

R&D experience on Cs₂Te photocathode for FEL accelerator applications

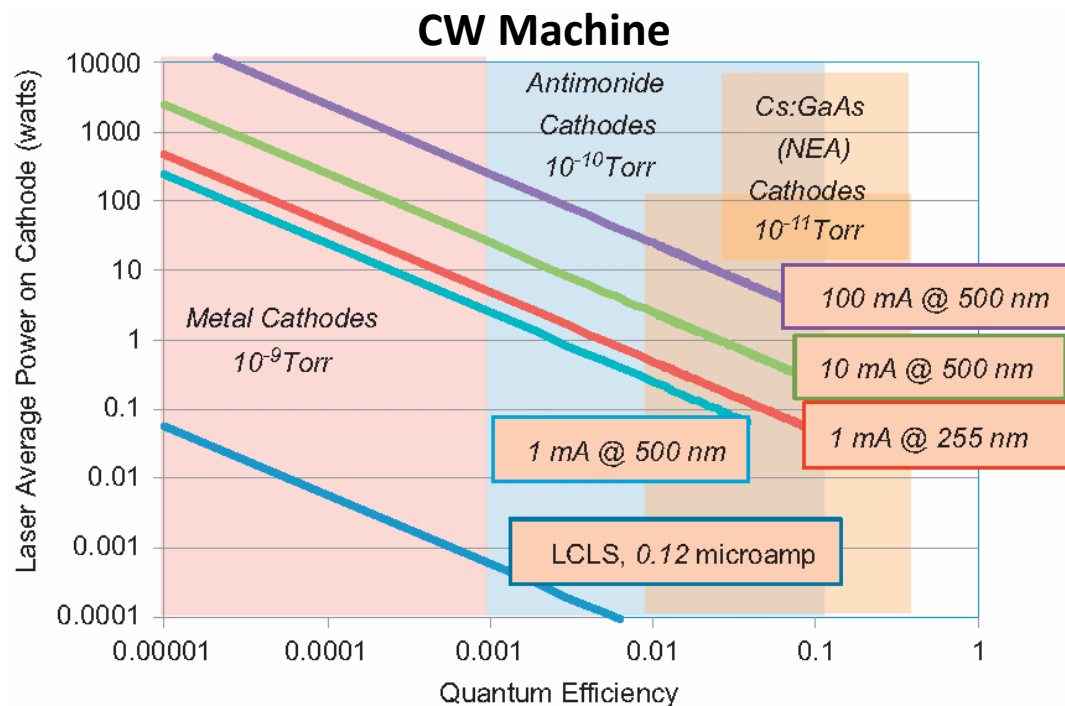
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Laboratorio Acceleratori e Superconduttività Applicata

High QE Photocathodes in RF Guns

- High charge, long pulses and high repetition rate machine require high QE photocathodes given the average laser power of some tens of Watts in IR.
- For example FLASH or European XFEL require to produce thousands to ten-thousands of bunches per second, each of nearly 1 nC.



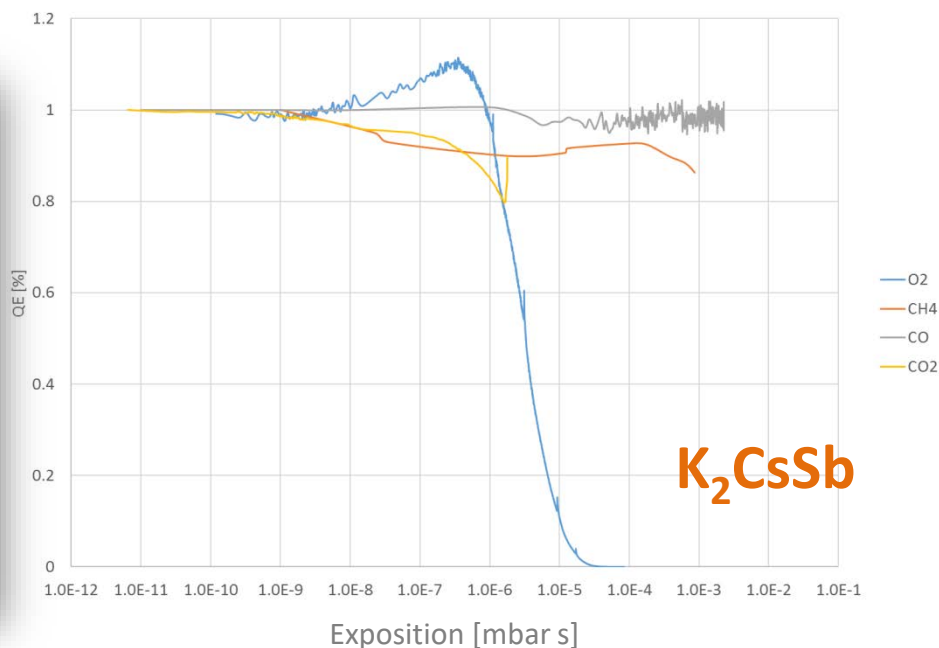
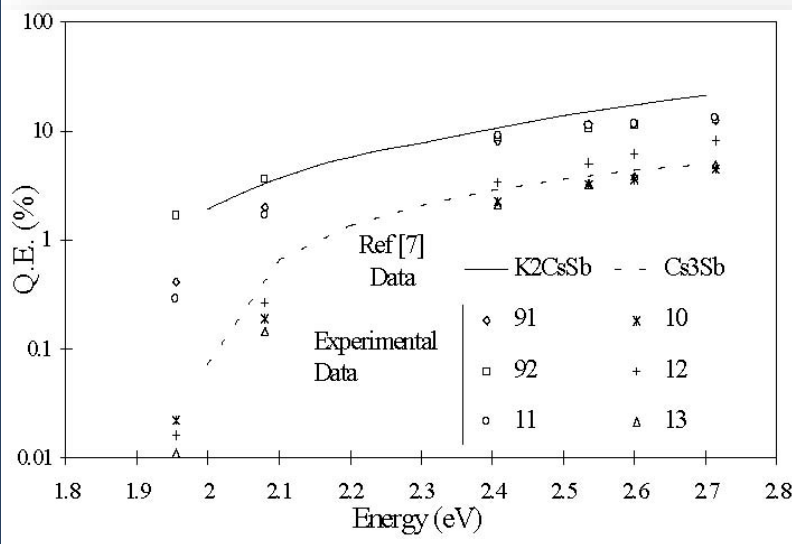
Photocathodes Choice

- **Semiconductor** photocathodes have high QE ...
 - Their sensitivity to gas exposition requires UHV conditions.
- ... the use in RF Gun requires also:
 - QE uniformity
 - Low dark current
 - Long operative lifetime
 - Stable operation along the train
 - Fast response time

Cathode type	Cathode	Typical wavelength & energy, λ_{opt} (nm), (eV)	Quantum efficiency (electrons per photon)	Vacuum for 1000 h (Torr)	
PEA: mono-alkali	Cs ₂ Te	211, 5.88	0.1	10 ⁻⁹	
		264, 4.70	–	–	
		262, 4.73	–	–	
	Cs ₃ Sb	432, 2.87	0.15	?	
	K ₃ Sb	400, 3.10	0.07	?	
PEA: multi-alkali	Na ₃ Sb	330, 3.76	0.02	?	
	Li ₃ Sb	295, 4.20	0.0001	?	
	Na ₂ K ₃ Sb	330, 3.76	0.1	10 ⁻¹⁰	
	(Cs)Na ₃ K ₃ Sb	390, 3.18	0.2	10 ⁻¹⁰	
	K ₂ CsSb	543, 2.28	0.1	10 ⁻¹⁰	
	K ₂ CsSb(O)	543, 2.28	0.1	10 ⁻¹⁰	
	NEA	GaAs(Cs,F)	532, 2.33	0.1	?
S-1	Ag-O-Cs	860, 1.44	0.1	?	
		GaN(Cs)	260, 4.77	0.1	?
		GaAs(1-x)Px	532, 2.33	0.1	?
		x~0.45 (Cs,F)			

Multi Alkali Antimonied

- We started in the late '90s studying multialkali compounds based on Sb (Cs_3Sb and K_2CsSb).
- Cs_3Sb was also tested in a SC Gun at Wuppertal University.
- High QE in the visible **BUT**

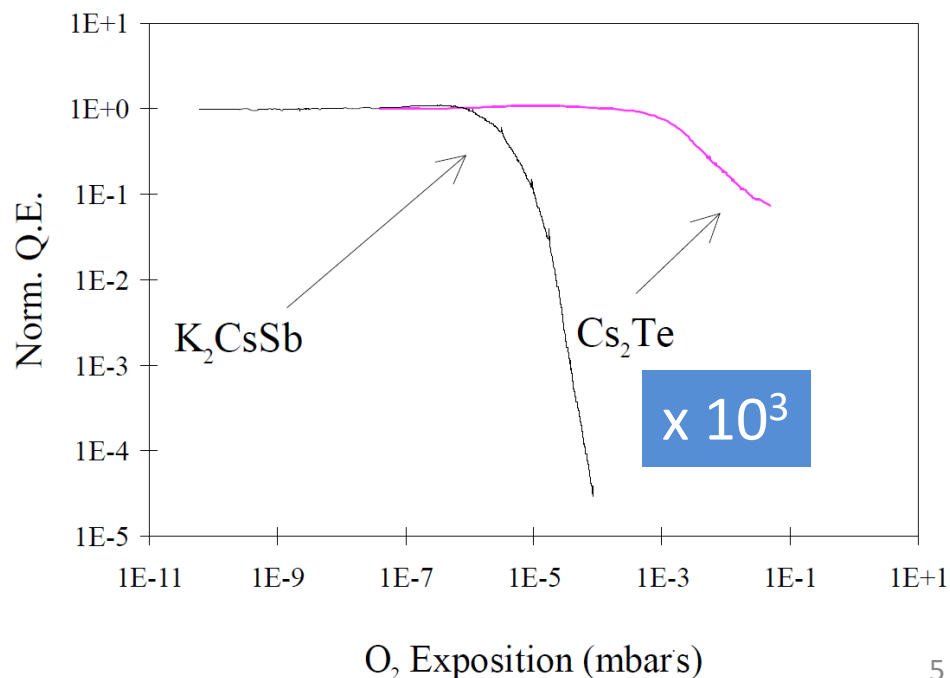
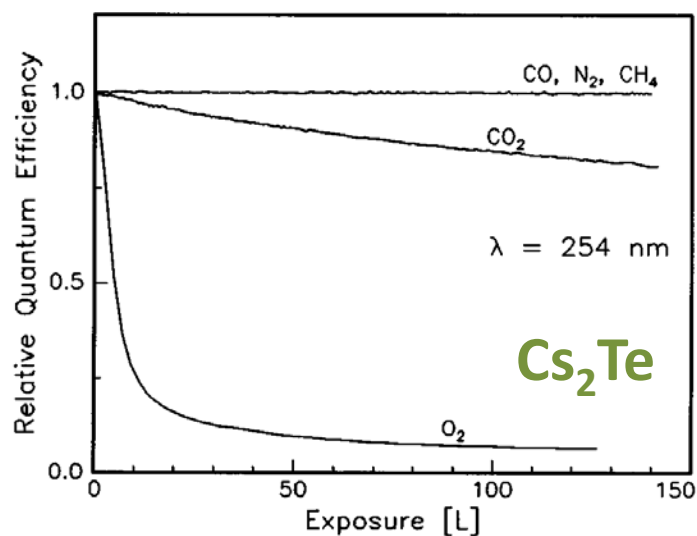


Very Sensitive To Pollution

1 Langmuir = 10^{-6} mbar s

Multi Alkali Telluride

- Given the good results obtained at LANL, we started studying cesium telluride (Cs_2Te) and later cesium potassium telluride (K_2CsTe).
- The large photoemission threshold (≈ 3.5 eV) forced to use UV light but these cathodes showed to be more robust.

