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# Influence of Silicon Layers on the Growth of ITO and AZO in Silicon Heterojunction Solar Cells

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Abstract—In this article, we report on the properties of indium 6 tin oxide (ITO) deposited on thin-film silicon layers designed for the 7 8 application as carrier selective contacts for silicon heterojunction (SHJ) solar cells. We find that ITO deposited on hydrogenated 9 nanocrystalline silicon (nc-Si:H) layers presents a significant drop 10 on electron mobility  $\mu_{e}$  in comparison to layers deposited on 11 hydrogenated amorphous silicon films (a-Si:H). The nc-Si:H layers 12 are not only found to exhibit a larger crystallinity than a-Si:H, 13 14 but are also characterized by a considerably increased surface rms roughness. As we can see from transmission electron microscopy, 15 16 this promotes the growth of smaller and fractured features in the 17 initial stages of ITO growth. Furthermore, secondary ion mass 18 spectrometry profiles show different penetration depths of hydrogen from the thin film silicon layers into the ITO, which might both 19 influence ITO and device passivation properties. Comparing ITO to 20 aluminum doped zinc oxide (AZO), we find that AZO can actually 21 22 exhibit superior properties on nc-Si:H layers. We assess the impact 23 of the modified ITO  $R_{\rm sh}$  on the series resistance  $R_s$  of SHJ solar cells with >23% efficiency for optimized devices. This behavior 24 25 should be considered when designing solar cells with amorphous or nanocrystalline layers as carrier selective contacts. 26

*Index Terms*—Aluminum doped zinc oxide (AZO), indium tin
 oxide (ITO), secondary ion mass spectrometry (SIMS), series
 resistance, silicon heterojunction (SHJ), transmission electron
 microscopy (TEM), transparent conductive oxide (TCO).

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## I. INTRODUCTION

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S ILICON heterojunction (SHJ) solar cell technology is po-sitioned as a strong candidate for mass production due to 32 33 its high-efficiency potential and the lean manufacturing process 34 consisting of only four main steps [1]. Record single-junction 35 efficiencies for crystalline silicon (c-Si) based lab solar cells of 36 25.1% and 26.7% for two-side and all-back contacted devices, 37 respectively, make this cell concept very attractive [2]-[4]. Re-38 cently, efficiencies above 24% have been reported for full-size 39 SHJ solar cells processed on mass production systems [5], 40 [6]. This solar cell concept achieves high open-circuit voltages 41  $(V_{\rm oc})$  above 740 mV through passivating selective contacts. 42 Typically, a thin (3-5 nm) intrinsic hydrogenated amorphous 43 silicon [(i)a-Si:H] layer is deposited for surface passivation on 44 both sides of the silicon wafer followed by p-doped and n-doped 45 a-Si:H layers that act as carrier selective contacts for holes 46 and electrons, respectively [7]. In recent years, hydrogenated 47 nanocrystalline silicon (nc-Si:H) layers have been implemented 48 as more conductive and transparent selective contact alternatives 49 [8]–[12]. The lateral conductivity of such layers (and the Si 50 bulk) is relatively low and a transparent conductive oxide (TCO) 51 is needed to achieve a sufficiently low resistive lateral carrier 52 transport to the metal-grid fingers. 53

Indium tin oxide (ITO) is a widely used TCO material for 54 SHJ solar cell technology [13]-[16] whereas aluminum doped 55 zinc oxide (AZO) is an interesting substitute due to the high 56 abundance of Zn and the lower costs [17]-[19]. Interestingly, the 57 optoelectrical properties of these TCOs can be strongly affected 58 by the properties of the layers they are deposited on. In our solar 59 cell devices, we systematically observe a higher sheet resistance 60  $(R_{\rm sh})$  for ITO deposited on nc-Si:H layers than on a-Si:H. This 61 is not the case for AZO. 62

Ritzau *et al.* [14] observed a strong increase of the carrier concentration  $(N_e)$  in ITO deposited on p-doped a-Si:H upon thermal annealing whereas no  $N_e$  increase was observed for ITO deposited on bare glass. This gave a strong indication that the ITO can be doped by hydrogen (*H*) of underlying layers. Considering these findings, Haschke *et al.* [20] observed  $R_{\rm sh}$ discrepancies between ITO deposited on glass, on (p)a-Si:H coated glass and on (p)nc-Si coated glass, which they explained with the same phenomenon.

In this article, we analyze electrical, structural, and chemical 72 properties of ITO and AZO deposited on different thin-film 73

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TABLE I THIN-FILM SI LAYERS USED AS SUBSTRATES FOR THE TCOS

Name	Thickness (nm)	Туре	Doping	$\begin{matrix} F_{\rm c}^{\rm Si} \\ (\%) \end{matrix}$	RMS roughness (nm)
aSi <sub>12</sub>	12	a-Si:H	(p) Boron	-	0.9
$aSi_{12}^n$	12	a-Si:H	(n) phosphorous	-	0.6
$a\mathrm{Si}_{100}^{\mathrm{i}}$	100	a-Si:H	(i) intrinsic	0	0.5
$ncSi_{12}^n$	12	nc-Si:H	(n) phosphorous	-	2.3
$ncSi_{12}^p$	12	nc-Si:H	(p) Boron	-	1.9
$\mathrm{ncSi}_{100}^{\mathrm{n}}$	100	nc-Si:H	(n) phosphorous	40	3.5
$ncSi_{100}^{i} \\$	100	nc-Si:H	(i) intrinsic	80	9.8

74 silicon substrates. The aim is to elucidate the reasons behind the previously mentioned variations in TCO conductivity when 75 implemented in devices. We observe that in the case of the ITO 76 the electron mobility  $\mu_e$  is strongly affected by the underlying Si 77 layer. In contrast, the  $N_e$  shows similar behavior independently 78 of substrate type. We find that  $\mu_e$  decreases with increased thin-79 film silicon layer crystalline fraction  $(F_{\rm c}^{\rm Si})$  that promotes a higher 80 root mean square (rms) roughness of the substrate layer. We 81 ascribe this  $\mu_e$  decrease to increased grain boundary scattering 82 83 due to decreased mean grain size (L) and/or increased grain barrier trap density  $(D_t)$ . This behavior is of major relevance for 84 the optimization of ITO for SHJ solar cells. 85

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# II. EXPERIMENTAL

# 87 A. Layer Stacks Preparation and Characterization

For the investigated material stacks, 1.1 mm thick Corning 88 Eagle XG glasses were used as substrates. Intrinsic and doped 89 thin-film silicon layers were deposited by plasma-enhanced 90 chemical vapor deposition (PECVD) in an AKT1600 cluster tool 91 from Applied Materials with a parallel electrode configuration 92 operated at 13.56 MHz. To resemble SHJ solar cell-like grown 93 thin-film silicon layers, a 5 nm (i)a-Si:H was initially deposited 94 on the glass substrates. On top of the (i)a-Si:H layer, thin-film sil-95 icon layers as given in Table I were deposited. A thickness of 12 96 nm was chosen since it is relevant for SHJ solar cell technology 97 and 100 nm thick layers were investigated to compare layers that 98 have a further developed structure. Crystalline fraction  $F_c^{Si}$  of 99 the 100 nm thick layers was derived from Raman spectroscopy 100 as described in [21] with  $F_c^{Si} = I_c/(I_a + mI_c)$ , where  $I_a$  is the 101 Raman peak intensity associated with the amorphous phase,  $I_c$ 102 is the intensity associated with the crystalline phase and *m* is a 103 corrective factor which was set to 1 in this article. 104

The rms roughness of the layers was determined via atomic force microscopy.

