larger L a double star phase. At intermediate angular-momenta we even find rotating homogeneous rings and also multi-star systems. These are all *equilibrium* configurations in the new statistics. The results of our theoretical calculations, depicted in figure 1, correspond to the structures of well known astronomical objects. In predicting them, our geometric theory proves to be superior to any canonical or other statistics based on the canonical ensemble.



Fig.1 Inhomogeneous equilibrium distributions of rotating, self-gravitating systems

Negative heat capacities are well known here. These are impossible in conventional canonical statistics but ubiquitous in real nature. These systems are much larger than any "thermodynamic limit" allows but nevertheless must be treated microcanonically.

The next example confirming our theory is the experimental finding of a *negative heat capacity* (backbending caloric curve T(E)) in the decay of an excited Na<sup>+</sup><sub>147</sub> cluster. The experiment was performed at the University of Freiburg [4].



Fig. 2 (from Ref.[4]): Photo-fragmentation of  $Na_{147}^+$  clusters. The three caloric curves in the upper line correspond to different models of the thermodynamics of a cluster. They agree in their latent heat q, and melting temperature  $T_m$ , but show a different behaviour near  $T_m$ . The corresponding energy distributions are shown in the second line (red), the fragmentation patterns in the third line. The lower figure shows the experimental data. Only the pattern of a back-bending caloric curve is reconcilable with the experiment.

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D.H.E. Gross

## Domain wall roughening in disordered dipolar films

In this work, we have mathematically characterized the shape of a magnetic domain wall in a thin ferromagnetic film which is part of a superconductor/ferromagnetic-film/superconductor hetero-structure.

In this work, we have studied the scaling properties of a domain wall in a thin ferromagnetic film, which is part of a hetero-structure composed of layers of thin magnetic films which alternate with bulk superconductors. This domain wall can be viewed as an open line which stretches across the twodimensional magnetic layer. As we have shown in [1], in this case the dipolar interaction between the underlying spins of the magnetic film is modified by the presence of the superconductors, which expel the magnetic field generated by the film from their interior, due to the Meissner effect. Since the elastic energy of the domain wall contains a contribution coming from the dipolar energy of the magnetic spins, such energy modifies the properties of the domain wall, even at large distances.

There are essentially three main contributions to the elastic energy of a domain wall. The first contribution arises from the Heisenberg short-range exchange interaction between the spins, which is of electrostatic nature. A second contribution arises from the long-range magnetic dipolar interaction referred above, whose form is dependent on the (magnetic) environment where the spins are placed. Finally, the third contribution is due to the presence of magnetic impurities, which generate a fixed (i.e. quenched) random magnetic field. A fourth type of interaction, namely on-site anisotropy, is also present in the microscopic Hamiltonian describing the system [2] and is necessary to stabilize the domain wall, but does not contribute to its elastic energy.

We have used a well known mapping which permits to interpret the line-domain as the world line of a quantum particle in a one-dimensional space where the y coordinate of the magnetic layer plays the role of a fictitious, imaginary time. In a pure system and at zero temperature, the line domain is straight, i.e. the quantum particle is not moving: it simply forms a line extending along the *y*-axis. But due to impurities (always present in real systems) and due to thermal fluctuations, the line will deviate from this straight configuration, i.e. the particle will start to move. Such a mapping is quite useful, as it allows one to apply a series of well-known approximations to a problem which would otherwise be intractable.

In particular, the original domain-wall problem was reduced to the one of a quantum particle in a random potential (generated by the random-field) with a self-interaction which is non-local in 'time' and whose nature is determined by the form of the underlying dipolar interaction between the spins. The fact that such an interaction is non-local is simply a consequence of the long-range character of the dipolar field generated by the magnetic spins. Such model systems were first studied by Feynman in the context of the so-called polaron problem [3], i.e. the problem of a single electron which interacts with a cloud of lattice vibrations, i.e. phonons. Due to the presence of the phonon cloud, the electron also acquires a retarded self-interaction, as we have found in our case.

An additional difficulty, which is characteristic of problems involving the presence of quenched impurities, is that these impurities are not in equilibrium with the rest of the system, but should be instead considered as fixed in a given sample, the averaging over the disorder being done (unlike the thermal averaging) over different samples.

The character of the disorder gives rise to a complicated averaging problem, which can be solved if one introduces a set of different copies (replicas) of the system, a mathematical trick first used by Mézard and Parisi in the context of our problem [4]. The method is complemented by the substitution of the original problem by a simpler one which can be solved (i.e. we have used a mean-field approach) and which works as our approximation. The precise form of the new model is chosen so as to resemble the original one as closely as possible.

In order to solve the new problem, we used the socalled 'replica symmetry breaking ansatz', whose physical interpretation is that there are quantities in our system whose measurement in different samples would yield different results, a consequence of the loss of spatial homogeneity, due to the presence of impurities (i.e. these quantities are not 'selfaveraging').

Finally, we have obtained a set of self-consistent equations whose solution has allowed us to compute the roughening exponent of the domain wall, which provides a measure of the deviation of the domain wall from the straight line configuration. The value obtained was 1/3. This value can be shown to be exactly 1 in the absence of dipolar interactions, which shows that the long-range character of the dipolar interactions 'smoothens' the domain-wall, as is to be expected from intuition.

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J. E. Santos

#### No noise – no wave – Intracellular $Ca^{2+}$ -dynamics as a stochastic medium

Theoretical studies on the control of Ca<sup>2+</sup> concentration in living cells:

The cell organelles like the nucleus, mitochondria or the endoplasmic reticulum (ER) in living cells are embedded in the cytosol. The endoplasmic reticulum and the mitochondria are used among other functions as  $Ca^{2+}$  stores. Living cells control the concentration of  $Ca^{2+}$  in the cytosol by release and uptake of  $Ca^{2+}$  by these intracellular storage compartments and by  $Ca^{2+}$  binding proteins, so called buffers.

The exchange of  $Ca^{2+}$  between the ER and the cytosol is controlled by channels and pumps. Upon opening of a channel,  $Ca^{2+}$  is released into the cytosol. Pumps transport  $Ca^{2+}$  against the concentration gradient back into the ER. The opening of the channels depends on the calcium concentration: the calcium release itself is calcium induced.

Intracellular calcium concentrations oscillate in many cells. The information transmitted by the second messenger calcium is encoded in the form and frequency of these oscillations. Calcium induced calcium release is at the core of the oscillations and models are constructed with oscillatory dynamics in mind. The crucial question is, whether oscillations are caused by the dynamics of calcium induced calcium release alone or are due to higher control processes. The answer will determine the targets of research to come.