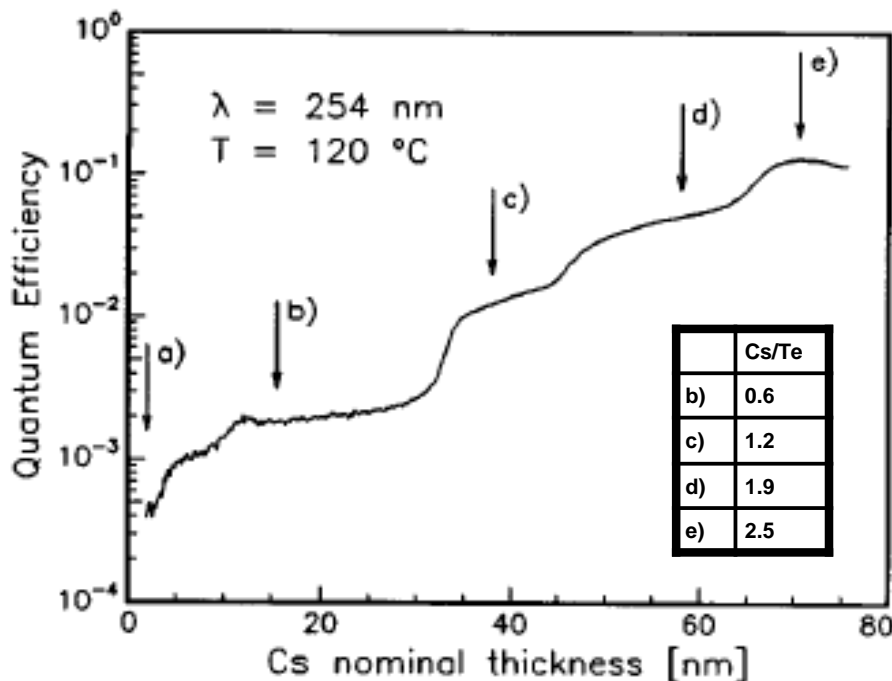


1 Langmuir = 10^{-6} mbar s



Cs_2Te GROWTH INVESTIGATIONS

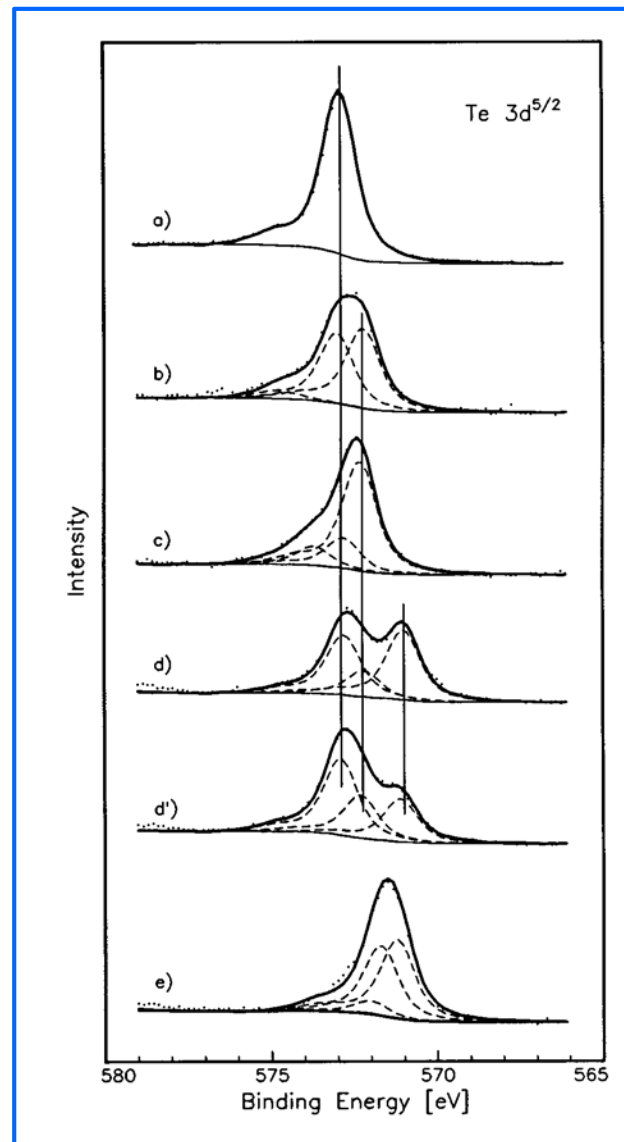
Cs₂Te Recipe Investigation



A. di Bona et al., JAP80(1996)3024

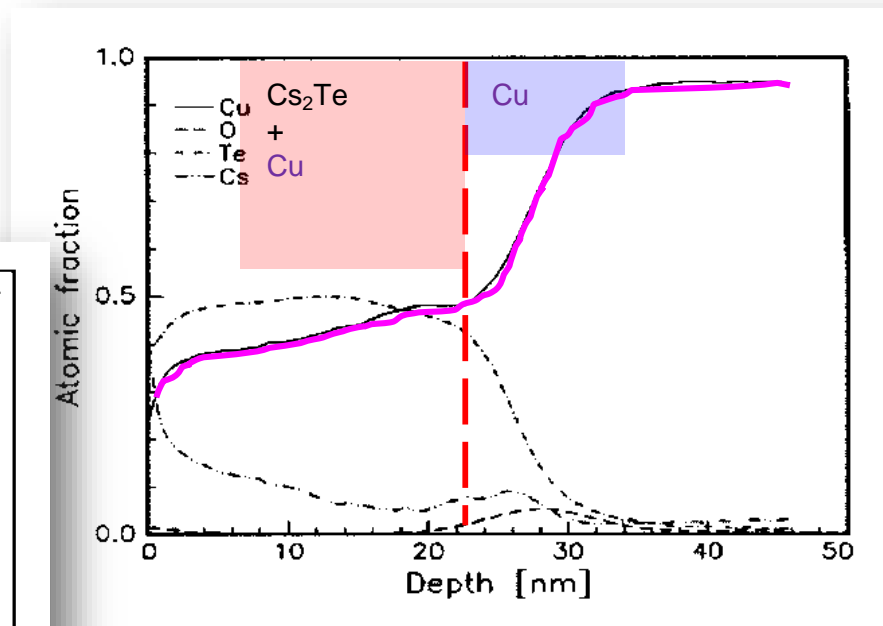
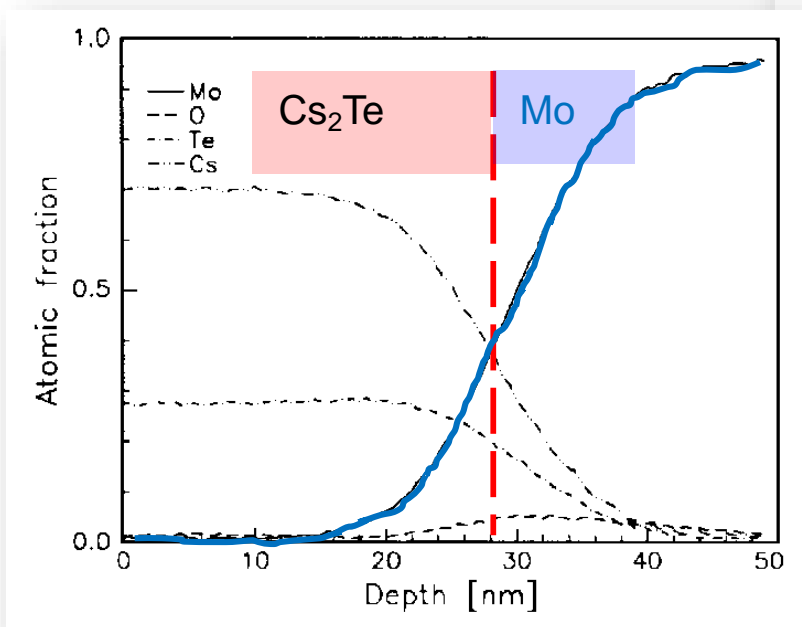
Cathode growth

- The recipe was developed in Milano and as a “process” transfer to Modena for analysis.
- Auger Electron Spectroscopy shows formation of different stoichiometric compounds during Cs deposition. The “correct” Cs/Te ratio is reached at QE maximum.



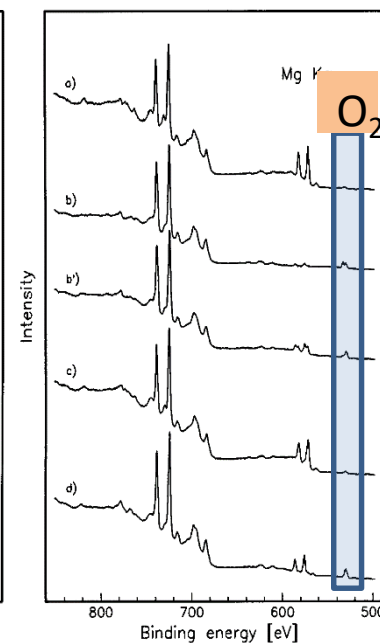
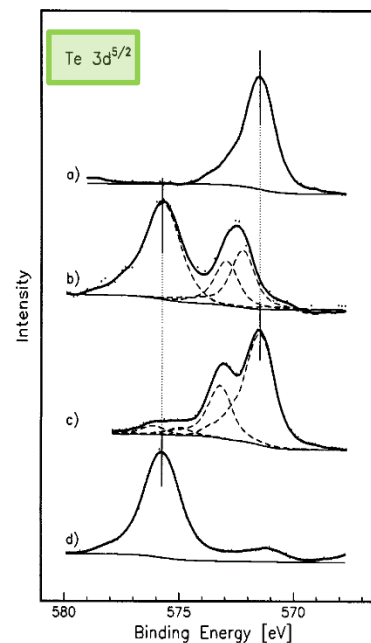
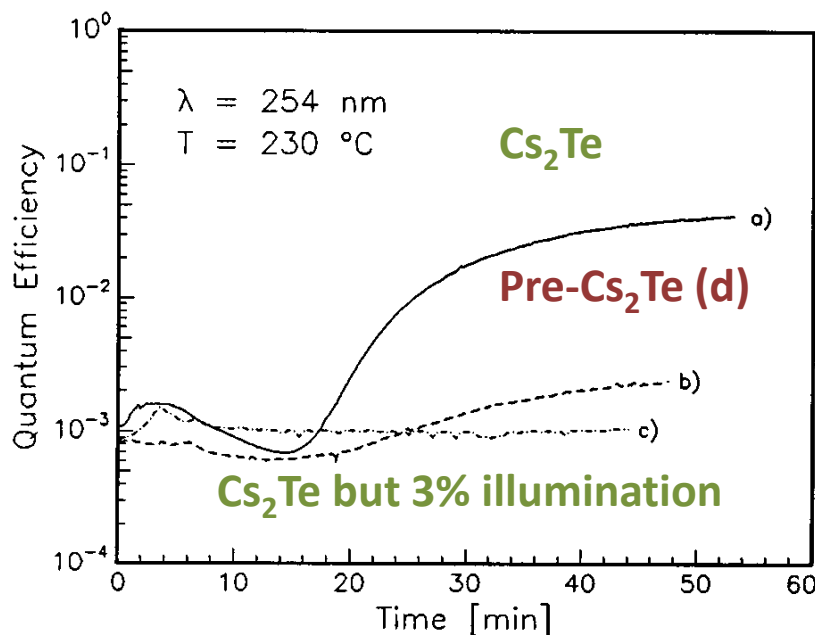
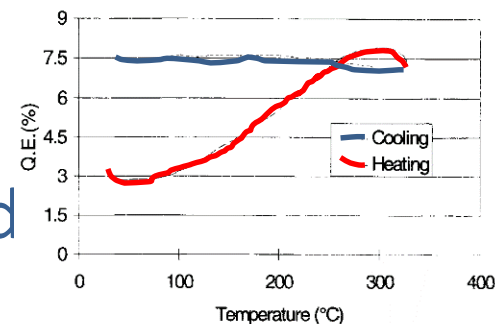
Substrate Contribution

- The Mo plug prevents diffusion of the film (AES + Ar⁺ sputtering study). Estimated photocathode typical thickness is 20-30 nm.