TCO layers were deposited in an in-line dc magnetron sput-107 tering system from Leybold Optics (A600V7). ITO layers were 108 sputtered from a 95 wt% In2O3 and 5 wt% SnO2 ceramic tube 109 target and the AZO films were sputtered from a 99 wt% ZnO and 110 1 wt% Al<sub>2</sub>O<sub>3</sub> ceramic tube target. Both TCOs were deposited 111 at an approximate substrate temperature of 140  $\pm$  20 °C with 112 oxygen flow ratios  $r(O_2) = q(O_2)/q(Ar+O_2)$  of 1.6% and 0.2%, 113 114 respectively. The samples were annealed on a hot-plate at a temperature of 210 °C for 15 min in the ambient atmosphere 115 as it is also done for the curing process after silver-grid screenprinting used for our solar cells. A TCO thickness of 110 nm on a flat substrate was chosen since this results in a  $75 \pm 10$  nm layer perpendicular to the pyramid surfaces on a textured wafer, 119 which is required for ideal anti-reflection properties on solar cell devices. 121

The TCO layers electron mobility,  $\mu_e$ , and concentration,  $N_e$ , 122 were determined by Hall measurements with an Ecopia HMS 123 3000 system applying the van der Pauw method. Three samples 124 were prepared for Hall measurements for each variation. The 125 mean values and their average deviation are indicated in the 126 graph. Scanning electron microscopy (SEM) was carried out in 127 a MERLIN system from Zeiss on the layers to study and compare 128 their surface morphology. Furthermore, secondary ion mass 129 spectroscopy (SIMS) measurements were carried out on selected 130 samples. SIMS measurements were performed employing the 131 CAMECA SC Ultra instrument under ultra-high vacuum, usu-132 ally of  $4 \times 10^{-10}$  mbar. Enough depth resolution was obtained 133 for negative ions detection mode by using a low impact energy 134 of 100 eV for a Cs+ primary beam scanned over  $250 \times 250 \,\mu \text{m}^2$ . 135 The extremely low impact energy of primary ions (100 eV) not 136 only ensure a desired depth resolution but almost completely 137 eliminate the mixing effect and thus most signals have very sharp 138 interfaces. Thus, the hydrogen decay curve can be attributed to 139 actual diffusion and not to any potential SIMS-related artifacts 140 [22], [23]. The layer stacks for SIMS measurements were pre-141 pared on 200  $\mu$ m thick polished Czochralski wafers with the 142 same layer stacks as on glass. P-doped wafers were used for 143 (n)nc-Si:H samples and n-doped wafers for (p)a-Si:H samples. 144 This in order to electrically decouple the thin-film layers from 145 the wafer and measure Hall parameters.

Transmission electron microscopy (TEM) was carried out 147 using a Jeol JEM2200FS equipped with a Nanomegas 148 ASTAR system. Samples were prepared using a focused ion 149 beam (FIB). High-resolution and dark/bright-field imaging ob-150 servation in conjunction with scanning nano-beam electron 151 diffraction (NBED) acquisition were employed. The NBED 152 datasets contain  $128 \times 128$  diffraction patterns, acquired at posi-153 tions with a separation of 1.5 nm along both lateral dimensions. 154 The NBED datasets were calibrated using the intense central 155 spot as a reference, and virtual bright- and dark-field images 156 were obtained using a circular detector mask. 157

## B. Solar Cells Preparation and Characterization

Solar cells were prepared using n-type Czochralski (CZ) sili-159 con wafers (c-Si) with 5  $\Omega$ cm resistivity. The as-cut wafers were 160 wet-chemically etched to eliminate the sawing damage. Its sur-161 faces were then textured in KOH to obtain random pyramids with 162 heights in the range of 1–3  $\mu$ m with <111> oriented facets and 163 resulting in 125  $\mu$ m thick wafers. After RCA cleaning and a dip 164 in a 1% diluted hydrofluoric acid solution, intrinsic and doped 165 silicon layers were deposited in the PECVD reactor system as 166 described in Section II-A. An i/p a-Si:H stack was deposited on 167 the rear-side to form the hole contact (rear-junction). At the front 168 side an electron contact was formed by depositing an (i)a-Si:H 169

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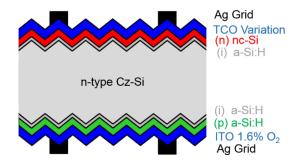


Fig. 1. Standard processed rear-junction SHJ solar cell schematic cross section.

with an (n)nc-Si:H layer on top. The solar cells were prepared by varying the front TCO layers as described in Fig. 4. The back TCO was made with the  $1.6\% O_2$  partial flow ITO with the process parameters as described in Section II-A. The TCO layers were sputtered through aligned shadow masks on both sides of the wafer to define  $144 - \text{cm}^2$  sized cells and three transfer length method (TLM) structures per wafer.

A silver grid with a 1670 and 800  $\mu$ m finger-pitch was screenprinted at the front and back of the solar cells, respectively. The grid was cured at 210 °C for 15 min on a hot-plate under atmospheric conditions. Fig. 1 shows the schematic cross section of the described rear-junction SHJ solar cell.

The solar cells were characterized using current density-182 voltage (J-V) measurements in the dark and under an AM1.5G 183 spectrum at standard test conditions in a Wacom WXS-155S-L2 184 dual source class AAA+ sun simulator. Resistance measure-185 ments were made on the TLM structures to calculate the TCO 186 sheet resistance  $(R_{\rm sh})$  on devices. To derive the TCO  $R_{\rm sh}$  from 187 an (n) wafer/(i)a-Si:H/(n)nc-Si:H stack from TLM structures we 188 used a parallel resistance model as proposed by Bivour et al. [5]. 189 According to this model  $R_{\rm sh, TCO}$  can be determined from 190

$$R_{\rm shTCO} = 1 \left/ \left( \frac{1}{R_{\rm shTLM}} - \frac{1}{R_{\rm shWafer}} \right)$$
(1)

with  $R_{\rm shTCO}$  being the TCO  $R_{\rm sh}$ ,  $R_{\rm sh}$  TLM the  $R_{\rm sh}$  measured via 191 TLM structures, and  $R_{\rm shWafer}$  the  $R_{\rm sh}$  of the wafer. The latter 192 was obtained from quasi-steady state photo conductance decay 193 measurements for each sample. The average wafer  $R_{\rm sh}$  was 194  $380 \pm 10 \Omega$ . The effect of contact resistivity is neglected and we 195 assume the value is low enough and permits current flow into 196 the wafer.  $R_s$  values of the solar cells were determined from the 197 dark to light J-V curve comparison according to [24]. 198

## III. RESULTS AND DISCUSSION

## 200 A. Thin-Film Silicon Layer Properties

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In Table I, the different thin film silicon layers investigated are presented. The layer names indicate the layer type (a-Si or nc-Si), the doping type (i, p, or n) as superscript and the layer thickness (12 or 100 nm) as subscript. The properties presented in Table I show that the  $F_c^{Si}$  of the layers increases from 0% for an amorphous layer,  $aSi_{100}^i$ , to 80% for the layer with highest  $F_c^{Si}$ , i.e.,  $ncSi_{100}^i$ . For the standard (n)nc-Si:H layer used in the

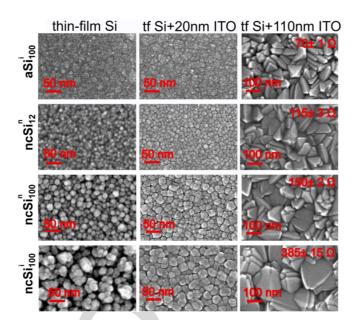


Fig. 2. SEM micrographs of thin-film silicon layers on glass (first column), with 20 nm ITO (second column) and with 110 nm ITO (third column) layers on top. The thin-film silicon layer substrate type is indicated at the left of the first column, detailed properties of the layers can be found in Table I. Length scales are indicated for each image. Sheet resistance values measured on the 110 nm ITO layers are indicated in the third column.

solar cells,  $ncSi_{12}^n$ , no Raman spectrum could be measured since 208 it is device relevantly thin at 12 nm. However, it is well known 209 from the literature that the  $F_c^{Si}$  of the nc-Si:H layers will lower 210 with decreased film thickness [8], [9], [19], [20]. Fioretti et al. 211 recently reported that the PECVD deposited p-doped nc-Si:H 212 layers, at the cell level, can increase its crystallinity when 213 reducing the deposition temperature, from 200 to 125 °C [12]. 214 However, this trend was not followed in the case of the n-doped 215 nc-Si:H layers that are the main focus of our article. Hence, we 216 can assume that  $ncSi_{12}^n$  and  $ncSi_{12}^p$  layers have an  $F_c^{Si}$  between 217 0% and 40%. 218

We see a correlation of the  $F_c^{Si}$  and the rms roughness. The 219 rms roughness steadily increases with layer  $F_c^{Si}$  from 0.5 nm 220 for  $aSi_{100}^i$  to 9.8 nm for ncSi\_{100}^i. Furthermore, SEM micrographs 221 shown in the first column of Fig. 2 reveal that the  $F_c^{Si}$  and the 222 rms roughness correlate with the mean grain size of the films as 223 well. It is worth mentioning that the surface topographies did not 224 show any noticeable change between as deposited and annealed 225 state. 226