Our research focuses on the role of stochastic fluctuations in intracellular  $Ca^{2+}$  dynamics. Fluctuations are important, if they change system behavior qualitatively. The random events relevant for the dynamics of waves and oscillations are binding and dissociation of molecules controlling the opening probability of  $Ca^{2+}$  channels. We could show for the system in that both waves and oscillations are caused by fluctuations. All spatial and temporal structures are lost in the deterministic limit [1,2]. The reason for this behavior in theoretical terms is that fluctuations are larger than the thresholds separating different attractors in phase space.

The emerging picture of intracellular  $Ca^{2+}$  dynamics implies that oscillations in the oocytes of the *Xenopus* frog as examples of large cells are due to repetitive random nucleation of waves. That implies that the average wave frequency depends on the characteristics of spatial coupling and system size. Periods become independent of spatial characteristics at large opening probabilities.

Trade mark of oscillations due to stochastic nucleation is a distribution of periods instead of a single sharp value as in deterministic periodic processes. Many small cells exhibit very precisely timed oscillations. That points towards higher processes setting the period. This will be the subject of future investigations.

From a physicist's point of view, the results published in [1, 2] imply that intracellular calcium dynamics is the realization of a stochastic medium. That will allow us to study fundamental questions of nonlinear structure formation from the elemental event all through to global phenomena.



Nucleation of a calcium wave. Time is indicated at the panels. Blue means low calcium concentration, green, yellow and red mark increasing concentration values [1].



Comparison of the deterministic limit (upper panel, large channel numbers) and stochastic limit (lower panel, small channel numbers) of a model of intracellular calcium dynamics [1].  $N_0$  is the number of open channels.

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M. Falcke

#### **Biological macromolecules: kinetics & structure**

Theoretical studies of single biological macromolecules at different length and time scales, aimed at describing their kinetics and their structural properties, focused on motor proteins.

In recent years, instrumentation development has opened the possibility of investigating properties of proteins, nucleic acids and protein complexes at the single molecule level. This represents a complete change of perspective for a field that had dealt only with quantities averaged over large (and not directly controllable) ensembles. Therefore, new theoretical models are needed to analyze, interpret and possibly explain the huge amount of data that are being constantly collected. Our research aims at developing such models in the clear framework provided by statistical physics and stochastic processes.

In particular, we have focused on the problems posed by the application of an external load to molecules moving in a viscous environment. This problem is extremely relevant for motor proteins, huge proteins that are able to transform chemical energy stored in the ATP molecule into mechanical work.

Using the framework of a master equation approach and its continuous counterpart, the Fokker-Planck equation, we have developed a coarse graining procedure to obtain a sensible force dependence for single transition rates. This framework has been used for the interpretation of kinetic data (velocity, reaction rates and diffusion coefficients) from experiments on the motor protein kinesin [1], leading to a remarkable agreement with the experimental results (see Fig. 1) and significantly reducing the number of parameters needed to perform such data analysis. We have also discussed the effect of a positive force (i.e. a force along the direction of motion) on the kinetics of these proteins, in a parameter region that awaits a careful experimental investigation. The model is not limited to the field of motor proteins and can be easily generalized to other mechano-chemical systems of biological relevance.



Fig. 1: Comparison of model predictions (continuous curves) and experimental data (points) for the velocity of the motor protein kinesin under load. The different curves refer to different concentrations of ATP, the chemical fuel of kinesin.

A considerable amount of work has also been dedicated to the analysis of protein structures obtained from X-ray diffraction and NMR experiments. The focus has been constantly on motor proteins, but the methods have been developed and/or investigated under most general conditions, with application on the widest set of available protein structures. In this spirit, we have applied the Gaussian Network Model (GNM) to a set of protein structures and compared model predictions with data from experiments. The GNM model has been extended so as to include the effect of a fictitious temperature, whose role is to drive the protein structure out of its native conformation [2]. This improvement of the model opens the possibility of investigating the dynamics of proteins on long time scales at extremely low computational costs. This is extremely important, since currently available Molecular Dynamics simulations at the atomic level are able to describe biological systems only on time scales up to 10 ns ( $10^8$ s), while most biological processes occur on the ms  $(10^{-3} s)$  time scale.

The comparison with random networks and more compact three-dimensional structures has shown that secondary motifs (helices and pleated sheets) play a key role in endowing proteins with the elastic properties they need to perform their biological function. We are currently working on the development of the model and its application to obtain information on the conformational dynamics of proteins and their interaction with ligands, following a preliminary application of GNM to the analysis of the kinesin motor domain and the kinesin dimer (Fig. 2).



Fig. 2: Kinesin motor domain, analyzed through GNM (2<sup>nd</sup> slowest eigenmode): in red the parts that undergo large fluctuations around their equilibrium position. These parts are thought to be responsible for the biological function of the protein.

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G. Lattanzi

## Traffic jam on molecular highways

A theoretical study of non-equilibrium and collective phenomena inspired by motor protein transport.

The transport of inner components in cells (organelles) is a fundamental topic of cellular biology, with interesting implications in fundamental and applied physics (from the foundations of Statistical Mechanics up to studies on the dynamics of urban traffic of pedestrians, cars and trucks).Intracellular transport of organelles in eukariotic cells is a complex and intrinsically non-equilibrium phenomenon driven by ATP powered enzymes called motor proteins.

Enzymes like kinesins and dyneins hydrolyse ATP and move uni-directionally along cytoskeletal filaments such as microtubules. Kinesins are usually involved in anterograde transport (from the nucleus to the cell periphery), while dyneins move in the opposite direction (retrograde transport). Occasionally, motors bound to the filament can detach and diffuse through the cytoplasm or vice-versa, see Fig.1.

Bound to one or a collection of motors, organelles can move uni-directionally along a filament array for distances comparable to the cell size (~10  $\mu$ m, much larger than the typical motor size, ~10 nm!) or even more. Directed motion, compared to pure diffusion, allows organelles to move efficiently and faster in a complex and dense environment like the cytoplasm.



Fig. 1: Scheme of organelle transport on a microtubule driven by kinesins and dyneins and related theoretical one-dimensional "lattice gas" model for one class of motor.

Our research focuses on the study of the nonequilibrium properties of the flow of a collection of motors (*particles*) in a system composed by a single filament (*lattice*), in contact with the cytoplasm (*particle reservoir*) [1]. Over the lattice, particles move with microscopic dynamical rules inspired by the essential features of motor protein motion: 1) unidirectional and stochastic stepping of fixed length at a given rate, 2) binding and unbinding dynamics from the filament to the reservoir with given fixed rates. Particles are also in mutual interaction: a step is forbidden if the next site is occupied ("*hard sphere interaction*"), see Fig. 1. This allows the general features of motor protein collective transport to be mathematically reformulated in terms of a *lattice gas model*.