Cs₂Te Rejuvenation

- Cs₂Te photocathodes can be rejuvenated, i.e. QE can be recovered by heating and illumination.
- Largely used at **FNAL-A0** experiment



- a) Cs₂Te
- b) After 120L O₂
- c) 230 °C + 254 nm
- d) 230 °C only

Spectral Response and QE maps

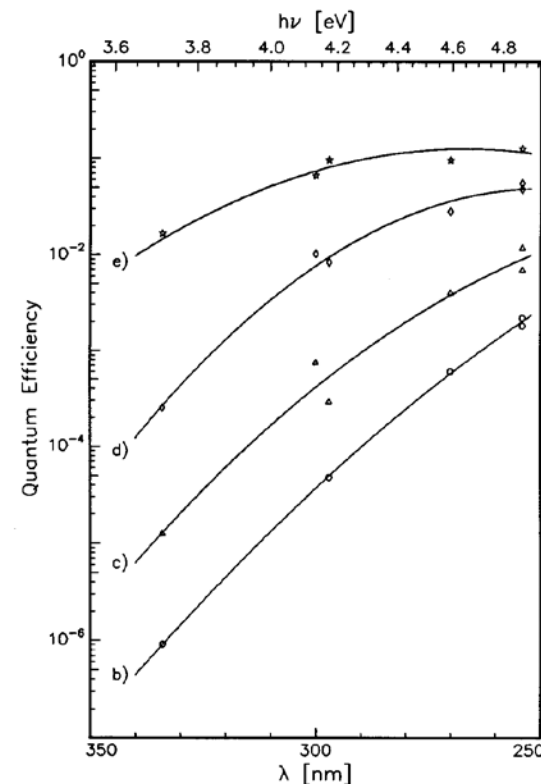
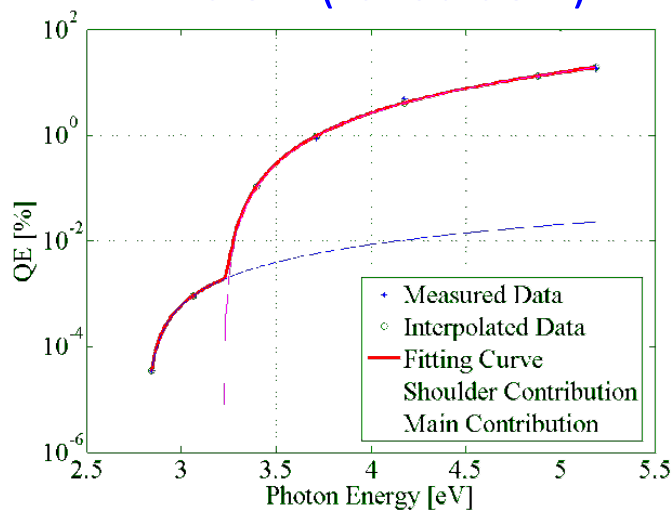
- The **spectral response** analysis provides the **energy threshold** ($E_g + E_a$).
- We fit data with function based on the “Kane” model where m_i depends on the type of transition

$$QE = \sum_{i=1}^2 A_i [h\nu - (E_{g_i} + E_{a_i})]^{m_i}$$

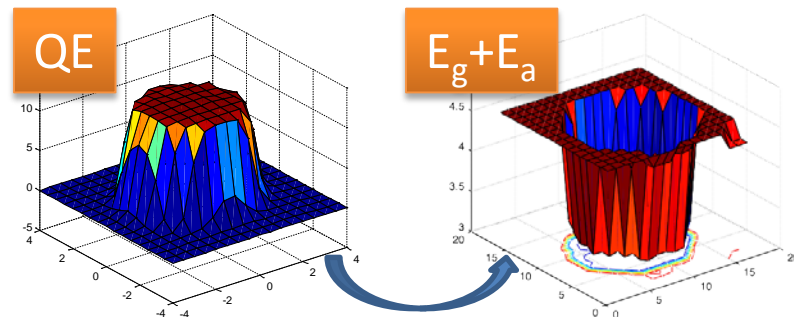
- Typical values for thresholds:

3.3 eV (“high energy”)

2.8 eV (“shoulder”)



Analysis applied to QE maps

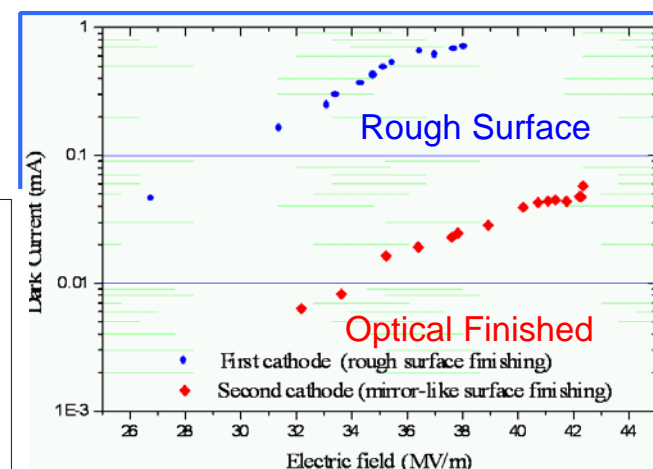
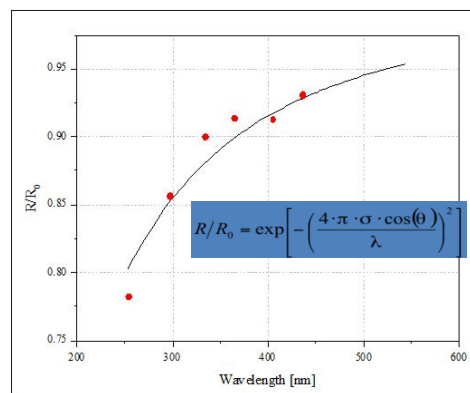




PHOTOCATHODE SYSTEMS

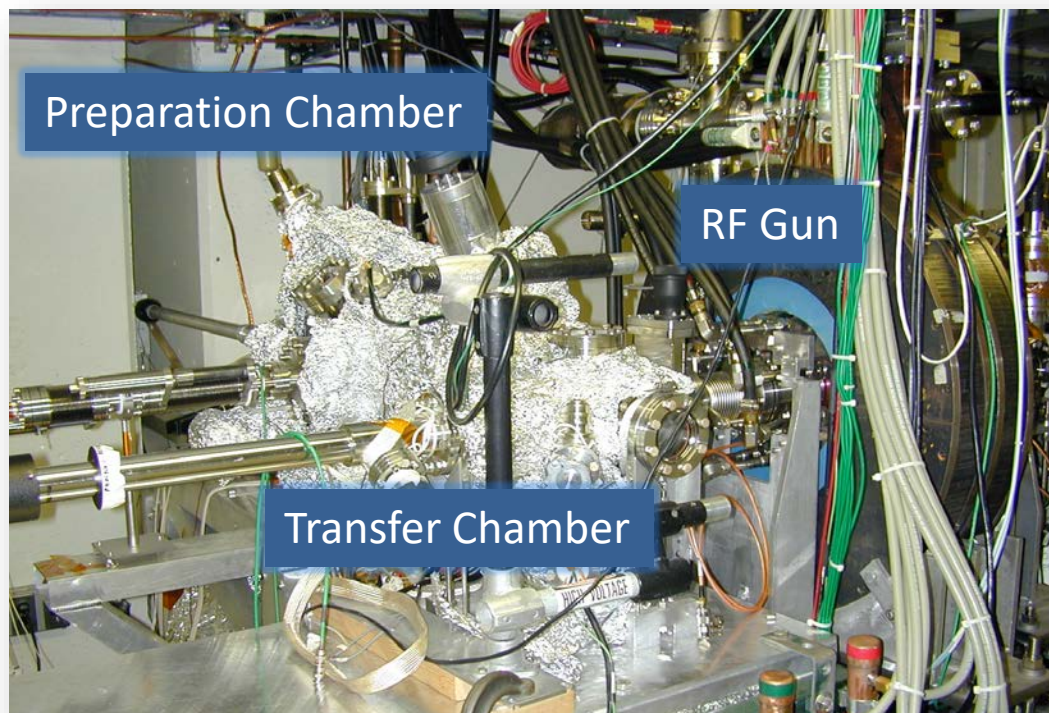
The Cs₂Te plug

- The recipe was then transfer to a plug compatible with gun operation
- The Mo plugs, either from **sintered** or **arc-cast** material, are cleaned with a **BCP** after machining and polished to **optical finishing** to reduce dark current
- Reflectivity measured the quality of the optical finishing and it provides also information on surface roughness, **typically ≈ 10 nm**
- New technique promises **≈ 1 nm**



Cs₂Te PhotoCathode in RF Guns

- In the '90s we start studying the application of Cs₂Te in RF Guns.
- We tested our cathodes in an RF Gun at FNAL-A0 in 1996 with our own preparation and transfer systems.



The INFN – DESY Cathode System

- In 1998, a split INFN – DESY preparation-transfer system was designed and built. The preparation chamber in Milano and the transfer to the gun at DESY Hamburg.