The SEM micrographs in the second column of Fig. 2 show 227 corresponding substrates with a 20 nm ITO layer deposited on 228 top. These images clearly show that at its initial growth stage 229 the ITO adopts a similar morphology as the underlying silicon 230 layers. However, when analyzing the surface morphology of the 231 110 nm ITO layers seen in column 3 of Fig. 2, we see that 232 the ITO layers reconfigure their structure and acquire a sharp 233 polycrystalline grain shape, which is a typical morphology for 234 ITO sputtered under conditions as described in Section II-A [25]. 235 The  $R_{\rm sh}$  values observed in Fig. 2 for the 110 nm ITO layers show 236 the discrepancies of the conductivity of these layers depending 237

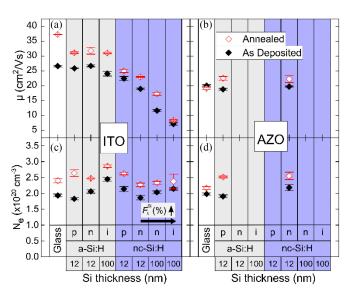


Fig. 3. Hall electron mobility  $\mu_e$  of  $105 \pm 10$  nm thick (a) ITO and (b) AZO layers deposited on bare and coated glass with varied thin-film silicon layers and Hall carrier concentration  $N_e$  of same (c) ITO and (d) AZO layers. Doping and layer type are indicated on top of the thin film silicon thicknesses. The arrow indicates the increase of crystallinity fraction  $F_c^{Si}$  for the corresponding thin film silicon layers.

on the underlying substrate. ITO on  $aSi_{100}^i$  exhibits  $R_{\rm sh} = 70 \pm 1 \Omega$  whereas ITO on  $ncSi_{100}^i$  has  $R_{\rm sh} = 385 \pm 15 \Omega$ .

240 On the thick nc-Si  $ncSi_{100}^{i}$  layer, the ITO presents clearly 241 larger crystals as compared to the thick amorphous as well as 242 both 12-nm thin nc-Si under layers. Differences between TCO 243 layers on thin  $aSi_{12}^{p}$  and  $ncSi_{12}^{n}$  layers are further investigated 244 with TEM microscopy in Section III-C.

# 245 B. TCO Electrical Properties

The analyzed TCO layers were designed for the application on SHJ solar cells. Therefore, the process parameters were optimized for the highest  $\mu_e$  possible at an  $N_e$  that has low free carrier absorption typically around a value of  $2 \times 10^{20}$  cm<sup>-3</sup> [26].

For both materials, ITO and AZO, the concentration of carriers is determined by the level of extrinsic doping and intrinsic acceptors as oxygen interstitials or metal vacancies. The formation of the acceptor type defects is strongly promoted by the addition of oxygen to the growth process [27]. Hence, it is possible to control the optoelectric properties through adjustment of the oxygen partial pressure during deposition [28], [29].

In this article, the oxygen flow rate was chosen to lead to 258 the desired  $N_e$  of 2 imes 10<sup>20</sup> cm<sup>-3</sup> on glass substrates and the 259 deposition conditions were maintained for all studied samples. 260 As a result, the  $N_e$  for films grown on the various substrates 261 under investigation are very similar. From Fig. 3(c) and (d), we 262 can see that  $N_e$  remains in a range of  $2.1 \pm 0.3 \times 10^{20}$  cm<sup>-3</sup> 263 for layers in as-deposited state and increases to 2.4  $\pm$  0.3  $\times$ 264  $10^{20}$  cm<sup>-3</sup> after annealing for both ITO and AZO. 265

As we see from Fig. 3(a), ITO grown on layer  $ncSi_{12}^n$  has a lower  $\mu_e$  in comparison to the one on  $aSi_{12}^p$ . In contrast, in Fig. 3(b), the AZO shows the same  $\mu_e$  on  $aSi_{12}^p$  and  $ncSi_{12}^n$ .

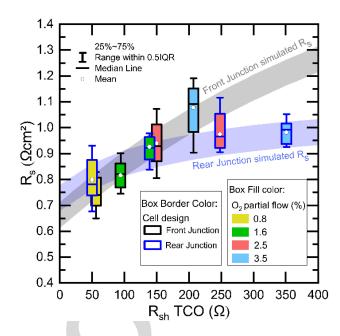


Fig. 4. Rear- and front-junction SHJ solar cells series resistance  $R_s$  versus ITO sheet resistance  $R_{\rm sh}$ . The front contact ITO  $R_{\rm sh}$  was varied through the O<sub>2</sub> process partial flow. The back contact is identical for all cells. Simulated  $R_{\rm s}$  versus  $R_{\rm sh}$  TCO curves for rear- and front-junction devices after [30] are included. The simulation curves include an error of  $\pm 0.05 \ \Omega {\rm cm}^2$ . The boxplots show values of 28 cells in two wafers per box.

As a result,  $\mu_e$  is around 22 cm<sup>2</sup>/Vs for both ITO and AZO when deposited on ncSi<sup>n</sup><sub>12</sub>. The ITO presents an even lower  $\mu_e$  270 of 8.4 ± 0.2 cm<sup>2</sup>/Vs when grown on the ncSi<sup>i</sup><sub>100</sub> layer. This 271 behavior shows a clear correlation of a decreased  $\mu_e$  of the ITO 272 with higher  $F_c^{Si}$ , rms surface roughness and grain size of the 273 previously described silicon underlying layers. 274

To investigate whether the  $\mu_e$  differences are related to the 275 doping type of the thin silicon films, we compare ITO layers 276 deposited on intrinsic ( $aSi_{100}^i$ ), phosphorous doped ( $aSi_{12}^n$ ), and 277 boron-doped  $(aSi_{12}^p)$  a-Si:H with its counterparts on doped nc-278 Si:H layers ( $ncSi_{100}^{i}$ ,  $ncSi_{12}^{p}$ ,  $ncSi_{12}^{n}$ , respectively. From Fig. 3(a), 279 we confirm that the ITO presents in all cases lower  $\mu_e$  when 280 deposited on nc-Si:H than on a-Si:H independently of the type 281 of doping. 282

The same behavior was observed in finished solar cells, 283 namely a conductivity decrease of ITO layers grown on nc-Si as 284 compared to a-Si contact layers. To demonstrate this, in Fig. 4, 285 we show the result of different front ITO  $R_{\rm sh}$  by oxygen-flow 286 variation (the back TCO contact is the same for all cells) and 287 its impact on device series resistance  $R_s$  for front-junction and 288 rear-junction solar cells. The results show that the front ITO 289 deposited with the same process conditions on (n)nc-Si:H (rear-290 junction cells) generally presents higher  $R_{\rm sh}$  than its counterparts 291 deposited on (p)a-Si:H layers (front-junction cells). The ITO  $R_{\rm sh}$ 292 differences have a direct impact on the solar cells  $R_s$  and hence 293 on its Fill-Factor (FF) depending on the solar cell design [30]. 294 After optimization, solar cells with ITO ( $J_{sc} = 39.0 \text{ mA/cm}^2$ ; 295  $V_{\rm oc} = 742$  mV; FF = 81.1%) and AZO ( $J_{\rm sc} = 39.1$  mA/cm<sup>2</sup>; 296  $V_{\rm oc} = 741$  mV; FF = 81.2%) front electrodes both lead to the 297 same certified (ISFH CalTeC) efficiency of 23.5%. Interestingly, 298

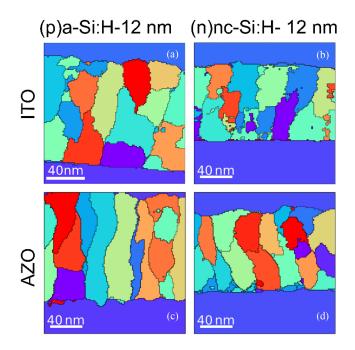


Fig. 5. Scanning NBED acquisitions of  $105 \pm 10$  nm ITO (a), (b) and AZO (c), (d) on (p)a-Si:H and (n)nc-Si:H coated c-Si wafers, obtained from TEM imaging observations. The samples are prepared on 200- $\mu$ m-thick Czochralski c-Si wafers positioned at the bottom in the images. The images show only crystals from the TCO materials.

the ITO  $R_{\rm sh}$  discrepancy increases for the higher  $O_2$  partial flow ITO. This effect was also observed by Haschke *et al.* [20] for ITO deposited on (p)a-Si:H and on (p)nc-Si:H coated glass. The analysis in this article is based on flat substrates. Nonetheless, the influence of textured substrates on the studied effect is worth of further investigation.