The study of the non-equilibrium dynamics of a gas of interacting and stochastic particles is a topic of fundamental interest in Statistical Mechanics. Particularly, if one wants to understand phenomena like non-equilibrium phase transitions which have no equivalent in thermodynamic equilibrium.

In our case, the lattice gas we considered [1] is a generalisation of a model called "Totally Asymmetric Exclusion Process" (TASEP), extensively studied in recent years because of its richness in genuine non-equilibrium phenomena like formation of (moving) domain walls (i.e. phase separation of the lattice in domains of low and high densities of particles) and continuous or discontinuous phase transitions in the stationary state.

The fundamental difference in our model compared to the TASEP is the inclusion of the particle binding / unbinding dynamics in the lattice bulk.

Directly inspired by motor protein dynamics, this property breaks the particle current conservation in the bulk. As a consequence, new phenomena appear. In particular, contrary to TASEP, we find *stable* domain walls (when the fluxes of particles at the boundaries are comparable with those due to the attachment or detachment of particles in the bulk). The presence of such domain walls is a novel and unexpected phenomenon. Indeed, the microscopic underlining dynamics does not involve any spatial inhomogeneity that could explain the domain wall localisation.



Fig. 2: Simulation (black) and numerical (red) results of possible particle density profiles.

Our results may be also biologically interesting. A jammed phase represents a situation in which the mutual interaction among motors can reduce the effective flow of organelles along the filament. Anomalies in the organelle flow have, indeed, important physiological consequences for cellular processes.

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A. Parmeggiani

# Metalloprotein mapping in histological tissue sections by Micro-SRXRF

Most of the trace elements present in the mammalian organisms are bound to proteins where they play a central role as structural or catalytic components. The investigation of these "metalloproteins" will provide valuable information with regard to the functions and tasks of metals and metalloids in health and disease.

In these studies biochemical procedures for tissue fractionation and protein separation have been combined with various trace analytical methods to measure the element contents in the different fractions and in this way identify the metalloproteins and determine their cellular and subcellular distribution.

In addition to the investigations by means of these combined methods, microprobe-synchrotron radiation X-ray fluorescence (micro-SRXRF) was applied to obtain direct information on the distribution of elements within a tissue.

With micro-SRXRF we scan plane histological tissue sections with a focused X-ray beam and measure the intensities of characteristic X-rays emitted from the trace elements distributed among the different compartments. Beam focusing is based on the principle of radiation transmission through glass capillaries by means of total reflection. Depending on the focus of the X-ray optic the spatial resolution ranges from 15 to 5  $\mu$ m.

In studies carried out at HASYLAB, micro-SRXRF has been optimized with regard to its use in trace element mapping in histological tissue sections. Due to various improvements, especially in sample-detector configuration and detector shielding, the time needed for measuring an X-ray spectrum could be drastically reduced from 900 sec to only 3 sec.

The applicability of micro-SRXRF in this field was shown in an investigation of the metalloprotein distribution in human brain sections affected by Alzheimer's disease. Morbus Alzheimer is among the most frequent neurodegenerative diseases. Changes caused by oxidative stress are thought to be important factors in its pathogenesis, and in these processes several metals seem to play crucial roles. Metals are known to be involved in the production of free radicals and peroxides, but also in the protective systems against these oxidants. A specific characteristic of brain tissue in Alzheimer's disease are the plaques as shown in Fig. 1.



Fig. 1: Histological section of a human brain affected by Morbus Alzheimer showing parts of the grey and white matter. Senile plaques consisting mainly of deposits of the amyloid protein Aß have been made visible by staining.

The first results of the micro-SRXRF measurements of a Morbus Alzheimer brain sample are shown in Fig. 2. Here a 16 µm thin brain section supported by an 0.8 µm polyethylene foil was used. An area of 1000 µm x 400 µm was scanned by measuring 1350 X-ray spectra for 3 sec each by means of a microbeam focused by a polycapillary. An X-ray spectrum obtained in the area with the highest iron concentration is presented as an example in Fig. 2, together with the distribution maps for some elements. The distribution patterns of the mineral elements P, S and K were found to be similar and to reflect differences in white and grey matter fractions, with higher values in the white matter. Zn was distributed quite homogeneously, with only a single enriched spot where the Zn signal intensity was doubled. In the case of Fe some broader patches of about twice the signal intensity of the surrounding were observed.

The results of this study have shown that with the use of a polycapillary and improvements in the beam-sample-detector configuration, micro-SRXRF is suited to the determination of the element distribution in the different compartments of biological tissues without prior fractionation. The method, especially in combination with synchrotron radiationbased Fourier transform infrared (SRFTIR) spectromicroscopy which provides information on the chemical composition of the sections of interest, can therefore become a valuable tool in the research on the role of metalloproteins in health and disease.



Fig. 2: Element scan on a section of human brain affected by Morbus Alzheimer, with a single spot X-ray fluorescence spectrum and color-coded distribution maps of K, Zn and Fe (increase in fluorescence line intensity from blue to red) shown as examples. Excitation conditions: pink beam (NiC multilayer) at 11.5 keV excitation energy; beam focusing by means of a polycapillary; measuring time per pixel: 3 sec; pixel size: 15  $\mu$ m x 15  $\mu$ m.

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#### Selenium and selenoproteins in the central nervous system

Previous investigations have shown that the essentiality of selenium (Se) in the mammalian organism is above all due to the functions of redox-active selenoenzymes which have key tasks in various lifemaintaining processes. With its high oxygen supply and its large concentrations of polyunsaturated fatty acids which are susceptible to peroxidation, the brain is especially vulnerable to oxidative stress. As Se in the form of several selencenzymes is a pivotal component of the antioxidative defense system in various tissues, it could be assumed that it plays an important role in this organ, too. It is therefore of great interest to identify the selenoproteins present in the CNS and to obtain information on their functions. By combining radioanalytical procedures with biochemical methods, several experiments have been carried out to achieve these goals.

The determination of Se in the tissues of the rat by neutron activation analysis revealed a more or less even distribution of the element among the different brain regions (Fig. 1). The brain Se concentration of about 0.5 mg Se/kg dry mass was relatively low as compared with the highest values of 6.0, 5.3 and 2.7 mg Se/kg found in the testis, kidney and liver, respectively. In severely Se-depleted rats, however, where the Se concentration in the main pools such as the liver dropped below 1 % of the normal value, the brain still retained about 60 % (Table 1). This is achieved by preferential supply from the small amounts of Se taken up with the deficient diet and redistribution of the element from the other tissues.