RF Gun and FLASH linac

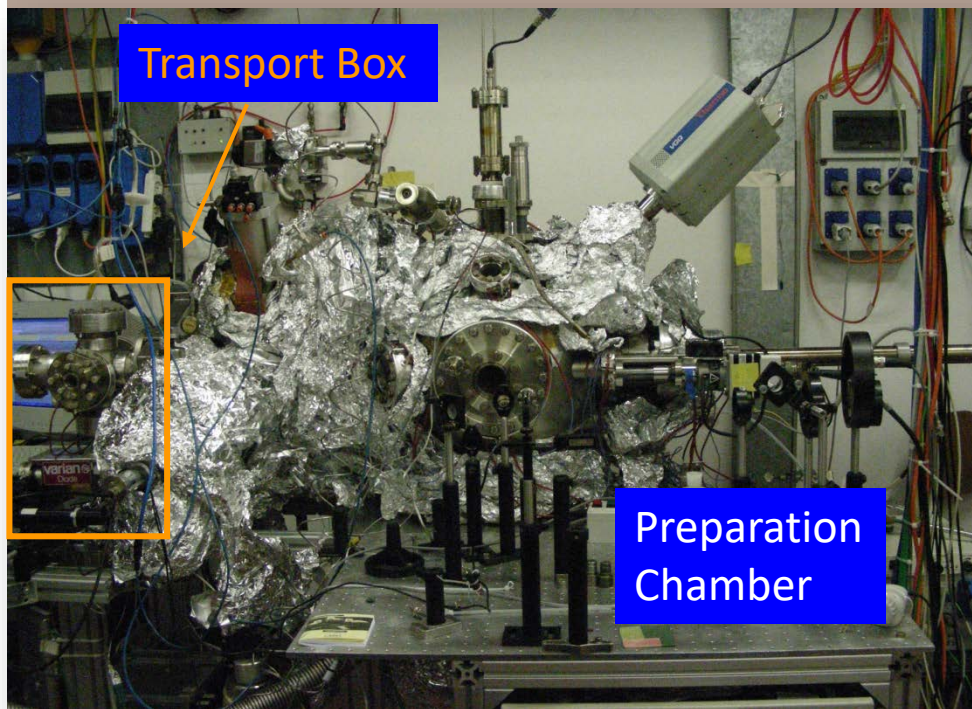
Transfer Chamber

Preparation System



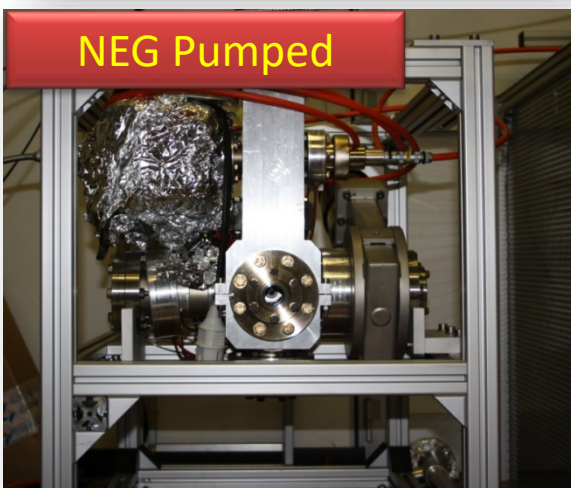
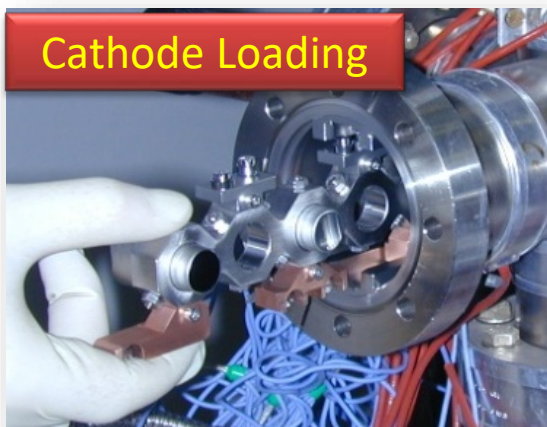
Cathode Heater

- UHV Vacuum System (base pressure 10^{-10} mbar)
- 6 source slots available
- Te sources out of 99.9999 % pure element
- Cs sources from SAES®
- Masking system ($\phi = 5$ mm Tungsten Foil)
- High pressure Hg lamp and interference filter for online monitoring of QE during production
- UHV transport boxes



Transport System

- Cathodes are transported under UHV condition from INFN Milano to the Labs.

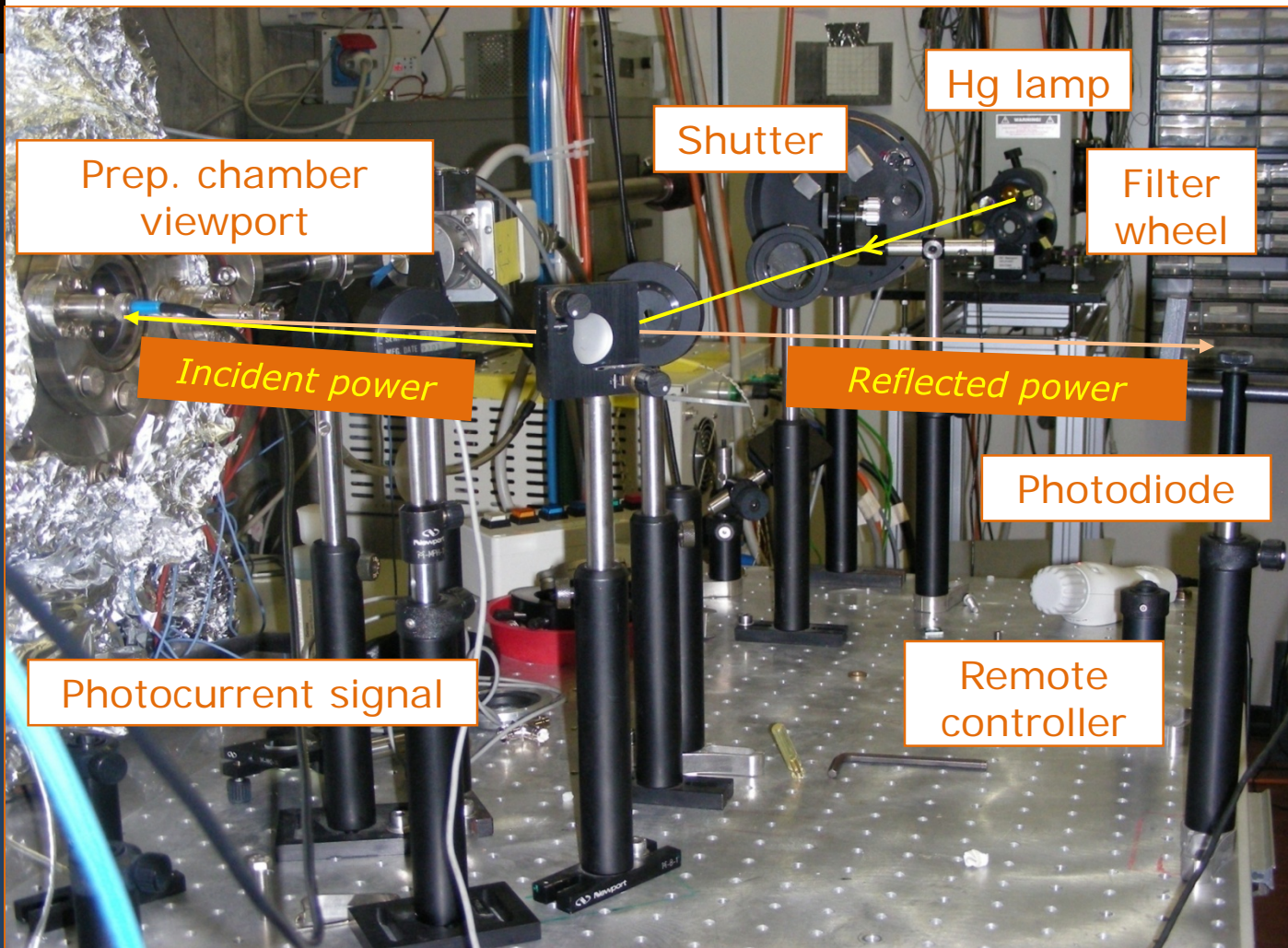


Total number of deliveries
between labs ~ 130



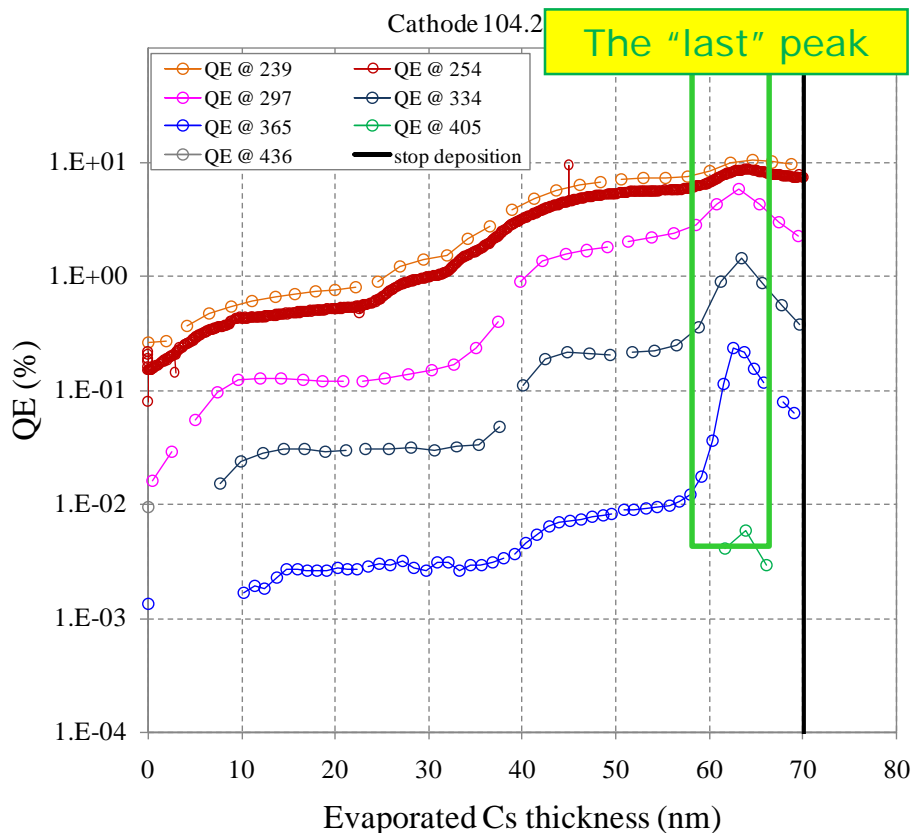
PRODUCTION IMPROVEMENTS

New online diagnostic set-up



- **Calibrated photodiode** for reflected light power from the film
- A **motorized** and remotely controlled **filter wheel** with interference filters (239 nm, 254 nm, 334 nm, 365 nm, 405 nm, 436 nm)
- **Picoammeter** for photocurrent measurement

Cs₂Te QEs during deposition



- At **wavelengths longer than 254 nm**, the typical growth steps are no more clearly visible except at **40 nm** and the last one at **64 nm** of evaporated Cs thickness.