## 305 C. Structural Properties

Fig. 5 shows the microstructure of the ITO and AZO obtained 306 by a cluster analysis of the scanning NBED data that was 307 acquired in the TEM measurements. Fig. 5(a) and (b) shows 308 the polycrystalline ITO layers deposited on  $aSi_{12}^p$  and  $ncSi_{12}^n$ 309 layers, respectively. It is noticeable that the ITO layer on  $ncSi_{12}^n$ 310 shows smaller and more fractured domains in its initial growth 311 stages. After this initial growing stage, the layer reconfigures its 312 microstructure and resembles the shapes of the ITO grains on 313  $aSi_{12}^p$ . This is consistent with the top view SEM micrographs of 314 the 110-nm ITO layers shown in Fig. 2. We can observe that the 315 average grain size of the layer on  $ncSi_{12}^n$  is smaller than that on 316  $aSi_{12}^p$ . Furthermore, the grain boundaries for the ITO on  $ncSi_{12}^n$ 317 are more irregular. 318

Q3 319 On the other hand, the AZO is less affected by the underlying 320 layer as seen from Fig. 5(c) and (d). The typical columnar growth 321 for this material [31] can be seen in the images. That correlates 322 well with the unchanged  $\mu_e$  of the AZO.

In Section III-E, the behavior of  $\mu_e$  is discussed in detail considering the findings from the different characterization methods.

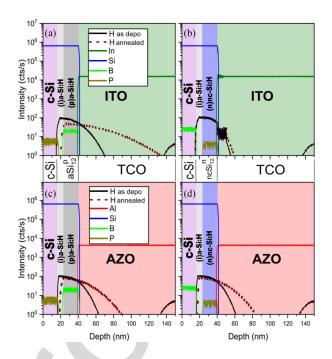


Fig. 6. SIMS measurements of ITO on (a) (i)a-Si:H / (p)a-Si:H ( $aSi_{12}^P$ ) layer and (b) (i)a-Si:H / (n)nc-Si:H ( $ncSi_{12}^n$ ) layer. As well as AZO on (c) (i)a-Si:H/ (p)a-Si:H ( $aSi_{12}^P$ ) layer and (d) (i)a-Si:H / (n)nc-Si:H ( $ncSi_{12}^n$ ) layer. Indium (In), Aluminum (Al), Silicon (Si), Boron (B), and Phosphorous (P) signals on as deposited and annealed state overlap almost identically within noise error. Hydrogen (H) straight lines are for as deposited state and dashed lines for annealed state.

# D. Role of Hydrogen Diffusion

From previous studies, it is known that hydrogen diffusion into TCO materials can play a significant role in their electrical properties [14], [32], [33]. To understand the role of diffused hydrogen in the TCO materials SIMS measurements were carried out. 331

Fig. 6 shows SIMS measurements of ITO and AZO grown on332 $aSi_{12}^p$  and  $ncSi_{12}^n$  layers in as deposited state and after annealing.333The annealing process has a noticeable effect on the *H* diffusion.334All other elements remain almost unchanged.335

Interestingly, we observe a clear difference in H penetration 336 depth for ITO depending on the underlying Si layer. For ITO 337 grown on a  $ncSi_{12}^n$  type layer, a H signal is detected until a 338 depth of approx. 55 nm, whereas the layer deposited on the  $aSi_{12}^p$ 339 type layer presents a penetration depth of 70 nm in as-deposited 340 state as we can see from Fig. 6(a) and (b). After annealing, 341 the H further diffuses into the layer and increases its depth to 342 up to 130 nm for  $aSi_{12}^p$  but only to 60 nm for  $ncSi_{12}^n$ . On the 343 other hand, the AZO shows very similar H penetration depths 344 independently of the layer on which it is deposited. The depths 345 are of 61 and 62 nm in as deposited state and these increase to 346 82 and 87 nm after the annealing step for the  $ncSi_{12}^n$  and  $aSi_{12}^p$ 347 substrates, respectively. Another effect worth mentioning is that 348 samples with  $aSi_{12}^p$  layers exhibit a stronger *H* depletion from 349 the (i)a-Si:H/c-Si interface as seen in the SIMS profiles after 350 annealing. Ritzau et al. [14] already stressed out, that a boron 351 (p) doped a-Si:H layer allows more effusion of hydrogen through 352

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decrease of its activation energy in comparison to a phosphorous (n) doped layer. This effect promotes the extraction of hydrogen from the (i)a-Si:H layer and might be a reason for degradation of the passivating effect of dangling bonds at the (i)a-Si:H/c-Si interface [34]. Interestingly the ITO layer grown on  $ncSi_{12}^n$  that obstructs the diffusion into the TCO clearly maintains the highest amount of hydrogen at the c-Si/(n)nc-Si:H interface, which

360 might be beneficial for passivation purposes.

# 361 E. Discussion: Electron Mobility Behavior

In order to understand the differences in  $\mu_e$  for the ITO layers grown on different substrates it is important to consider the various scattering processes limiting the  $\mu_e$  in TCO layers. In the past years, authors have made considerable efforts to explain the  $\mu_e$  limitation in both AZO and ITO [35], [36].

367 The maximum  $\mu_e$  achievable for a given carrier concentration is only limited by phonon scattering and ionized impurity scat-368 tering. For ITO with a carrier concentration of  $2\,\times\,10^{20}~\text{cm}^{-3}$ 369 carriers, Preissler *et al.* calculated an  $\mu_e$  of approx. 70 cm<sup>2</sup>/Vs, 370 which is by far higher than our values. Neglecting the unknown 371 influence of possible neutral impurity scattering and dislocation 372 scattering, the main reasons for this deviation can be compen-373 374 sation [35] and grain boundary scattering [37], [38].

Compensation not only influences  $\mu_e$ , but also determines the 375  $N_e$  in the films. Seeing that our films exhibit very similar carrier 376 concentrations regardless of the substrate, we conclude that the 377 compensation is mainly determined by the growth conditions 378 379 rather than the substrate and its influence on the structure of the TCO layers. Hence, we can assume that compensation is similar 380 for all samples and only minor changes might occur during 381 annealing. This context leaves the grain boundary scattering as 382 383 the main possible mechanism influencing the variation of  $\mu_e$ . Equation (2) shows the expression for effective mobility  $\mu_{\text{eff}}$ 384 385 developed by Seto [39]

$$\mu_{\rm eff} = \frac{eL}{\sqrt{2\pi m^* kT}} \exp\left(-\frac{E_b}{kT}\right) \tag{2}$$

386 where L is the grain size, m\* is the electron effective mass,  $E_b$  is the energetic barrier height at the grain boundary, T the 387 sample temperature, and e and k are the elementary charge and 388 the Boltzmann constant, respectively. From (2), we see that the 389 grain size affects the material  $\mu_{\text{eff}}$  linearly. Hence, the smaller 390 fractured grain features seen on the ITO deposited on  $ncSi_{12}^n$  in 391 comparison to  $aSi_{12}^p$  layers can partially explain the diminished 392  $\mu_e$  effect (see Fig. 5). A further effect to be considered is the 393 influence of the energetic barrier  $E_b$ . Depending on the grain 394 size L, the carrier concentration  $N_e$  and the trap density at grain 395 boundaries  $D_t$ , two expressions for the barrier height  $E_b$  are 396 applicable [37] 397

$$\mathbf{E}_{b} = \frac{\mathbf{e}^{2} \mathbf{D}_{t}^{2}}{8\varepsilon\varepsilon_{0} \mathbf{N}_{e}} \qquad \text{for } \mathbf{L}\mathbf{N}_{e} > \mathbf{D}_{t}$$
(2a)

$$\mathbf{E}_b = \frac{\mathbf{e}^2 \mathbf{L}^2 \mathbf{N}_e}{8\varepsilon\varepsilon_0} \qquad \text{for } \mathbf{L}\mathbf{N}_e < \mathbf{D}_t \tag{2b}$$

398 where  $\varepsilon \varepsilon_0$  is the static dielectric constant.