Table 1: Changes in the Se content of high and low priority target tissues of rats fed Se-deficient diet for 6 generations

Organ	Se content (µg/kg dry mass) <sup>1</sup>		Se-/Se+	
	Se+	Se-	(%)	
Brain	480 ± 90	280 ± 50	58	
Liver	$2660 \pm 270$	17 ± 3	0,6	

<sup>1</sup> Mean±SD of 3 rats; Se+: 300 µg Se/kg diet; Se-: 5 µgSe /kg diet

The fact that in periods of insufficient Se intake the brain is the target organ with the highest priority for Se supply, is an indication of the importance of the element for the CNS.

The Se-containing proteins present in the brain cells were detected by labeling rats and neuronal cell lines with "Se, separation of the proteins in the sample homogenates by two-dimensional electrophoresis and determination of the labeled Secontaining proteins by autoradiography. As here the proteins are separated according to differences in two of their characteristics, isoelectric point and molecular mass, a very high power of resolution is achieved. By labeling Se-depleted rats and cells with <sup>75</sup>Se with a very high specific activity, produced from enriched stable <sup>74</sup>Se by long-term neutron activation, the labeling techniques were optimized and the limit of detection improved to such an extent that even the Se compounds present in the cells at very low concentrations could be detected. Fig. 1 shows as an example the Se-containing proteins in the cytosolic fraction of the neuronal cell line HT22. Most of the Se-containing proteins present in other tissues were also found in the brain cells. They include several members of the families of redoxactive selenoenzymes such as the glutathione peroxidases, the deiodinases and the thioredoxin reductases, and a larger number of Se-containing proteins not yet identified. Of special interest was the presence of a novel 15 kDa selenoprotein which was enriched in the brain and in the neuronal cell lines and was shown to be different from the previously detected 15 kDa selenoprotein which is mainly located in the prostate gland.

In this way, for the first time, information was obtained on the complete pattern of the Se-containing proteins present in the mammalian brain. These findings provide basic data which may be of great help in the future research on the roles of Se and selenoproteins in the CNS in health and disease.



*Fig. 1:* Se-containing proteins in the neuronal cell line HT22 detected by labeling the cells with  $^{75}$ Se, protein separation by twodimensional electrophoresis and autoradiography of the labeled proteins: **o** Se-containing proteins; **o** identified selenoproteins; **o** novel 15 kDa selenoprotein

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# Selenium and brain functions: Discovery of a novel role of selenium in neuroprotection

The brain is highly susceptible to damages by oxidants such as free radicals and peroxides, and oxidative stress is among the main pathogenic factors in neurological disorders. One of the mechanisms of cell destruction, necessary in the elimination of overproduced or unhealthy brain cells, is a process called excitotoxicity which is initiated by the release of large amounts of the amino acid glutamate. Glutamate normally acts as an excitatory chemical transmitter in the central nervous system. However, when too much glutamate is present, a suicide cascade is generated which leads to the production of free radicals and peroxides and results in the death of healthy cells nearby. It is this excitotoxic response that is rapidly triggered by damaged neurons in stroke, epileptic seizures or traumatic head injury to produce a destructive secondary injury, often killing more brain tissue than the initial insult. There is also evidence that the excitotoxic reaction can occur over a longer period of time, thus causing deterioration and death of the brain cells in Alzheimer's disease, Parkinson's disease and other neurodegenerative disorders.

As selenium (Se) is the essential component of several enzymes with antioxidant functions, we started a series of investigations in which we studied possible protective effects of this element in brain cells exposed to excitotoxic conditions. In these experiments on rats and cell cultures, which have been carried out in close collaboration with Prof. R. Nitsch and his coworkers from the Institute of Anatomy of the Humboldt University Medical School Charité, nuclear reactor-based methods such as neutron activation analysis and radiotracer techniques have been combined with various biochemical and histological procedures.

For the investigation of the neuroprotective effects of Se in vivo an animal model was used. Neuronal destruction was triggered in Se-depleted (Se-) and Se-adequate (Se+) rats by injection of the seizureinducing excitotoxin kainate. Although, due to the hierarchy in the Se distribution, the brain Se level in the deficient group was reduced by only 10 %, the decrease was sufficient enough to result in a remarkably higher seizure rate after kainate treatment when compared with the Se-adequate control group (Fig. 1). Moreover, we found a strongly increased



Fig. 1: Selenium deficiency increased seizure activity. Seizure activity in rats after injection of the seizureinducing excitotoxin kainate (KA) or saline (vehicle) into Se-deficient (Se-) or Se-adequate (Se+) animals

loss of neuronal cells in the hippocampus, a brain section with important functions in the memory system. After repletion of the Se-deficient animals by feeding the Se-adequate diet, both seizure rate and percentage of cell loss decreased to the values found after kainate treatment in the control animals.



Fig. 2: Neuroprotective effect of selenium in glutamate-induced oxidative stress. Cell cultures of the hippocampal neuronal cell line HT22 were treated with 100 nM sodium selenite (Se) and/or 10 mM glutamate (Glu) for 24 h: a) Cell viability of controls (Con) (100 %) and treated cells; b) bright-field (left) and rhodaminefluorescence (right) micrographs of the cell cultures, red cells indicate cell death

Further information on the mechanisms of the Semediated neuroprotection was obtained in cell culture experiments. Here the hippocampal neuronal cell line HT22 was grown in a cell medium with a relatively low Se concentration, and excitotoxic conditions were induced by adding an excessive amount of glutamate. This treatment was found to reduce cell survival by more than 80 % (Fig. 2a and b). However, the glutamate-induced cell death could be prevented by adding sodium selenite to the cell medium. The protective effect of the element was shown to be due to the incorporation of Se into selenoproteins. Interestingly, the glutamate-induced cell death could also be prevented in rescue experiments in which selenite was added hours after the glutamate insult.

In these studies an important novel role of Se was discovered. Our data indicate that Se in the form of selenoproteins protects the brain cells against destruction by oxidative stress as induced by excitotoxic reactions in disorders such as stroke, traumatic head injury and epileptic seizures and can even be effective early after the initial insult. Se supplementation may therefore be used as both a preventive and therapeutic measure to counteract the detrimental effects of excitotoxicity in patients with brain cell damage and neurodegenerative diseases.