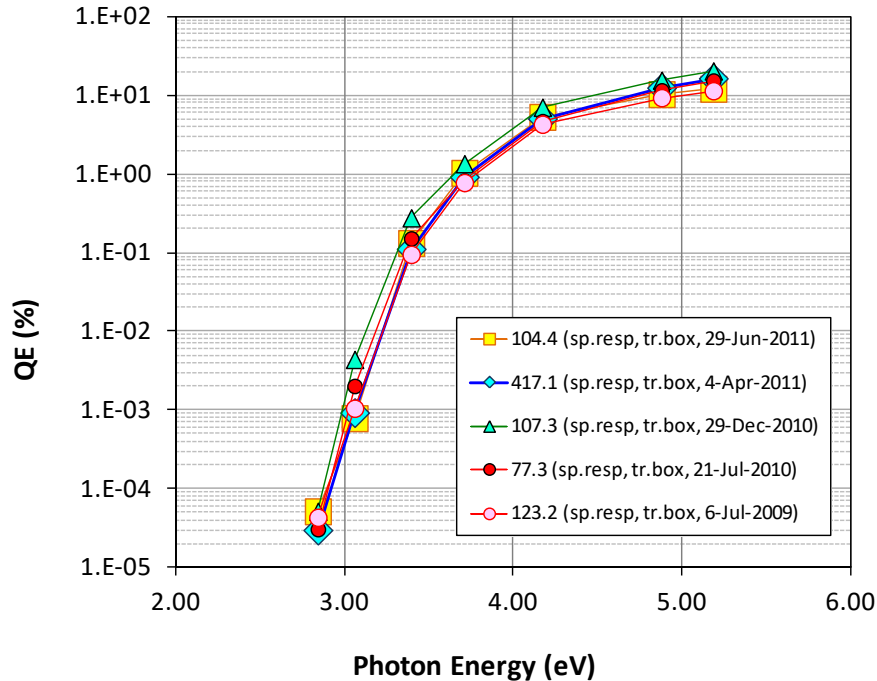
- The **last peak** corresponds to the **complete formation of the Cs₂Te** and it is more evident at longer wavelengths.

- "Last" peak **position**, in term of the evaporated Cs amount, is **related to the Te thickness deposited**.

- A **stop of Cs evaporation before** it produces a not totally finished cathode that might be **less stable**, while a **stop after** it leads to a cathode with a **Cs excess**

- Te thickness **10nm**
- Cs evaporated thickness **70nm**
- Last peak @ **64nm** of evaporated Cs
- Final QE @ 254nm **10.9%**

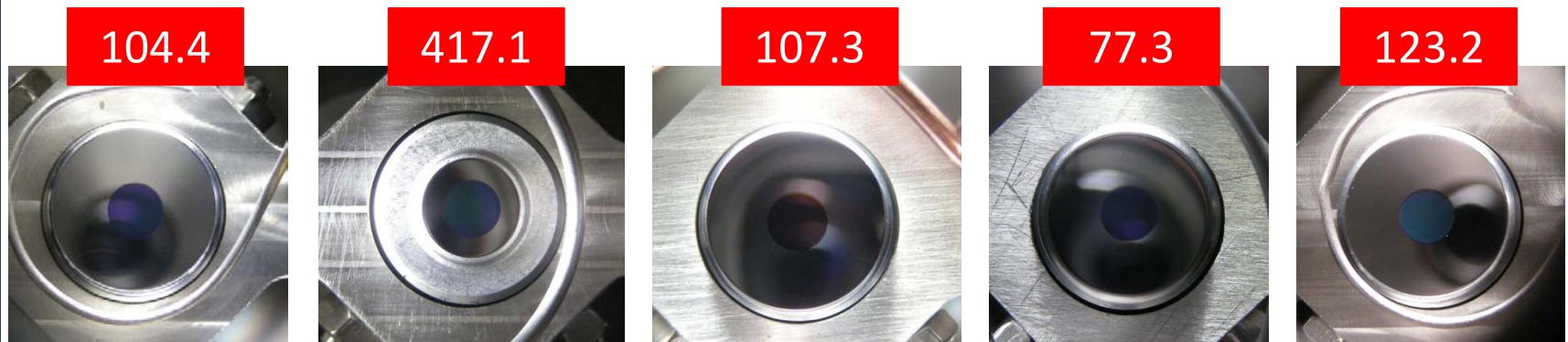
Spectral response reproducibility



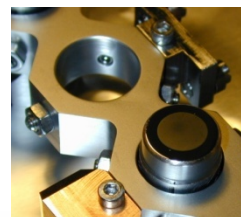
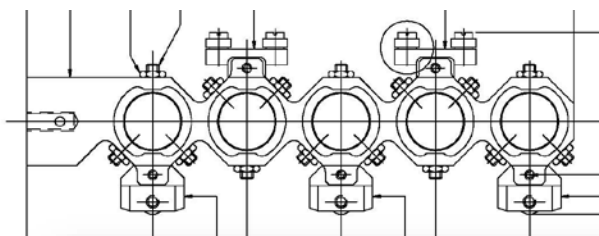
The on-line diagnostic **allows better control** of the cathode growing.

The **reproducibility** of produced cathode spectral responses is largely improved.

The **Cs excess is under control**.
 No more low energy shoulder.



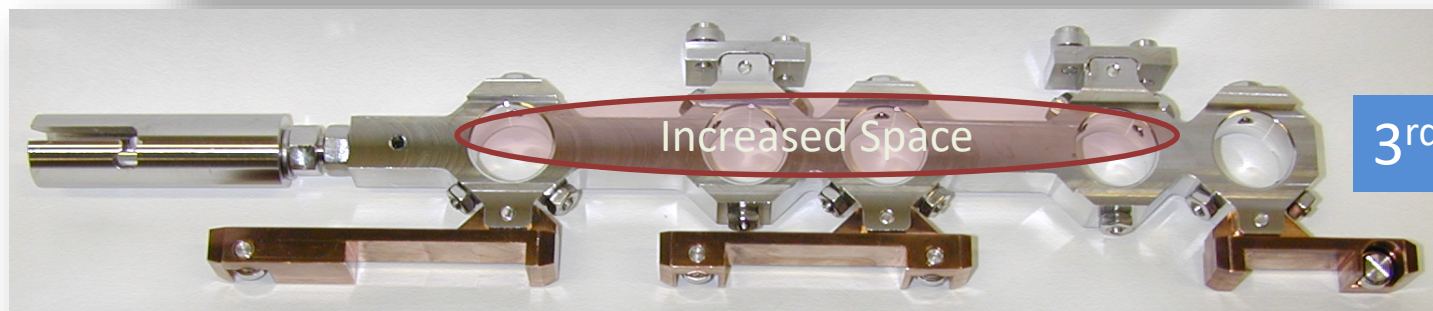
Carriage Evolution



A0 Carrier



2nd gen.

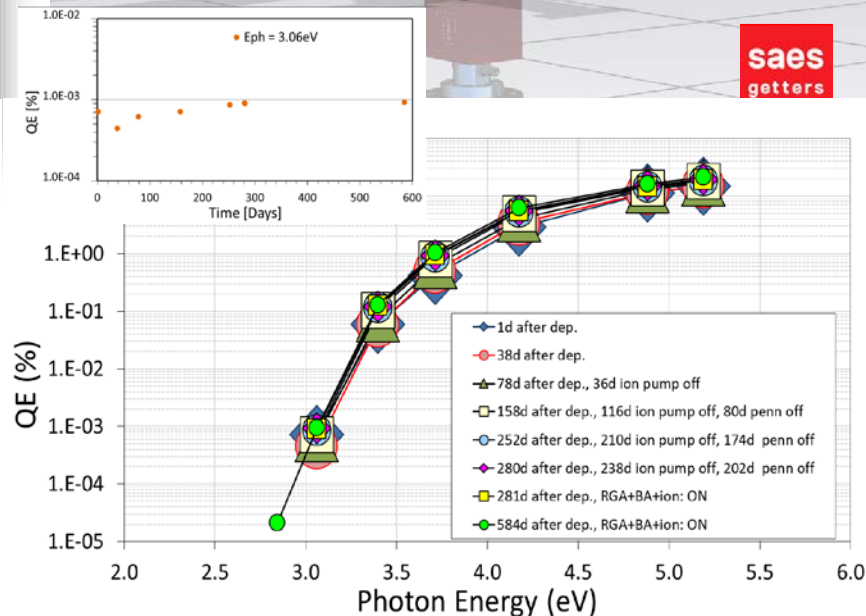
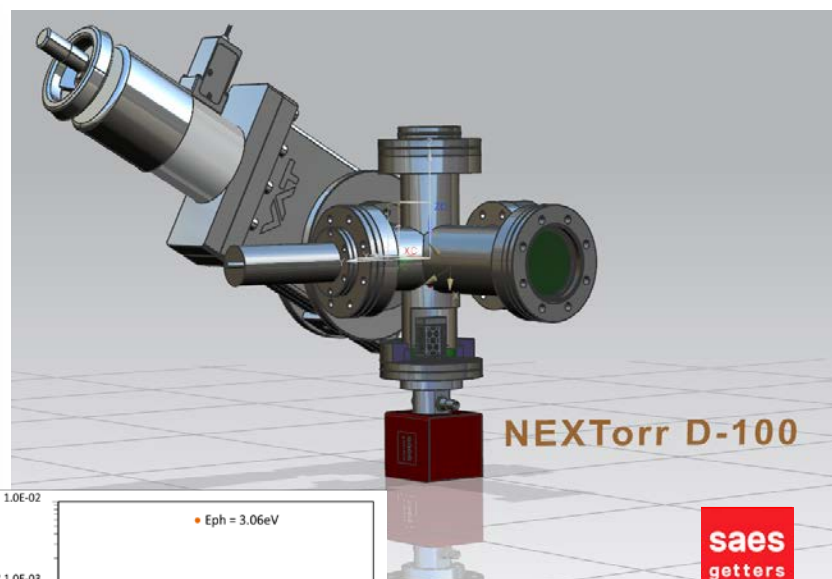
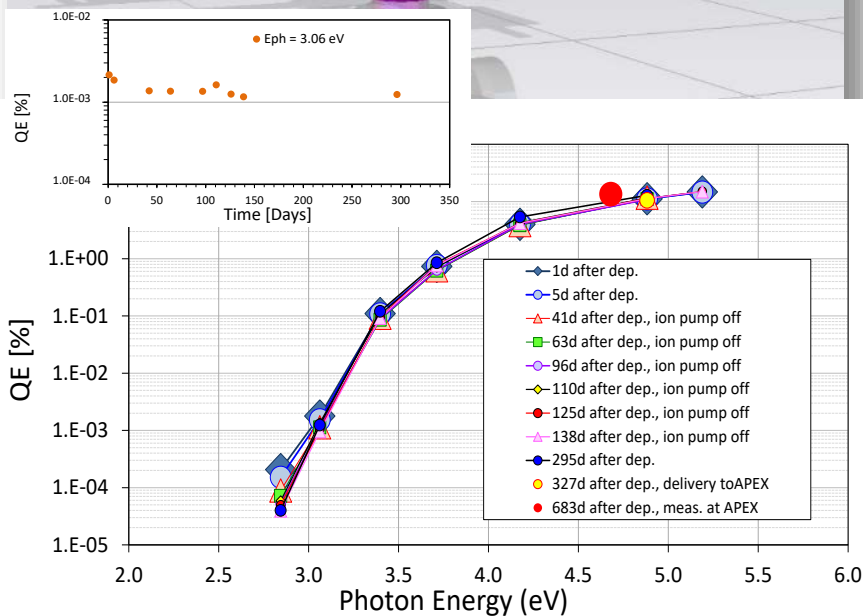


3rd gen.



4th gen.