Typical  $D_t$  values for the TCO layers investigated here lie between  $1 \times 10^{12}$  and  $3 \times 10^{13}$  cm<sup>-2</sup> [14], [37]. Thus, even for a very small mean L value of 5 nm, we have  $LN_e > D_t$ . Hence, 401  $D_t$  is dominating the  $E_b$  height according to (2a). 402

Under this circumstances, an increased  $D_t$  at grain boundaries can be a further reason for decreased  $\mu_e$  of the ITO layers on nc-Si:H. 403

From the measured SIMS profiles shown in Fig. 6, we can 406 conclude that in none of the studied cases boron or phosphorous 407 diffuses into the TCO layers. Hence, the diffusion of these 408 elements is not a source of additional scattering impurities and 409 the effect of lowered  $\mu_e$  is independent of these dopants as it was 410 already concluded from Fig. 3. A further effect that can have 411 an impact on the  $\mu_e$  of the layers is the diffusion of hydrogen 412 into the TCOs coming from the hydrogenated thin-film silicon 413 layers. We see from the SIMS profiles in Fig. 6 that the ITO 414 receives less hydrogen from a  $ncSi_{12}^n$  layer. In contrast, the 415 hydrogen penetrates deeper into the ITO layer grown on  $aSi_{12}^p$ . 416 We observe, however, that both the ITO layers on  $aSi_{12}^p$  and 417  $ncSi_{12}^n$  show an increase of  $\mu_e$  upon annealing, so the potential 418 influence of hydrogen diffusion remains unclear. In the case 419 of the AZO, however, the layers present very similar hydrogen 420 profiles, which are consistent with the almost equal  $\mu_e$  that both 421 layers show. 422

A clarification of the phenomena would require determination of the potential barriers from temperature-dependent Hall measurements, which are outside the scope of this article.

IV. CONCLUSION

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ITO deposited on nc-Si:H presents lower  $\mu_e$  in comparison to 427 same layers deposited on a-Si:H. This is related to an increased 428 RMS roughness of the nc-Si:H correlating with thin-film silicon 429 crystalline fraction and increased grain size. We find from TEM 430 measurements that a surface with increased RMS roughness pro-431 motes the growth of smaller fractured grain features of the ITO 432 at initial growth stages. In this context, possible explanations for 433 the decreased  $\mu_e$  are the decreased ITO mean grain size or an 434 increase of the trap density at the materials' grain boundaries. 435 Furthermore, we observe a lower hydrogen penetration depth 436 for ITO layers grown on nc-Si than on a-Si as observed in SIMS 437 profiles. 438

On the contrary, the AZO presents almost identical  $\mu_e$  when deposited on nc-Si:H and a-Si:H which is consistent with the similarity in structural properties observed from TEM and diffusion profiles measured with SIMS.

ITO grown on an n-doped nc-Si:H layer clearly shows lesser out-diffusion of hydrogen from the c-Si/(i)a-Si:H interface as compared to the ITO grown on p-doped a-Si:H. The impact of this behavior on device passivation properties is relevant for further investigation. 447

The previously described phenomena should be considered 448 during the development of carrier selective contacts for SHJ 449 solar cells. 450

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# Influence of Silicon Layers on the Growth of ITO and AZO in Silicon Heterojunction Solar Cells

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Abstract-In this article, we report on the properties of indium 6 tin oxide (ITO) deposited on thin-film silicon layers designed for the 7 8 application as carrier selective contacts for silicon heterojunction (SHJ) solar cells. We find that ITO deposited on hydrogenated 9 nanocrystalline silicon (nc-Si:H) layers presents a significant drop 10 on electron mobility  $\mu_{e}$  in comparison to layers deposited on 11 hydrogenated amorphous silicon films (a-Si:H). The nc-Si:H layers 12 are not only found to exhibit a larger crystallinity than a-Si:H, 13 14 but are also characterized by a considerably increased surface rms roughness. As we can see from transmission electron microscopy, 15 16 this promotes the growth of smaller and fractured features in the 17 initial stages of ITO growth. Furthermore, secondary ion mass 18 spectrometry profiles show different penetration depths of hydrogen from the thin film silicon layers into the ITO, which might both 19 influence ITO and device passivation properties. Comparing ITO to 20 aluminum doped zinc oxide (AZO), we find that AZO can actually 21 22 exhibit superior properties on nc-Si:H layers. We assess the impact 23 of the modified ITO  $R_{\rm sh}$  on the series resistance  $R_s$  of SHJ solar cells with >23% efficiency for optimized devices. This behavior 24 25 should be considered when designing solar cells with amorphous or nanocrystalline layers as carrier selective contacts. 26

*Index Terms*—Aluminum doped zinc oxide (AZO), indium tin
 oxide (ITO), secondary ion mass spectrometry (SIMS), series
 resistance, silicon heterojunction (SHJ), transmission electron
 microscopy (TEM), transparent conductive oxide (TCO).

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# I. INTRODUCTION

S ILICON heterojunction (SHJ) solar cell technology is po-sitioned as a strong candidate for mass production due to 32 33 its high-efficiency potential and the lean manufacturing process 34 consisting of only four main steps [1]. Record single-junction 35 efficiencies for crystalline silicon (c-Si) based lab solar cells of 36 25.1% and 26.7% for two-side and all-back contacted devices, 37 respectively, make this cell concept very attractive [2]-[4]. Re-38 cently, efficiencies above 24% have been reported for full-size 39 SHJ solar cells processed on mass production systems [5], 40 [6]. This solar cell concept achieves high open-circuit voltages 41  $(V_{\rm oc})$  above 740 mV through passivating selective contacts. 42 Typically, a thin (3-5 nm) intrinsic hydrogenated amorphous 43 silicon [(i)a-Si:H] layer is deposited for surface passivation on 44 both sides of the silicon wafer followed by p-doped and n-doped 45 a-Si:H layers that act as carrier selective contacts for holes 46 and electrons, respectively [7]. In recent years, hydrogenated 47 nanocrystalline silicon (nc-Si:H) layers have been implemented 48 as more conductive and transparent selective contact alternatives 49 [8]-[12]. The lateral conductivity of such layers (and the Si 50 bulk) is relatively low and a transparent conductive oxide (TCO) 51 is needed to achieve a sufficiently low resistive lateral carrier 52 transport to the metal-grid fingers. 53

Indium tin oxide (ITO) is a widely used TCO material for 54 SHJ solar cell technology [13]-[16] whereas aluminum doped 55 zinc oxide (AZO) is an interesting substitute due to the high 56 abundance of Zn and the lower costs [17]-[19]. Interestingly, the 57 optoelectrical properties of these TCOs can be strongly affected 58 by the properties of the layers they are deposited on. In our solar 59 cell devices, we systematically observe a higher sheet resistance 60  $(R_{\rm sh})$  for ITO deposited on nc-Si:H layers than on a-Si:H. This 61 is not the case for AZO. 62

Ritzau *et al.* [14] observed a strong increase of the carrier concentration  $(N_e)$  in ITO deposited on p-doped a-Si:H upon thermal annealing whereas no  $N_e$  increase was observed for ITO deposited on bare glass. This gave a strong indication that the ITO can be doped by hydrogen (*H*) of underlying layers. Considering these findings, Haschke *et al.* [20] observed  $R_{\rm sh}$ discrepancies between ITO deposited on glass, on (p)a-Si:H coated glass and on (p)nc-Si coated glass, which they explained with the same phenomenon.