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#### Measurement of the charge dependence of energy losses in thin media

The description of the stopping power of swift heavy ions in matter with the traditional semi-empirical formulas or the common tables turned out to be insufficient in recent times. Scientists from different working fields are asking for better accuracy, especially for energy losses in thin layers and for straggling effects. It turned out that a more microscopic description is needed, including charge state dependent stopping powers S(q) and the evolution of the charge state distribution in dependence on the layer thickness. Therefore, we are measuring at ISL, Berlin, the charge state evolution F(q,x) of ions penetrating carbon foils of varying thickness, as well as the charge state dependent energy losses  $\Delta E(q)$ of ions for all relevant incoming and outgoing charge states q. As the measured energy losses in these very thin carbon foils are in the range of about 1 % of the ion energy or even less, we have to use the magnetic spectrograph Q3D with its excellent energy resolution of  $\delta E/E=5\times10^{-4}$ . The experimental method has been described in earlier annual reports and in Refs. [1,2]. We measure for increasing foil thickness the charge state distributions and energy losses and obtain as a result experimental information, how the charge state distribution evolves from the single charge state of the beam and how the energy loss depends on this with increasing layer thickness. These measurements are performed for different incoming charge states. From the evolution of the charge state distributions we can deduce all relevant cross sections of charge transfer reactions by solving the rate equations for all relevant processes, including electron capture, ionization, excitation and radiative and Auger decay. Using these cross sections and the measured energy losses in Monte-Carlo simulations, the charge state dependent stopping powers S(q) of the projectile ion can be extracted.

In 2002 we investigated the energy loss and the charge state distributions of <sup>40</sup>Ar-ions in carbon foils. Fig. 1 shows the charge state distributions for the incoming charge state  $q_i = 18^+$  in the upper graph and for  $q_i = 14^+$  in the lower one. The x-axis, indicating the carbon foil thickness, is interrupted at 40 µg/cm<sup>2</sup> and thereafter the charge state equilibrium distribution measured with a 231 µg/cm<sup>2</sup> thick carbon foil, is plotted. This equilibrium distribution agrees well with theoretical predictions of a mean charge state of 16.1.

The analysis of the stopping power is still in progress. Fig. 2 shows preliminary data of the measured energy losses for three different charge states with  $q_i = q_f$ . The solid line indicates the energy loss for charge equilibrium conditions calculated with the method of Biersack and Ziegler. There are deviations up to 15% between, e.g., the energy loss for  $q_i$ = 18<sup>+</sup> and the theoretical prediction. As expected, the equilibrium energy loss is close to that one of q = 16<sup>+</sup>.

In the frame of our collaboration with the GSI, Darmstadt, these data will be used for the description of the energy loss and the charge state distributions of argon ions interacting with a laser generated carbon plasma.



Fig. 1: Experimental charge state distributions evolving from incident  $Ar^{14+}$  ions in thin layers of carbon with thicknesses from  $8 \mu g/cm^2$  to 231  $\mu g/cm^2$ .

Further calculations were performed on the dependence of the stopping power to the electron configuration of the projectile. The CASP code of Schiwietz [3] allows the calculation of the stopping power for a given potential. For the case of oxygen projectiles we calculated with the Hartree-Fock code of Cowan [4] potentials of different electron configurations and investigated their influence on the stopping power. As shown in Fig. 3 (upper panel) for O<sup>7+</sup>, the stopping power differs drastically in dependence of the shell of the single electron due to the decreasing screening of the nucleus with increasing shell number. By dividing these calculated stopping powers by the stopping power of the bare nucleus (O<sup>8+</sup>, blue filled circle in Fig. 3) a set of scaling factors for each shell (SF<sub>i</sub>) is obtained. The same calculations were done for the two electron system (O<sup>6+</sup>), as shown in Fig. 3, lower panel, (red filled diamonds). The green diamonds show the stopping powers calculated by multiplying  $S(O^{8+})$  with the scaling factors of the corresponding shells of the electrons:

$$S = S(O^{8+})^{-} \Pi_{i} (SF)_{i}^{ni}$$
(1)

i= 1s, 2s, 2p, 3s, 3p, 3d,

ni number of electrons in the shell i.



Fig. 2: The charge state dependent energy loss of Ar ions in carbon for the charge states  $14^+$ ,  $16^+$ ,  $18^+$ . The solid line shows the energy loss according to the method of Biersack and Ziegler.

The agreement of this simple scaling with the calculated stopping powers is surprisingly good, and it turns out to be an effective and easy procedure to calculate the stopping powers, e.g., in the Monte-Carlo simulation, where the electron configuration of the projectile is known. Further investigations on the systematic behavior of the scaling factors for different projectiles or energies will be continued.

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Fig 3: Upper panel: Stopping power S of  ${}^{16}O^{7+}$  ions (one-electron system) at 2 MeV/nucleon in dependence on the occupied electron configuration calculated with the code CASP [3]. The filled circle shows the value for the fully stripped ion  ${}^{16}O^{8+}$ . Lower panel: Same as upper panel, but for the two-electron system  ${}^{16}O^{6+}$ . The CASP calculation is compared with values obtained by the scaling of the one-electron values according to eq. (1).

## Structure investigations on <sup>16</sup>C and <sup>14</sup>C

Neutron-rich carbon isotopes can be built on a basic structure of three  $\alpha$ -particles plus the excess neutrons. In fact, the nucleo-synthesis of <sup>12</sup>C occurs in a  $3\alpha$ -collision through a 0<sup>+</sup> resonance in <sup>12</sup>C just 195 keV above the  $3\alpha$ -threshold. This resonance decays by the emission of two  $\gamma$ -quanta to the <sup>12</sup>C ground state, and it represents therefore the only doorway to the formation of carbon isotopes and the *heavier elements*. The  $\alpha$ -cluster structure of the <sup>12</sup>C ground state manifests itself in a strong oblate shape. The above mentioned 0<sup>+</sup> resonance is also oblate and has even a shape of 3 touching  $\alpha$ -particles.

In <sup>16</sup>C (and <sup>14</sup>C) a linear chain configuration of 3  $\alpha$ particles may exist, with a covalent bond between each  $\alpha$ -pair via two neutrons (one neutron):  $\alpha$ -2n- $\alpha$ -2n- $\alpha$ , as pointed out by von Oertzen [1]. A signature of this structure would be the observation of rotational bands with a correspondingly large moment-of-inertia and hyperdeformation. These structures are expected above 18 MeV excitation energy (the <sup>6</sup>He- $\alpha$ -<sup>6</sup>He threshold is located at 23.92 MeV) and the structure of <sup>6</sup>He-<sup>10</sup>Be above 13 MeV (threshold: 16.51 MeV).



Fig. 1: Spectra of <sup>16</sup>C (upper panel) and <sup>14</sup>C (lower panel) obtained in three-neutron and twoneutron transfer reactions, respectively. The states shown in red were previously unknown.