New NEG Based UHV Vacuum Transport System



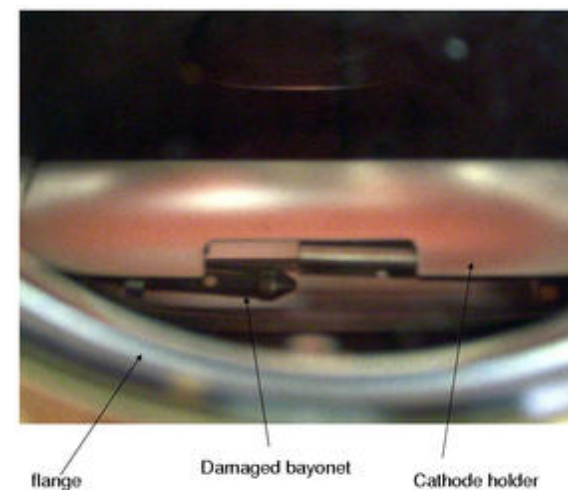
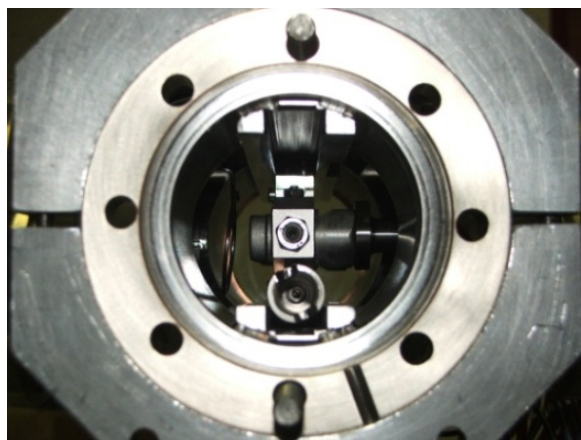
Photocathode handling system

Analysis of accident during cathode operation:

- Only **three significant accidents** have occurred after about 17 years of operation

Damages on the connection system:

- due to **a not correct parking of the carrier** in the transport box and then closing of the valve
- due to **the manipulator magnet uncoupling from its vacuum counterpart**





PERFORMANCE

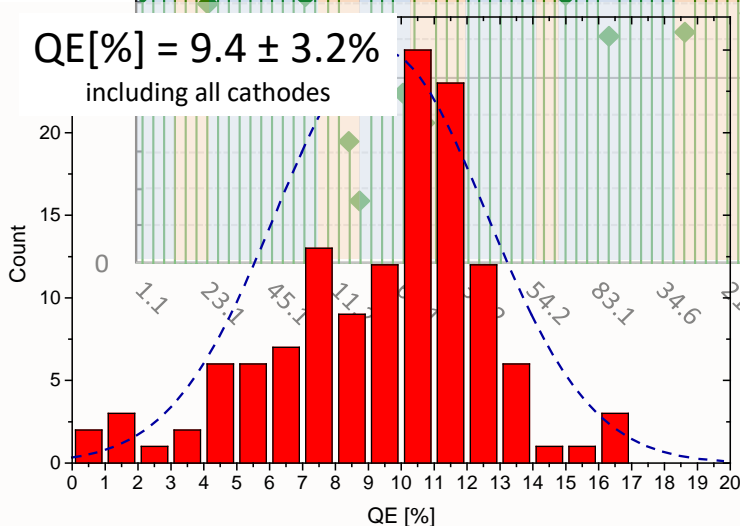
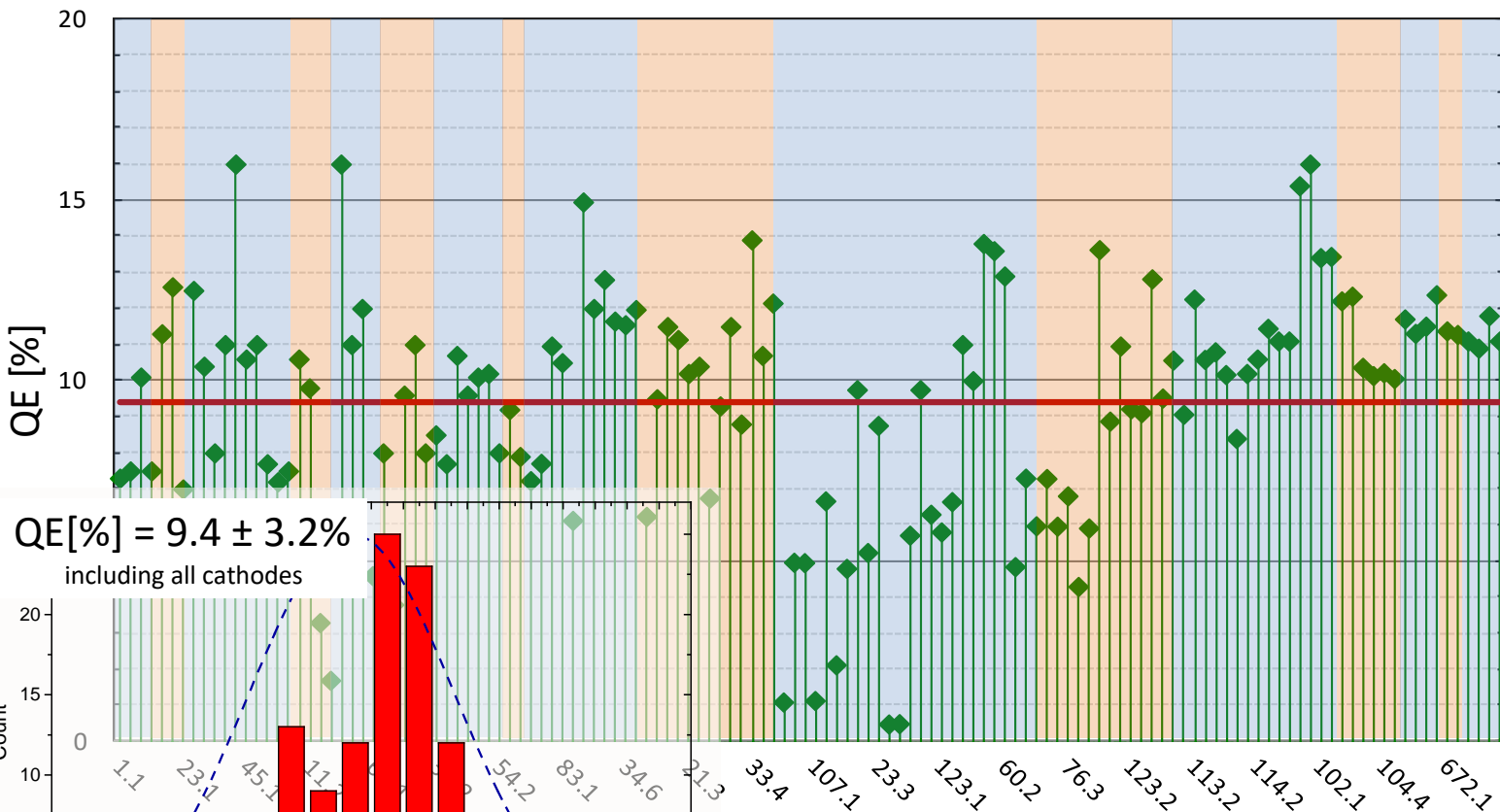
Cs₂Te QE after production

13 mm

10 mm

5 mm

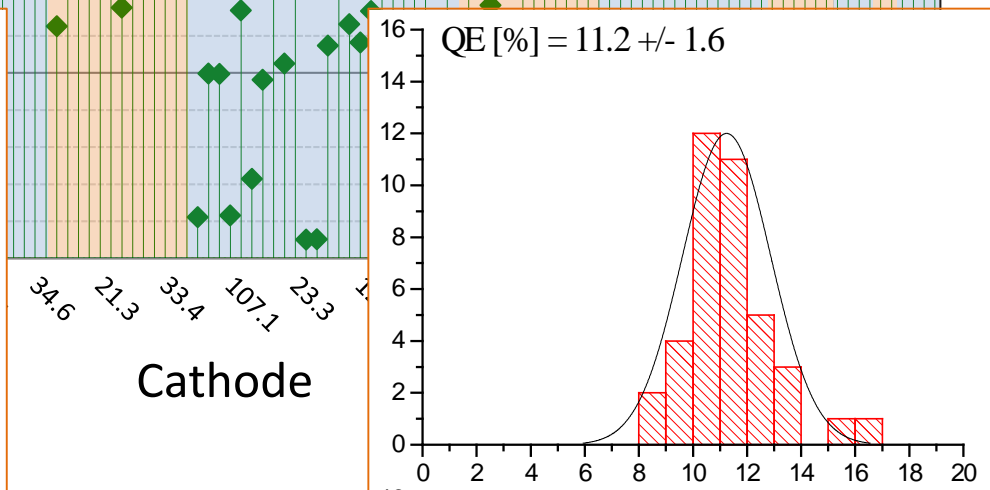
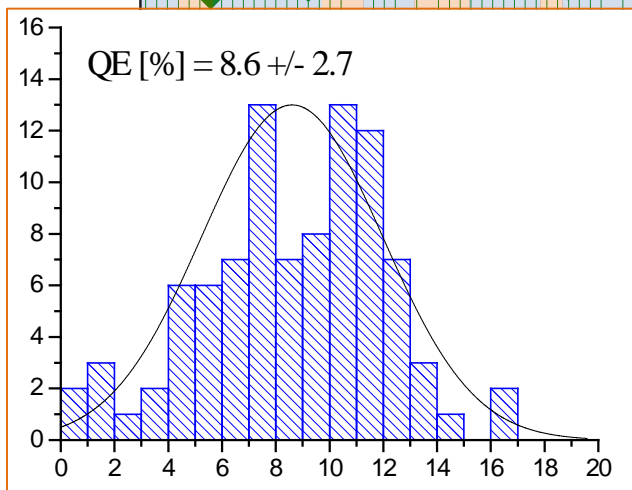
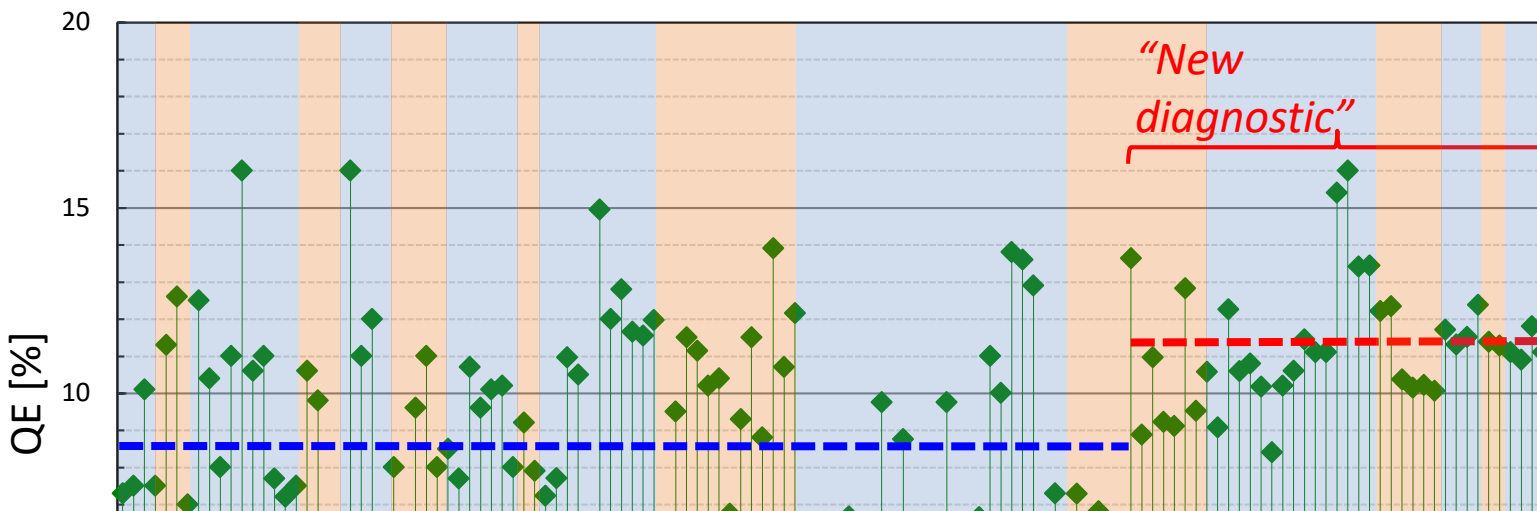
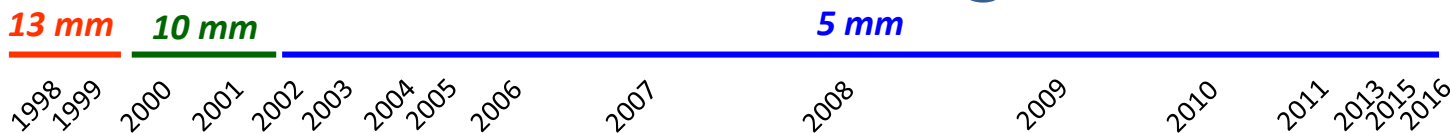
1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2011 2013 2015 2016