In this article, we analyze electrical, structural, and chemical 72 properties of ITO and AZO deposited on different thin-film 73

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TABLE I THIN-FILM SI LAYERS USED AS SUBSTRATES FOR THE TCOS

Name	Thickness (nm)	Туре	Doping	F <sup>Si</sup> (%)	RMS roughness (nm)
aSi <sup>p</sup> <sub>12</sub>	12	a-Si:H	(p) Boron	-	0.9
$aSi_{12}^n$	12	a-Si:H	(n) phosphorous	-	0.6
$aSi_{100}^{i}$	100	a-Si:H	(i) intrinsic	0	0.5
$ncSi_{12}^n$	12	nc-Si:H	(n) phosphorous	-	2.3
$\mathrm{ncSi}_{12}^{\mathrm{p}}$	12	nc-Si:H	(p) Boron	-	1.9
$\mathrm{ncSi}_{100}^{\mathrm{n}}$	100	nc-Si:H	(n) phosphorous	40	3.5
$\mathrm{ncSi}_{100}^{\mathrm{i}}$	100	nc-Si:H	(i) intrinsic	80	9.8

74 silicon substrates. The aim is to elucidate the reasons behind the previously mentioned variations in TCO conductivity when 75 implemented in devices. We observe that in the case of the ITO 76 the electron mobility  $\mu_e$  is strongly affected by the underlying Si 77 layer. In contrast, the  $N_e$  shows similar behavior independently 78 of substrate type. We find that  $\mu_e$  decreases with increased thin-79 film silicon layer crystalline fraction  $(F_c^{Si})$  that promotes a higher 80 root mean square (rms) roughness of the substrate layer. We 81 ascribe this  $\mu_e$  decrease to increased grain boundary scattering 82 83 due to decreased mean grain size (L) and/or increased grain barrier trap density  $(D_t)$ . This behavior is of major relevance for 84 the optimization of ITO for SHJ solar cells. 85

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### II. EXPERIMENTAL

## 87 A. Layer Stacks Preparation and Characterization

88 For the investigated material stacks, 1.1 mm thick Corning Eagle XG glasses were used as substrates. Intrinsic and doped 89 thin-film silicon layers were deposited by plasma-enhanced 90 chemical vapor deposition (PECVD) in an AKT1600 cluster tool 91 from Applied Materials with a parallel electrode configuration 92 operated at 13.56 MHz. To resemble SHJ solar cell-like grown 93 thin-film silicon layers, a 5 nm (i)a-Si:H was initially deposited 94 on the glass substrates. On top of the (i)a-Si:H layer, thin-film sil-95 icon layers as given in Table I were deposited. A thickness of 12 96 nm was chosen since it is relevant for SHJ solar cell technology 97 and 100 nm thick layers were investigated to compare layers that 98 have a further developed structure. Crystalline fraction  $F_c^{Si}$  of 99 the 100 nm thick layers was derived from Raman spectroscopy 100 as described in [21] with  $F_c^{Si} = I_c/(I_a + mI_c)$  , where  $I_a$  is the 101 Raman peak intensity associated with the amorphous phase,  $I_c$ 102 is the intensity associated with the crystalline phase and *m* is a 103 corrective factor which was set to 1 in this article. 104

The rms roughness of the layers was determined via atomic force microscopy.

107 TCO layers were deposited in an in-line dc magnetron sputtering system from Leybold Optics (A600V7). ITO layers were 108 sputtered from a 95 wt% In2O3 and 5 wt% SnO2 ceramic tube 109 target and the AZO films were sputtered from a 99 wt% ZnO and 110 1 wt% Al<sub>2</sub>O<sub>3</sub> ceramic tube target. Both TCOs were deposited 111 at an approximate substrate temperature of 140  $\pm$  20 °C with 112 oxygen flow ratios  $r(O_2) = q(O_2)/q(Ar+O_2)$  of 1.6% and 0.2%, 113 114 respectively. The samples were annealed on a hot-plate at a temperature of 210 °C for 15 min in the ambient atmosphere 115 as it is also done for the curing process after silver-grid screenprinting used for our solar cells. A TCO thickness of 110 nm on 117 a flat substrate was chosen since this results in a  $75 \pm 10$  nm 118 layer perpendicular to the pyramid surfaces on a textured wafer, 119 which is required for ideal anti-reflection properties on solar cell 120 devices. 121

The TCO layers electron mobility,  $\mu_e$ , and concentration,  $N_e$ , 122 were determined by Hall measurements with an Ecopia HMS 123 3000 system applying the van der Pauw method. Three samples 124 were prepared for Hall measurements for each variation. The 125 mean values and their average deviation are indicated in the 126 graph. Scanning electron microscopy (SEM) was carried out in 127 a MERLIN system from Zeiss on the layers to study and compare 128 their surface morphology. Furthermore, secondary ion mass 129 spectroscopy (SIMS) measurements were carried out on selected 130 samples. SIMS measurements were performed employing the 131 CAMECA SC Ultra instrument under ultra-high vacuum, usu-132 ally of  $4 \times 10^{-10}$  mbar. Enough depth resolution was obtained 133 for negative ions detection mode by using a low impact energy 134 of 100 eV for a Cs+ primary beam scanned over  $250 \times 250 \,\mu m^2$ . 135 The extremely low impact energy of primary ions (100 eV) not 136 only ensure a desired depth resolution but almost completely 137 eliminate the mixing effect and thus most signals have very sharp 138 interfaces. Thus, the hydrogen decay curve can be attributed to 139 actual diffusion and not to any potential SIMS-related artifacts 140 [22], [23]. The layer stacks for SIMS measurements were pre-141 pared on 200  $\mu$ m thick polished Czochralski wafers with the 142 same layer stacks as on glass. P-doped wafers were used for 143 (n)nc-Si:H samples and n-doped wafers for (p)a-Si:H samples. 144 This in order to electrically decouple the thin-film layers from 145 the wafer and measure Hall parameters.

Transmission electron microscopy (TEM) was carried out 147 using a Jeol JEM2200FS equipped with a Nanomegas 148 ASTAR system. Samples were prepared using a focused ion 149 beam (FIB). High-resolution and dark/bright-field imaging ob-150 servation in conjunction with scanning nano-beam electron 151 diffraction (NBED) acquisition were employed. The NBED 152 datasets contain  $128 \times 128$  diffraction patterns, acquired at posi-153 tions with a separation of 1.5 nm along both lateral dimensions. 154 The NBED datasets were calibrated using the intense central 155 spot as a reference, and virtual bright- and dark-field images 156 were obtained using a circular detector mask. 157

## B. Solar Cells Preparation and Characterization

Solar cells were prepared using n-type Czochralski (CZ) sili-159 con wafers (c-Si) with 5  $\Omega$ cm resistivity. The as-cut wafers were 160 wet-chemically etched to eliminate the sawing damage. Its sur-161 faces were then textured in KOH to obtain random pyramids with 162 heights in the range of 1–3  $\mu$ m with <111> oriented facets and 163 resulting in 125  $\mu$ m thick wafers. After RCA cleaning and a dip 164 in a 1% diluted hydrofluoric acid solution, intrinsic and doped 165 silicon layers were deposited in the PECVD reactor system as 166 described in Section II-A. An i/p a-Si:H stack was deposited on 167 the rear-side to form the hole contact (rear-junction). At the front 168 side an electron contact was formed by depositing an (i)a-Si:H 169

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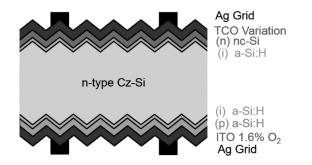


Fig. 1. Standard processed rear-junction SHJ solar cell schematic cross section.

with an (n)nc-Si:H layer on top. The solar cells were prepared by varying the front TCO layers as described in Fig. 4. The back TCO was made with the  $1.6\% O_2$  partial flow ITO with the process parameters as described in Section II-A. The TCO layers were sputtered through aligned shadow masks on both sides of the wafer to define  $144 - \text{cm}^2$  sized cells and three transfer length method (TLM) structures per wafer.

A silver grid with a 1670 and 800  $\mu$ m finger-pitch was screenprinted at the front and back of the solar cells, respectively. The grid was cured at 210 °C for 15 min on a hot-plate under atmospheric conditions. Fig. 1 shows the schematic cross section of the described rear-junction SHJ solar cell.