Until now little is known about  ${}^{16}C$ , e. g., excited states only up to 6 MeV. To investigate the different structures in  ${}^{16}C$  (including also particle-hole (ph) structures), we measured the three-neutron transfer

reaction <sup>13</sup>C(<sup>12</sup>C,<sup>9</sup>C)<sup>16</sup>C at 230.7 MeV incident energy using the Q3D magnetic spectrograph at ISL. The spectrum (see Fig. 1, upper panel) shows 14 previously unknown states up to 17.4 MeV excitation energy. The low-lying 2<sup>+</sup> and 4<sup>+</sup> states at 1.77 MeV and 4.14 MeV, respectively, have a well known neutron (sd)<sup>2</sup> configuration [2]. In the region of 8.9-10.4 MeV we find a group of 4 states, which belongs to the neutron ph-configuration  $(1d5/2)^3 \otimes (1p1/2)^{-1}$ . The largest cross section is expected for the stretched configuration 5; this spin is assigned to the strongest state of this group at 8.92 MeV. This behavior is well known for direct reactions with large negative Q-values (here Q<sub>0</sub>=-39.48 MeV). The strong population of the  $4^+$  state at 4.14 MeV, which has a stretched  $(1d5/2)^2$  configuration, illustrates this effect. The other three states of this group at 9.42, 9.98, and 10.39 MeV are assigned as 4<sup>-</sup>, 3<sup>-</sup>, 2<sup>-</sup>, respectively, according to a coupled channel analysis of angular distributions. The assignments are also consistent with the dublet structure (5, 4), (3, 2) generated by the parallel and anti-parallel coupling of the 1p1/2-hole to the 9/2<sup>+</sup> and 5/2<sup>+</sup> particle configurations.

The states above 11 MeV excitation energy up to 17.4 MeV are not yet assigned because different possible structures are present in this region: (i) phconfigurations including the 1d3/2 and 1f7/2 shells for particles, and the 1p3/2 shell for holes, (ii) collective modes (i. e., 3<sup>-</sup>, 2<sup>-</sup>) including proton excitations, and (iii) possible low-lying  $\alpha$ -cluster structures. The latter should be investigated in a larger excitation energy range by decay studies. At present, we obtained for the high-lying new resonances valuable information about excitation energies and widths.

The <sup>14</sup>C isotope has a closed neutron shell in its ground state, which is therefore spherical. The lowest lying excited states have a 1p1h and 2p2h character: the excitation of a neutron from the 1p1/2 to the 2s1/2 or 1d5/2 shells leads to the 1p1h-dublets (1<sup>-</sup>, 0<sup>-</sup>) and (3<sup>-</sup>, 2<sup>-</sup>), respectively, which are found between 6.09 MeV and 7.34 MeV (see Fig. 1, lower panel). But also states with the  $(sd)^2$  configurations, which are present in the  ${}^{16}C$  spectrum, appear in the <sup>14</sup>C spectrum, here as (sd)<sup>2</sup> ⊗(1p1/2)<sup>-2</sup> configurations. In Fig. 1 the corresponding 4<sup>+</sup> states in <sup>16</sup>C and <sup>14</sup>C in the upper and lower panels are aligned to the same position by a shift of 6.59 MeV; in this case the corresponding  $2^{\rm +}$  and  $0^{\rm +}$  states are also simultanously aligned. The structure of the  $^{14}{\rm C}$ states up to about 11 MeV is well known from many investigations. Definite spin assignments for the higher-lying states exist, however, only in a few cases and their structure is scarcely known for most states.

We have studied these states in a systematic way using 2n-stripping reactions on <sup>12</sup>C, 2p-pickup reactions on <sup>16</sup>O and the <sup>9</sup>Be(<sup>7</sup>Li,d)<sup>14</sup>C reaction to populate neutron-particle, proton-hole and  $\alpha$ -cluster states, respectively. Our new data and all data in the literature on <sup>14</sup>C [4] will be reviewed for a consistent interpretation of the structure and spin-parity as-

assignments. In this complete spectroscopy, the levels are ordered into bands of different structure [5].

We measured the <sup>9</sup>Be(<sup>7</sup>Li,d)<sup>14</sup>C reaction at the Q3D magnetic spectrograph in Munich. The reaction mechanism is very different as compared to the twoneutron transfer in the population of <sup>14</sup>C states. The (<sup>7</sup>Li,d) reaction corresponds to an " $\alpha$ +n" transfer, which favors the formation of  $\alpha$ -cluster structures in <sup>4</sup>C, but this reaction has also strong compound nuclear contributions. Due to the excellent experimental resolution (40 keV), we observe in the spectrum (Fig. 2) all known states of <sup>14</sup>C up to 21.4 MeV and 7 additional states between 15.8 and 21 MeV, which were unknown before. There are different background contributions resulting from the  $(^{7}Li,d)$  reaction on contaminations in the target: (i)  $^{16}O$ (dark blue dashed line, Fig. 2) and (ii)  $^{12}$ C (pink line, peaks are also marked by "  $^{17}$ O "); furthermore, there are (iii) three-body (dark blue continuous line) and (iv) four-body phase space distributions (light blue line). The analysis of the spectrum is not yet completed, the spin assignments shown in Fig. 2 are taken from the literature [4].



Fig. 2: Spectrum of the  ${}^{9}Be({}^{7}Li,d){}^{14}C$  reaction measured at 44 MeV incident energy and at 10°. The red line (in the lower panel: the upper red line) corresponds the sum of all contributions.

In the two-proton pick-up reaction on  $^{16}\text{O}$  we expect to populate proton-hole states in  $^{14}\text{C}$ . We observe in the spectrum of the  $^{16}\text{O}(^{15}\text{N},^{17}\text{F})^{14}\text{C}$  reaction, which was measured at the Q3D magnetic spectrograph in Berlin, mainly 2<sup>+</sup> states: the strongest ones are the states at 7.01 MeV and 8.32 MeV: Both states are also observed in the two-neutron transfer reaction (Fig. 1). Their wave function is composed of the (1p1/2 $\otimes$ 1p3/2)<sub>2+</sub> and (1p3/2)<sup>2</sup><sub>2+</sub> configurations for

protons. For neutrons, the former configuration is coupled to  $(2s1/2)^{2}_{0+}$  and  $(1d5/2)^{2}_{0+}$ , but also the 2p2h configurations (2s1/2@(1d5/2)<sub>2+</sub>@(1p1/2)<sup>-2</sup><sub>0+</sub> and  $(1d5/2)^{2}_{2+} \otimes (1p1/2)^{-2}_{0+}$  contribute. Odd-parity states like the 3 level at 9.80 MeV can be excited in this reaction, when the wave function contains a proton 1p1h-component, e. g.,  $\pi(1d5/2\otimes 1p1/2)^{-1}$ . In the spectrum, no states are populated above 15.9 MeV, whereas we observe states up to 21.4 MeV in the two-neutron transfer and  $(^{7}Li.d)$ reactions. This can be understood from the number of proton-hole configurations, which is rather limited for <sup>14</sup>C, even for second order contributions in combination with neutron excitations. The absence of states above 16 MeV in the 2p-pick-up supports the interpretation that most of the higher-lying states observed in the ('Li,d) reaction have cluster structures.