Cathode

Total number of photocathodes coated at LASA: 132

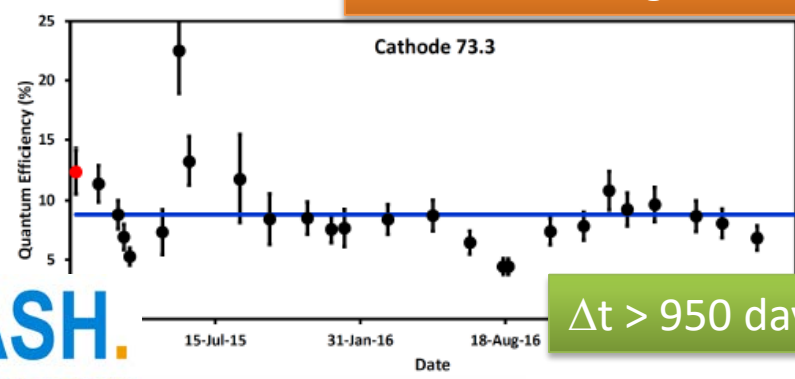
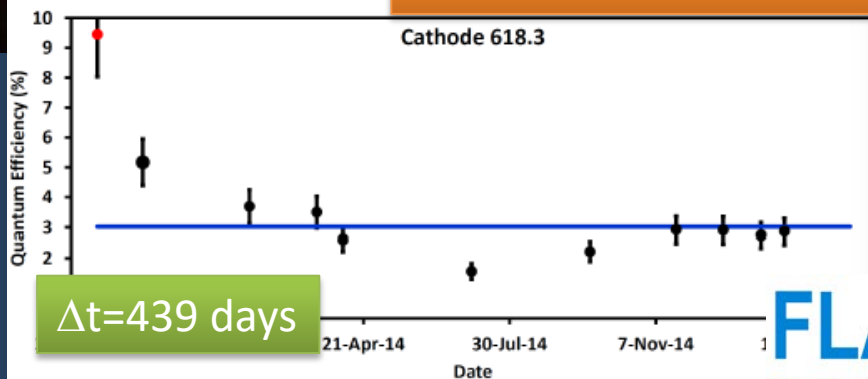
QE old and new diagnostic



Lifetime and extracted charge

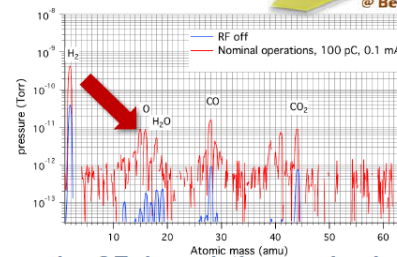
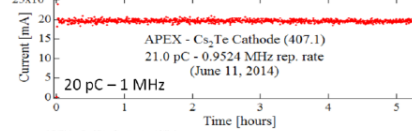
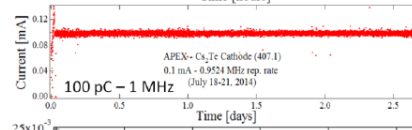
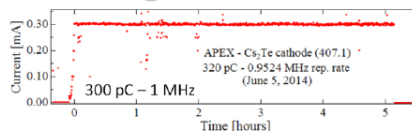
Extracted charge: 3.1 C

Extracted charge: 18 C

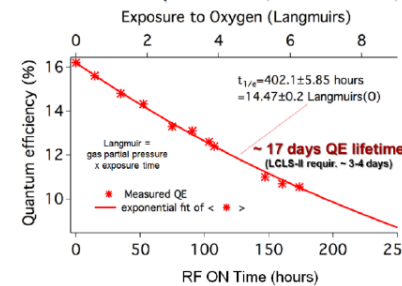


FLASH.
Free-Electron Laser FLASH

Phase 0-I: Cs₂Te Satisfies with Margin LCLS-II Needs



The major QE degradation mechanism for Cs₂Te is oxidation. (A. di Bona, et al. JAP 80,1996).



Cathodes by INFN Milano LASA
No signs of either ion back-bombardment or of laser induced QE depletion after
~ 39 C extracted

In LCLS-II cathodes will be replaced when the QE drops to 0.5%.
Using the results for Cs₂Te, **cathode will last for ~ 2 months.**

European Workshop on Photocathodes for Particle Accelerator Applications

Conclusions

- Surface science techniques essential in understanding the cathode properties
- Once the growth process is stable and reproducible, it might be moved to the production
- Continuous improvements during the cathode production are essential to guarantee reliable photocathode properties.
- Important is the interplay between cathode improvement and gun development

INFN systems around the world



Thank you!

European Workshop on Photocathodes
for Particle Accelerator Applications





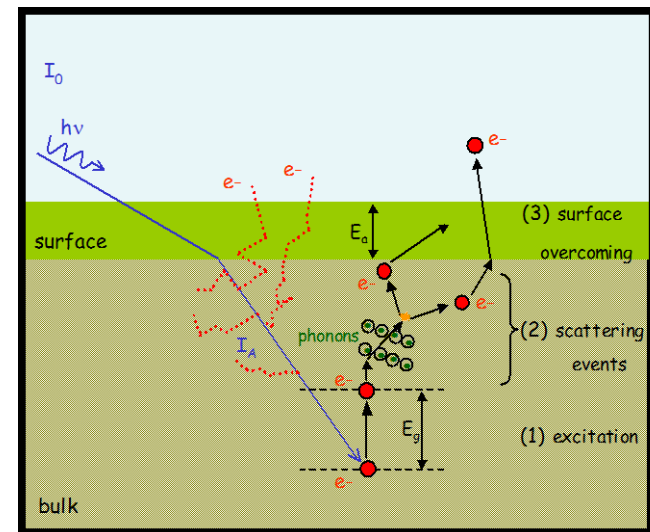
European Workshop on Photocathodes for Particle Accelerator Applications



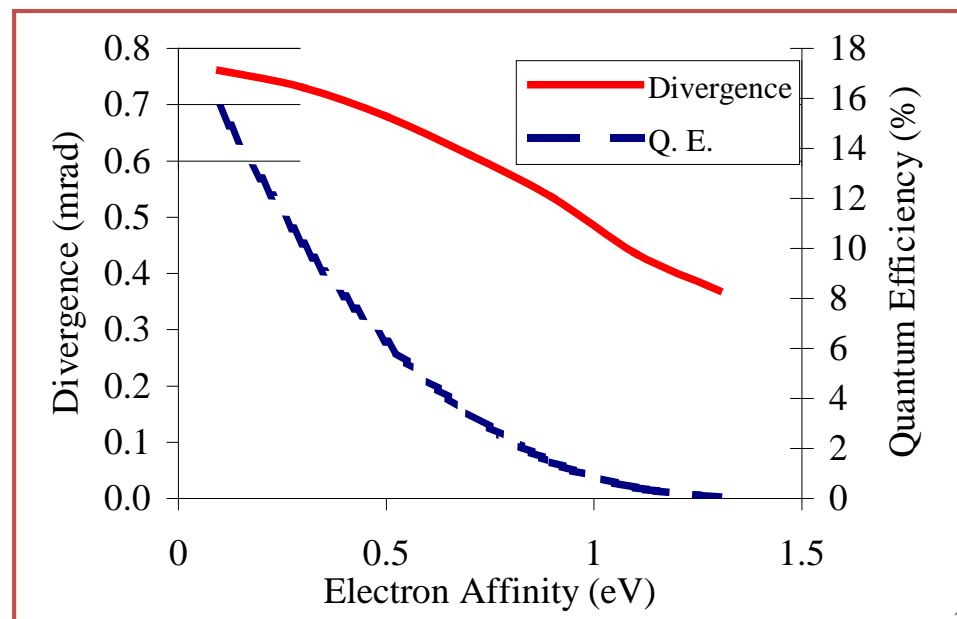
Back Up Slides

The Cs₂Te Photoemission Model

- Given the information on optical properties and energy bands of Cs₂Te, we develop a simple Monte Carlo model of the photoemission from Cs₂Te photocathodes based on Spicer's "Three Steps" model.

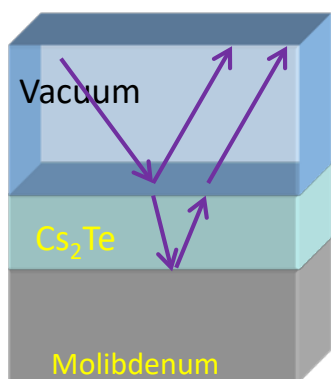


- As an example we correlate QE and electron divergence at the photocathode changing the electron affinity.