The solar cells were characterized using current density-182 voltage (J-V) measurements in the dark and under an AM1.5G 183 spectrum at standard test conditions in a Wacom WXS-155S-L2 184 dual source class AAA+ sun simulator. Resistance measure-185 ments were made on the TLM structures to calculate the TCO 186 sheet resistance  $(R_{\rm sh})$  on devices. To derive the TCO  $R_{\rm sh}$  from 187 an (n) wafer/(i)a-Si:H/(n)nc-Si:H stack from TLM structures we 188 used a parallel resistance model as proposed by Bivour et al. [5]. 189 According to this model  $R_{\rm sh, TCO}$  can be determined from 190

$$R_{\rm shTCO} = 1 \left/ \left( \frac{1}{R_{\rm shTLM}} - \frac{1}{R_{\rm shWafer}} \right)$$
(1)

with  $R_{\rm shTCO}$  being the TCO  $R_{\rm sh}$ ,  $R_{\rm sh}$  TLM the  $R_{\rm sh}$  measured via 191 TLM structures, and  $R_{\rm shWafer}$  the  $R_{\rm sh}$  of the wafer. The latter 192 was obtained from quasi-steady state photo conductance decay 193 measurements for each sample. The average wafer  $R_{\rm sh}$  was 194  $380 \pm 10 \Omega$ . The effect of contact resistivity is neglected and we 195 assume the value is low enough and permits current flow into 196 the wafer.  $R_s$  values of the solar cells were determined from the 197 dark to light J-V curve comparison according to [24]. 198

## III. RESULTS AND DISCUSSION

## 200 A. Thin-Film Silicon Layer Properties

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In Table I, the different thin film silicon layers investigated are presented. The layer names indicate the layer type (a-Si or nc-Si), the doping type (i, p, or n) as superscript and the layer thickness (12 or 100 nm) as subscript. The properties presented in Table I show that the  $F_c^{Si}$  of the layers increases from 0% for an amorphous layer,  $aSi_{100}^i$ , to 80% for the layer with highest  $F_c^{Si}$ , i.e.,  $ncSi_{100}^i$ . For the standard (n)nc-Si:H layer used in the

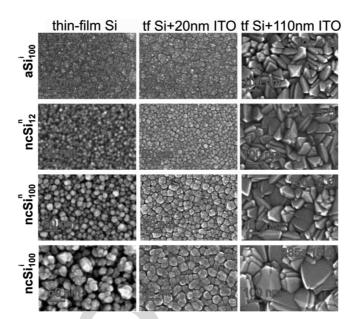


Fig. 2. SEM micrographs of thin-film silicon layers on glass (first column), with 20 nm ITO (second column) and with 110 nm ITO (third column) layers on top. The thin-film silicon layer substrate type is indicated at the left of the first column, detailed properties of the layers can be found in Table I. Length scales are indicated for each image. Sheet resistance values measured on the 110 nm ITO layers are indicated in the third column.

solar cells, ncSi<sub>12</sub>, no Raman spectrum could be measured since 208 it is device relevantly thin at 12 nm. However, it is well known 209 from the literature that the  $F_c^{Si}$  of the nc-Si:H layers will lower 210 with decreased film thickness [8], [9], [19], [20]. Fioretti et al. 211 recently reported that the PECVD deposited p-doped nc-Si:H 212 layers, at the cell level, can increase its crystallinity when 213 reducing the deposition temperature, from 200 to 125 °C [12]. 214 However, this trend was not followed in the case of the n-doped 215 nc-Si:H layers that are the main focus of our article. Hence, we 216 can assume that  $ncSi_{12}^n$  and  $ncSi_{12}^p$  layers have an  $F_c^{Si}$  between 217 0% and 40%. 218

We see a correlation of the  $F_c^{Si}$  and the rms roughness. The 219 rms roughness steadily increases with layer  $F_c^{Si}$  from 0.5 nm 220 for  $aSi_{100}^i$  to 9.8 nm for ncSi\_{100}^i. Furthermore, SEM micrographs 221 shown in the first column of Fig. 2 reveal that the  $F_c^{Si}$  and the 222 rms roughness correlate with the mean grain size of the films as 223 well. It is worth mentioning that the surface topographies did not 224 show any noticeable change between as deposited and annealed 225 state. 226

The SEM micrographs in the second column of Fig. 2 show 227 corresponding substrates with a 20 nm ITO layer deposited on 228 top. These images clearly show that at its initial growth stage 229 the ITO adopts a similar morphology as the underlying silicon 230 layers. However, when analyzing the surface morphology of the 231 110 nm ITO layers seen in column 3 of Fig. 2, we see that 232 the ITO layers reconfigure their structure and acquire a sharp 233 polycrystalline grain shape, which is a typical morphology for 234 ITO sputtered under conditions as described in Section II-A [25]. 235 The  $R_{\rm sh}$  values observed in Fig. 2 for the 110 nm ITO layers show 236 the discrepancies of the conductivity of these layers depending 237

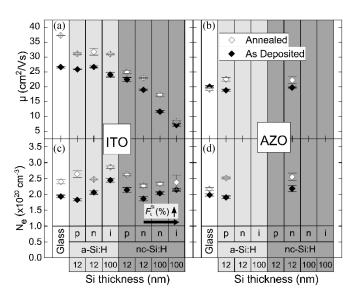


Fig. 3. Hall electron mobility  $\mu_e$  of 105 ± 10 nm thick (a) ITO and (b) AZO layers deposited on bare and coated glass with varied thin-film silicon layers and Hall carrier concentration  $N_e$  of same (c) ITO and (d) AZO layers. Doping and layer type are indicated on top of the thin film silicon thicknesses. The arrow indicates the increase of crystallinity fraction  $F_c^{Si}$  for the corresponding thin film silicon layers.

on the underlying substrate. ITO on  $aSi_{100}^i$  exhibits  $R_{\rm sh} = 70 \pm 1 \Omega$  whereas ITO on  $ncSi_{100}^i$  has  $R_{\rm sh} = 385 \pm 15 \Omega$ .

240 On the thick nc-Si  $ncSi_{100}^{i}$  layer, the ITO presents clearly 241 larger crystals as compared to the thick amorphous as well as 242 both 12-nm thin nc-Si under layers. Differences between TCO 243 layers on thin  $aSi_{12}^{p}$  and  $ncSi_{12}^{n}$  layers are further investigated 244 with TEM microscopy in Section III-C.

## 245 B. TCO Electrical Properties

The analyzed TCO layers were designed for the application on SHJ solar cells. Therefore, the process parameters were optimized for the highest  $\mu_e$  possible at an  $N_e$  that has low free carrier absorption typically around a value of  $2 \times 10^{20}$  cm<sup>-3</sup> [26].

For both materials, ITO and AZO, the concentration of carriers is determined by the level of extrinsic doping and intrinsic acceptors as oxygen interstitials or metal vacancies. The formation of the acceptor type defects is strongly promoted by the addition of oxygen to the growth process [27]. Hence, it is possible to control the optoelectric properties through adjustment of the oxygen partial pressure during deposition [28], [29].

In this article, the oxygen flow rate was chosen to lead to 258 the desired  $N_e$  of 2 imes 10<sup>20</sup> cm<sup>-3</sup> on glass substrates and the 259 deposition conditions were maintained for all studied samples. 260 As a result, the  $N_e$  for films grown on the various substrates 261 under investigation are very similar. From Fig. 3(c) and (d), we 262 can see that  $N_e$  remains in a range of  $2.1 \pm 0.3 \times 10^{20}$  cm<sup>-3</sup> 263 for layers in as-deposited state and increases to 2.4  $\pm$  0.3  $\times$ 264  $10^{20}$  cm<sup>-3</sup> after annealing for both ITO and AZO. 265

As we see from Fig. 3(a), ITO grown on layer  $ncSi_{12}^n$  has a lower  $\mu_e$  in comparison to the one on  $aSi_{12}^p$ . In contrast, in Fig. 3(b), the AZO shows the same  $\mu_e$  on  $aSi_{12}^p$  and  $ncSi_{12}^n$ .

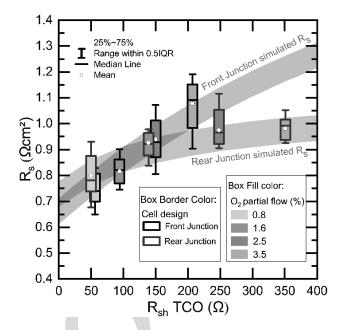


Fig. 4. Rear- and front-junction SHJ solar cells series resistance  $R_s$  versus ITO sheet resistance  $R_{\rm sh}$ . The front contact ITO  $R_{\rm sh}$  was varied through the O<sub>2</sub> process partial flow. The back contact is identical for all cells. Simulated  $R_{\rm s}$  versus  $R_{\rm sh}$  TCO curves for rear- and front-junction devices after [30] are included. The simulation curves include an error of  $\pm 0.05 \ \Omega {\rm cm}^2$ . The boxplots show values of 28 cells in two wafers per box.