Fig. 3: Spectrum of the two-proton pick-up reaction  ${}^{16}O({}^{15}N, {}^{17}F){}^{14}C$  to states of  ${}^{14}C$ . States below 7 MeV excitation energy are not in the focal plane.

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#### Parity Doublets as Indications of Cluster Structure in Nuclei

Recently molecular structures have been identified in light nuclei. Intrinsically asymmetric binary systems, which occur in atomic or nuclear molecules, will give rise to rotational bands with a double structure called parity inversion doublets [see ref. 1]. Intrinsically asymmetric nuclear structures consisting of an  $\alpha$ -particle and a larger core cluster giving rise to a parity doublet are well known in <sup>20</sup>Ne (with  $\alpha$  + <sup>16</sup>O). We have studied the system ( $\alpha$  + n + <sup>20</sup>Ne), which has spin different from zero, and for which a molecular picture predicts parity doublets with K=3/2 and K=1/2 as discussed in ref.1.

The other case,  $^{40}$  Ca, (consisting of  $\alpha$  +  $^{36}$  Ar) was aimed on the establishment of parity doublets in a nucleus with spin = 0; in this case rotational bands with states with the alternating even spins for plus parity must appear. Both experiments have been performed with the GASP- $\gamma$ -detector and the ISIS-charged particle ball at the Laboratori Nationali di Legnaro (LNL). For the study of  $^{21}$ Ne the reaction  $^7\text{Li}$  +  $^{16}\text{O}$  ->  $^{21}\text{Ne}$  + (p, n) has been used.

The most important result of this experiment is the observation of the E1 interband transitions connecting states of the negative parity band (K=3/2) to the states of positive parity in the K=3/2<sup>±</sup> bands. The results cast into a decay scheme are shown in fig.1. Remarkably, no <u>intra band</u> transitions are not observed for the negative parity states (these would be of M1 or E2-character), the <u>inter band</u> transitions are E1-transitions as determined from directional correlation measurements of the  $\gamma$ -arrays, (DCO-values), as shown in more detail in ref.2.



Fig.1 Gamma transitions observed in <sup>21</sup>Ne in the <sup>16</sup>O(<sup>7</sup>Li,pn) reaction as observed with the GASP spectrometer. The E1-transitions connect between the negative and positive parities of members of rotational bands of the inversion parity-doublet with K=3/2.

Further, we have studied the rotational bands in <sup>40</sup>Ca with excited states which imply a structure with the excitation of 4particles – leaving 4holes in the core (4p-4h). This corresponds to alpha-particle clustering, for which also the existence of a parity doublet has been predicted.

From the <u>non-observed</u> E2 intraband transitions and assuming the same quadrupole moment in <sup>21</sup>Ne as for <sup>20</sup>Ne we obtain from the E1 transitions an estimate of the dipole strength and the intrinsic dipole moment of <sup>21</sup>Ne. We obtain a large intrinsic dipole moment of D<sub>o</sub> > 0.1 e fm; this result shows that the octupole deformation of <sup>20</sup>Ne prevails in <sup>21</sup>Ne, and that in fact a structure (<sup>16</sup>O +  $\alpha$  + n) dominates in <sup>21</sup>Ne, which is in close analogy to a covalently bound asymmetric nuclear molecule.



Fig.2 Band structure of  ${}^{40}Ca$ , as observed in the  ${}^{24}Mg$  ( ${}^{32}S$ ,  $3\alpha$ )  ${}^{40}Ca$  reaction using the GASP-ISIS-Spectrometer. The 4p-4h and 5p-5h bands are partners of a parity inversion doublet.

In our study of the <sup>24</sup>Mg + <sup>32</sup>S reaction at high energy, we were able to complete the spectroscopy of <sup>40</sup>Ca, by analysing the 3 $\alpha$  channel. In this reaction under appropriate conditions of high incident energy the 3  $\alpha$ -particles populate the highest states of the rational bands, without intermediate low energy statistical  $\gamma$ -decays. Therefore, only natural parity states (0<sup>+</sup>, 2<sup>+</sup>,4, and 5<sup>-</sup>, 7<sup>-</sup>... etc.) are populated. Therefore, we were able to identify a negative parity band, which appears as the doublet partner to the previously observed (at Gammasphere) 4p – 4h band. The total decay scheme of the observed band structure is shown in Fig. 2. We are also able to confirm the existence of the 8p – 8h band, which has a large deformation parameter of  $\beta_2 \approx 0.6$ .

The observation of the present negative parity band is unique, and different from the corresponding band predicted in a potential model. However, in a description with an octupole deformation, as proposed by Jolos et al. (3), the energy splitting of the two bands is very well predicted. In addition, the observed vanishing of the energy splitting with increasing angular momentum is well described by the theory. This result establishes for the first time the unique structure of the excited states of <sup>40</sup>Ca as deformed bands based on cluster structure.

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S.Thummerer, Tz. Kokalova, S.Torilov, W. von Oertzen, H. G. Bohlen, G. de Angelis et al

### **Cluster Emission in Compound Nuclear Reactions**

Normally the expected compound nuclei decay by the evaporation of nucleons and a-particles. We have studied several systems where the compound nucleus decay at higher excitation energy gives rise to emission of several a-particles or simultaneously to the emission of unstable fragments with excited states just above the  $\alpha$ -particle emission thresholds. The observation of <sup>8</sup>Be  $(0_1^+, \text{ unbound by 92 KeV})$ and  $^{12}\text{C*}(0_2{}^{\scriptscriptstyle +})$  becomes possible in a  $\Delta\text{E-E}$  telescope if the geometric angular opening is larger than the opening angle of the emission cone of the α-particles, which are typically emitted (in their center of mass system) with energies below 100 KeV. The experiments cited below have been performed with the  $\gamma$ -detector ball GASP and the silicondetector ball ISIS (40 AE-E telescopes, each telescope extending over an angular range of ca 27°). The width of the emission cones for the  $\alpha$ -particles from the emitted <sup>8</sup>Be and  ${}^{12}C^*(0_2^+)$ -fragments are typically  $7^{\circ} - 12^{\circ}$ .