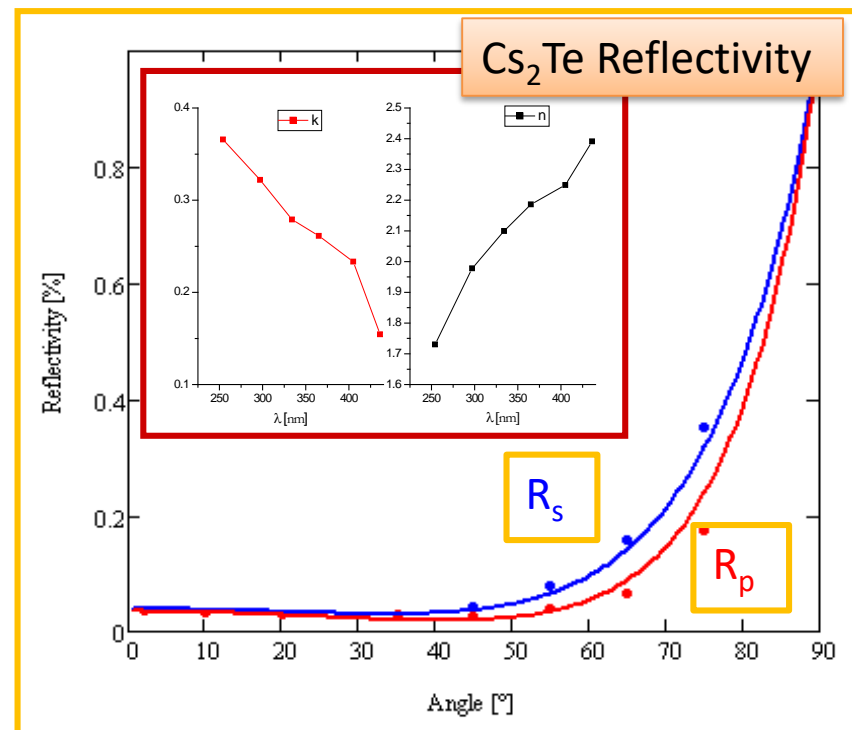
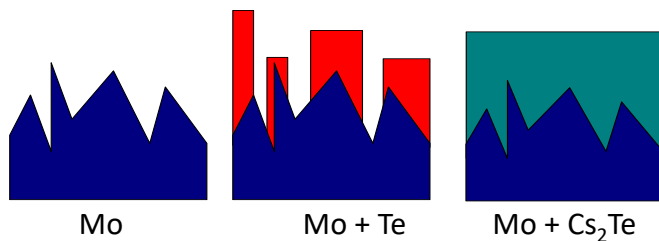


Cs₂Te Optical Properties

- Cs₂Te are thins film deposited on a Mo substrate. There is a key role played by the substrate in the interpretation of the optical data.



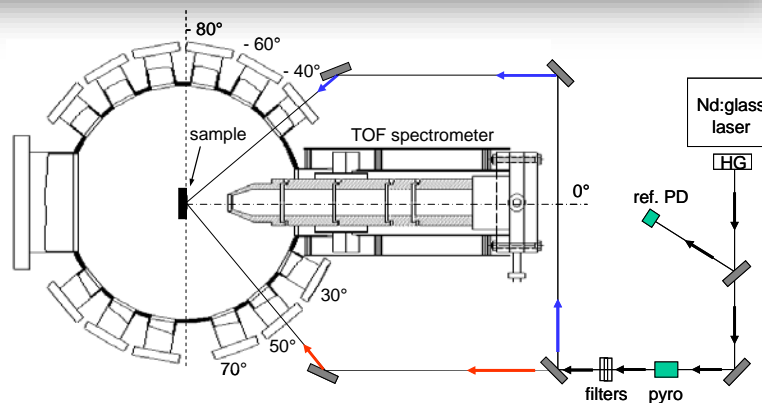
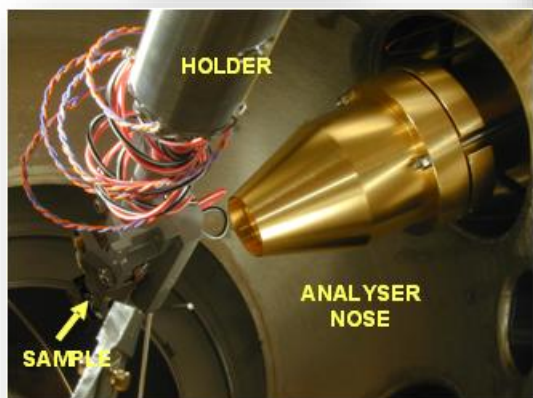
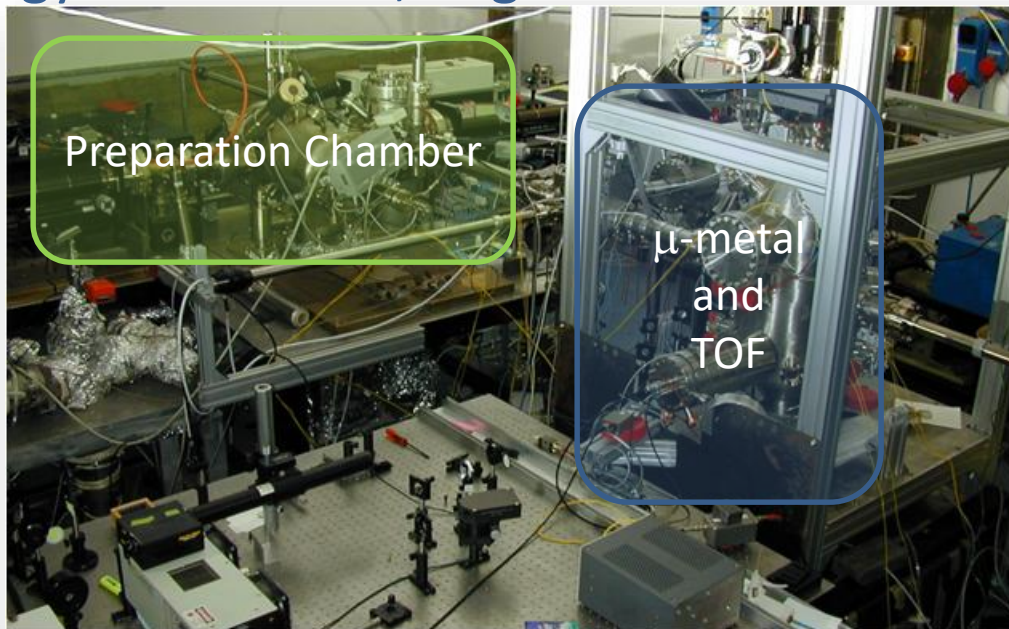
A possible mechanism for Cs₂Te growth



n	k	σ [nm]	thickness [nm]
1.75	0.356	0	38.5

Thermal Emittance

- We developed a Time of Flight detector for measuring the photoelectron energy distribution, angle resolved.



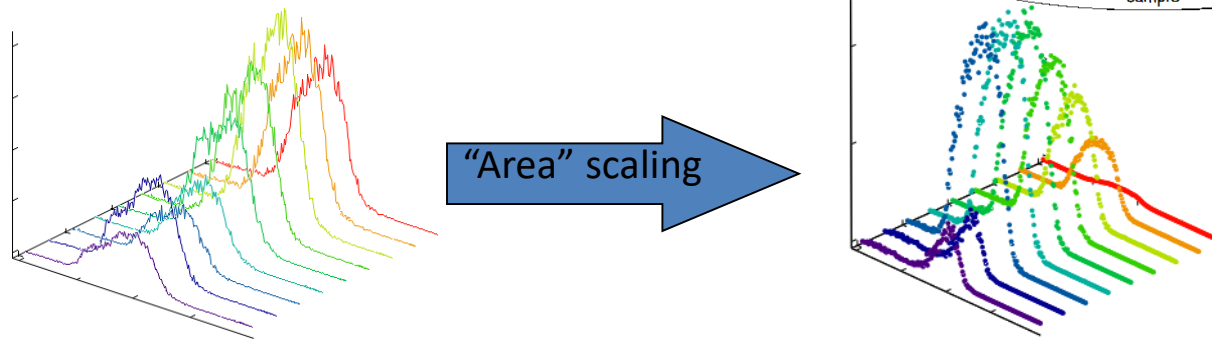
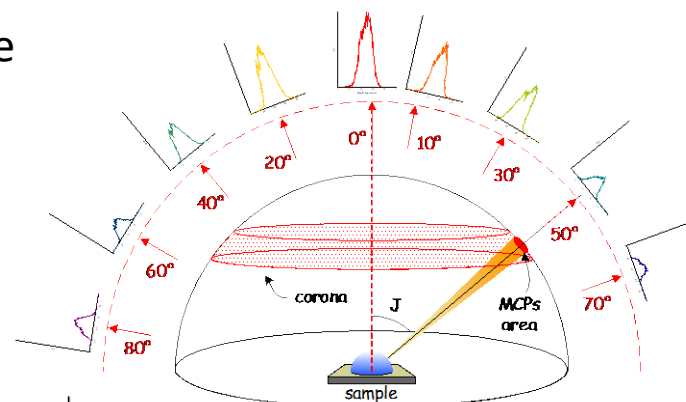
Reconstruction

- We use the following definition for the normalized emittance

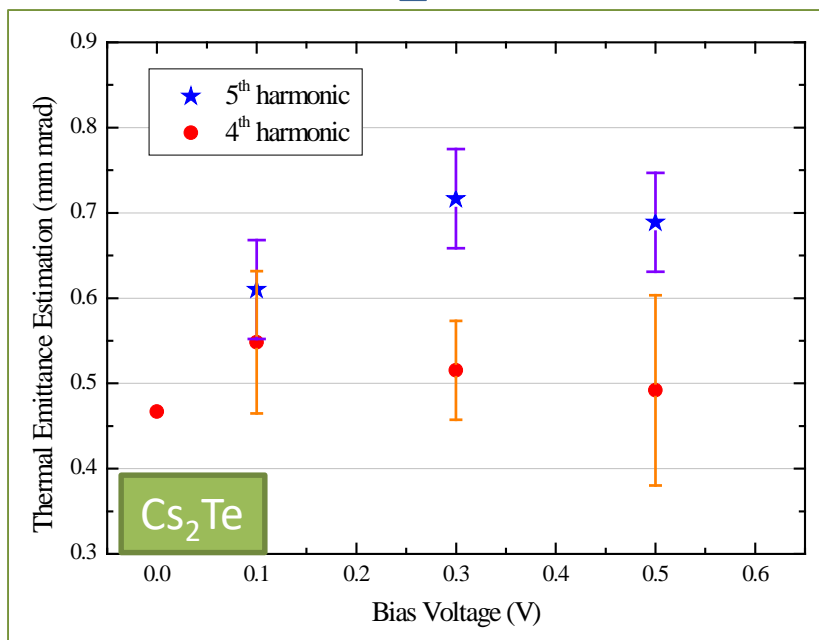
$$\varepsilon_x = \frac{1}{2 \cdot c} \cdot \sqrt{\langle r^2 \rangle} \cdot \left\langle \frac{2 \cdot E_{Kin}}{m_0} \cdot \cos^2(\theta) \right\rangle \quad E_{Kin} = \frac{1}{2} \cdot m_0 \cdot \left(\frac{L_{TOF}}{t} \right)^2$$

where we assume cylindrical symmetry and no correlation position-velocity. The average appearing inside the square root is performed over the distribution in energy and angle of the emitted electrons.

- The energy distribution measured at each angle θ_n at the MCP area is representative of the emission within the solid angle underlying the spherical corona separating two successive angles, and the total number of counts is correspondingly scaled.



Cs₂Te Thermal Emittance



5th harmonic ($\lambda = 211 \text{ nm}$)
 $\epsilon_{th} = 0.7 \pm 0.1 \text{ mm mrad}$
 for 1 mm rms spot radius

4th harmonic ($\lambda = 264 \text{ nm}$)
 $\epsilon_{th} = 0.5 \pm 0.1 \text{ mm mrad}$
 for 1 mm rms spot radius

Assuming, that the thermal emittance scales as the square root of the most probable energy, the ratio between the estimated thermal emittances at the 4th and 5th harmonic varies according to this simple scaling.

$$\sqrt{\frac{E_{MP}^{4^{th}}}{E_{MP}^{5^{th}}}} \cong \frac{\epsilon_{th}^{4^{th}}}{\epsilon_{th}^{5^{th}}}$$