The reaction discussed here is  ${}^{28}\text{Si} + {}^{24}\text{Mg}$  at E<sub>L</sub>= 139 MeV, yielding a high probability for 2 $\alpha$  and 3 $\alpha$  emission. The  $\Delta$ E-E spectra are shown in fig.1.

The  $\gamma$ -spectra in GASP in these experiments can be gated for example on 3 $\alpha$ -particles or on  ${}^{12}C^*(0_2^+)$ , the latter appearing as a prompt pile-up of 3 $\alpha$ -particles (see fig.1). The probability of a multi-hit event to contribute to this event line is below 1%. For the <sup>8</sup>Be the probability of a chance double hit is in the range of 10% - 15%, depending on laboratory angle. The simultaneously expected emission of <sup>7</sup>Li (and <sup>6</sup>Li) in the same region  $\Delta$ E-E values is suppressed due to negative Q-values (and the absorber foils inside of ISIS).

In Fig. 2 we show the  $\gamma$ -spectra obtained with two charged particle triggers representing the emission of 3 $\alpha$ 's. The most visible difference between the  $\gamma$ ray-spectra gated on  $3\alpha$ -particles and those gated with the  ${}^{12}C^*$  (0<sub>2</sub><sup>+</sup>)-cluster, is the appearance and dominance of the  $\gamma$ -transitions of lighter masses than <sup>40</sup>Ca; these are the nuclei <sup>39</sup>K and <sup>36</sup>Ar, indicating that the residual nucleus has been left at higher excitation energy with the emission of <sup>12</sup>C, and a subsequent emission of another charged particle (proton or  $\alpha$ -particle) becomes rather probable. The explanation of this effect is that the  $^{12}C^*$  - fragment is emitted into the phase space of the final nucleus in one step, whereas for the emission of the three  $\alpha$ particles the emission phase space occurs in three consecutive steps, producing a larger probability, and a larger total amount of kinetic energy is carried away. This effect is also reflected in the energy spectra of the sum of the three  $\alpha$ -particles (taken from three different telescopes) as compared to the energy spectrum of  $^{12}\mathrm{C}^{\star}$  fragments; the latter reach only to a fraction of the energy carried by the three α-particles.

This is the first time that the emission of unbound multiple  $\alpha$ -clusters has been observed in the decay of compound nuclei with N=Z.



Fig. 1: Plot of Energy-Loss and Energy signals from the  $\Delta E$ -E telescopes of the ISIS-GASP detector system Note the observation of <sup>8</sup>Be and <sup>12</sup>C\*(0+,2) emission.



Fig. 2:  $\gamma$ -spectra gated with  $3\alpha$ -particles or with  ${}^{12}C_{0}^{*}$  (7,56 MeV) respectively. The residual nucleus for  $3\alpha$ -particles is  ${}^{40}Ca$ . Transitions in  ${}^{39}K$  and  ${}^{36}Ar$  are due to subsequent particle decay of highly excited  ${}^{40}Ca$ .

S. Thummerer, Tz. Kokalova, S. Torilov, W. von Oertzen, H. G. Bohlen, G. de Angelis et al.

## Development of high-rate and high-resolution thermal neutron imaging detectors

The development of high-rate and high-resolution thermal neutron detectors [1] with two-dimensional position- and time-of-flight (TOF) resolution, which are based on a thin composite <sup>157</sup>Gd/CsI converter foil sandwiched between two low-pressure microstrip gas chambers (MSGC) with MSGC plates in 4.5 mm distance either side of the converter, was continued. Utilizing the extraordinarily high thermal neutron capture cross section of  $^{157}$ Gd of 255,000 barn, resulting in 67% capture in a 3µm thick  $^{157}$ Gd layer, the converters for cold to thermal neutron detection are fabricated by sputter-deposition of 0.5-1.5  $\mu m$  thick layers of  $^{157}Gd$  either side of a 6  $\mu m$ thick stretched ARAMID (Kevlar) support foil. After thermal neutron capture in 3  $\mu$ m <sup>157</sup>Gd, at least one fast conversion electron of 29-182 keV is emitted with 60% efficiency from one of the converter sides. A detectable cluster of low-energy (eV) secondary electrons is obtained if both sides of the converter are over-coated by ~1µm thick CsI layers with pyramidal or columnar surface morphology. These electrons are then amplified in a two-stage avalanche amplification mode, which is possible at the high reduced field strengths E/p achievable at the low operation pressure p~20mbar in isobutane: In the first stage the electron avalanche is amplified in parallel-plate mode at constant E/p, extending from the converter surfaces (on negative potential) up 0.5 mm above the MSGC plates, and in the second stage further in micro-strip mode in strongly rising E/p when the avalanche is approaching the anode strips on the MSGC plate. This two-stage amplification mode gives safe operation at high gains  $>10^5$ and very fast pulses of 4-5 ns FWHM, which lend themselves e.g. to delay line readout.

In the present detector version an improved delay line readout with capacitive interpolation between adjacent strips, impedance matching amplifiers on each readout node, fourfold electrical sub-division per detection plane and evaluation of double-hit events is used for position resolutions ≤0.3mm and counting rates ≤2 MHz per MSGC plate. In addition, TOF resolutions <10 ns can be achieved. Thus, large-area modular detector arrays can be set up economically. For readout a powerful PCI data acquisition (DAQ) board has been developed comprising four dead-time free 8-channel multihit TDC chips of the F1 type with 120 ps LSB (i.e. time bin size), 256 Mbytes histogram memory and a 1 GFLOP digital signal processor (DSP) [2]. In addition, pulse height can be measured in the TDCs with fixed shaping time via the pulse length by means of timeover-threshold discriminators. Since the intrinsic resolution and counting rate capacity of the lowpressure MSGC detectors is even higher, in the next EU frame program FP6 a detector version with single-strip readout and a new self-triggering ASIC shall be developed allowing for ~0.1mm position resolution and counting rates of ~10<sup>8</sup>/s/plate, for applications like time-resolved tomography or quasi-Laue diffraction at next generation spallation neutron sources [3]. On the other hand, as spin-off of the project for  ${}^{3}\text{He}$  filled multi-wire chambers (MWPC) of BENSC a simpler board has been developed last year, too, comprising only one 8channel TDC chip, 256 Mbytes histogram memory, a 0.9 GFLOP DSP and in addition a 40 MHz flash ADC for digitization of amplitude signals, thus allowing for pulse height corrections per software. With this board so far counting rates up to 1.5 MHz have been detected.



Fig. 1: Photograph of the MWPC DAQ board. The main components are a F1 TDC chip with control CPLD and FIFO buffer, a 40 MHz flash ADC, a 0.9 GFLOP DSP, 256 Mbytes histogram memory with its control CPLD and a PCI interface.

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