As a result,  $\mu_e$  is around 22 cm<sup>2</sup>/Vs for both ITO and AZO when deposited on ncSi<sup>n</sup><sub>12</sub>. The ITO presents an even lower  $\mu_e$  270 of 8.4 ± 0.2 cm<sup>2</sup>/Vs when grown on the ncSi<sup>i</sup><sub>100</sub> layer. This 271 behavior shows a clear correlation of a decreased  $\mu_e$  of the ITO 272 with higher  $F_c^{Si}$ , rms surface roughness and grain size of the 273 previously described silicon underlying layers. 274

To investigate whether the  $\mu_e$  differences are related to the 275 doping type of the thin silicon films, we compare ITO layers 276 deposited on intrinsic ( $aSi_{100}^i$ ), phosphorous doped ( $aSi_{12}^n$ ), and 277 boron-doped  $(aSi_{12}^p)$  a-Si:H with its counterparts on doped nc-278 Si:H layers ( $ncSi_{100}^{i}$ ,  $ncSi_{12}^{p}$ ,  $ncSi_{12}^{n}$ , respectively. From Fig. 3(a), 279 we confirm that the ITO presents in all cases lower  $\mu_e$  when 280 deposited on nc-Si:H than on a-Si:H independently of the type 281 of doping. 282

The same behavior was observed in finished solar cells, 283 namely a conductivity decrease of ITO layers grown on nc-Si as 284 compared to a-Si contact layers. To demonstrate this, in Fig. 4, 285 we show the result of different front ITO  $R_{\rm sh}$  by oxygen-flow 286 variation (the back TCO contact is the same for all cells) and 287 its impact on device series resistance  $R_s$  for front-junction and 288 rear-junction solar cells. The results show that the front ITO 289 deposited with the same process conditions on (n)nc-Si:H (rear-290 junction cells) generally presents higher  $R_{\rm sh}$  than its counterparts 291 deposited on (p)a-Si:H layers (front-junction cells). The ITO  $R_{\rm sh}$ 292 differences have a direct impact on the solar cells  $R_s$  and hence 293 on its Fill-Factor (FF) depending on the solar cell design [30]. 294 After optimization, solar cells with ITO ( $J_{sc} = 39.0 \text{ mA/cm}^2$ ; 295  $V_{\rm oc}=742$  mV; FF = 81.1%) and AZO ( $J_{\rm sc}=39.1$  mA/cm²; 296  $V_{\rm oc} = 741$  mV; FF = 81.2%) front electrodes both lead to the 297 same certified (ISFH CalTeC) efficiency of 23.5%. Interestingly, 298

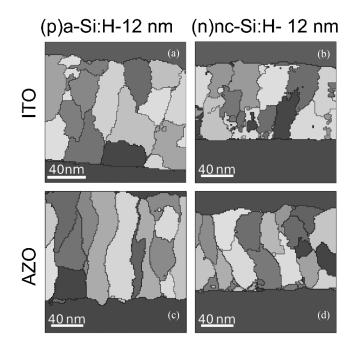


Fig. 5. Scanning NBED acquisitions of  $105 \pm 10$  nm ITO (a), (b) and AZO (c), (d) on (p)a-Si:H and (n)nc-Si:H coated c-Si wafers, obtained from TEM imaging observations. The samples are prepared on 200- $\mu$ m-thick Czochralski c-Si wafers positioned at the bottom in the images. The images show only crystals from the TCO materials.

the ITO  $R_{\rm sh}$  discrepancy increases for the higher  $O_2$  partial flow ITO. This effect was also observed by Haschke *et al.* [20] for ITO deposited on (p)a-Si:H and on (p)nc-Si:H coated glass. The analysis in this article is based on flat substrates. Nonetheless, the influence of textured substrates on the studied effect is worth of further investigation.

## 305 C. Structural Properties

Fig. 5 shows the microstructure of the ITO and AZO obtained 306 by a cluster analysis of the scanning NBED data that was 307 acquired in the TEM measurements. Fig. 5(a) and (b) shows 308 the polycrystalline ITO layers deposited on  $aSi_{12}^p$  and  $ncSi_{12}^n$ 309 layers, respectively. It is noticeable that the ITO layer on  $ncSi_{12}^n$ 310 shows smaller and more fractured domains in its initial growth 311 stages. After this initial growing stage, the layer reconfigures its 312 microstructure and resembles the shapes of the ITO grains on 313  $aSi_{12}^p$ . This is consistent with the top view SEM micrographs of 314 the 110-nm ITO layers shown in Fig. 2. We can observe that the 315 average grain size of the layer on  $ncSi_{12}^n$  is smaller than that on 316  $aSi_{12}^p$ . Furthermore, the grain boundaries for the ITO on  $ncSi_{12}^n$ 317 are more irregular. 318

Q3 319 On the other hand, the AZO is less affected by the underlying 320 layer as seen from Fig. 5(c) and (d). The typical columnar growth 321 for this material [31] can be seen in the images. That correlates 322 well with the unchanged  $\mu_e$  of the AZO.

In Section III-E, the behavior of  $\mu_e$  is discussed in detail considering the findings from the different characterization methods.

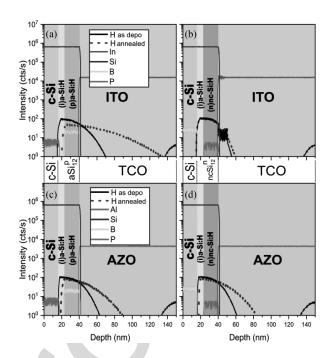


Fig. 6. SIMS measurements of ITO on (a) (i)a-Si:H / (p)a-Si:H ( $aSi_{12}^P$ ) layer and (b) (i)a-Si:H / (n)nc-Si:H ( $ncSi_{12}^n$ ) layer. As well as AZO on (c) (i)a-Si:H/ (p)a-Si:H ( $aSi_{12}^p$ ) layer and (d) (i)a-Si:H / (n)nc-Si:H ( $ncSi_{12}^n$ ) layer. Indium (In), Aluminum (Al), Silicon (Si), Boron (B), and Phosphorous (P) signals on as deposited and annealed state overlap almost identically within noise error. Hydrogen (H) straight lines are for as deposited state and dashed lines for annealed state.

# D. Role of Hydrogen Diffusion

From previous studies, it is known that hydrogen diffusion into TCO materials can play a significant role in their electrical properties [14], [32], [33]. To understand the role of diffused hydrogen in the TCO materials SIMS measurements were carried out. 331

Fig. 6 shows SIMS measurements of ITO and AZO grown on332 $aSi_{12}^p$  and  $ncSi_{12}^n$  layers in as deposited state and after annealing.333The annealing process has a noticeable effect on the H diffusion.334All other elements remain almost unchanged.335

Interestingly, we observe a clear difference in H penetration 336 depth for ITO depending on the underlying Si layer. For ITO 337 grown on a  $ncSi_{12}^n$  type layer, a H signal is detected until a 338 depth of approx. 55 nm, whereas the layer deposited on the  $aSi_{12}^p$ 339 type layer presents a penetration depth of 70 nm in as-deposited 340 state as we can see from Fig. 6(a) and (b). After annealing, 341 the H further diffuses into the layer and increases its depth to 342 up to 130 nm for  $aSi_{12}^p$  but only to 60 nm for  $ncSi_{12}^n$ . On the 343 other hand, the AZO shows very similar H penetration depths 344 independently of the layer on which it is deposited. The depths 345 are of 61 and 62 nm in as deposited state and these increase to 346 82 and 87 nm after the annealing step for the  $ncSi_{12}^n$  and  $aSi_{12}^p$ 347 substrates, respectively. Another effect worth mentioning is that 348 samples with  $aSi_{12}^p$  layers exhibit a stronger H depletion from 349 the (i)a-Si:H/c-Si interface as seen in the SIMS profiles after 350 annealing. Ritzau et al. [14] already stressed out, that a boron 351 (p) doped a-Si:H layer allows more effusion of hydrogen through 352

decrease of its activation energy in comparison to a phosphorous 353 (n) doped layer. This effect promotes the extraction of hydrogen 354 from the (i)a-Si:H layer and might be a reason for degradation 355 356 of the passivating effect of dangling bonds at the (i)a-Si:H/c-Si interface [34]. Interestingly the ITO layer grown on  $ncSi_{12}^n$  that 357 obstructs the diffusion into the TCO clearly maintains the highest 358 amount of hydrogen at the c-Si/(n)nc-Si:H interface, which 359 might be beneficial for passivation purposes. 360

# 361 E. Discussion: Electron Mobility Behavior

In order to understand the differences in  $\mu_e$  for the ITO layers grown on different substrates it is important to consider the various scattering processes limiting the  $\mu_e$  in TCO layers. In the past years, authors have made considerable efforts to explain the  $\mu_e$  limitation in both AZO and ITO [35], [36].

367 The maximum  $\mu_e$  achievable for a given carrier concentration is only limited by phonon scattering and ionized impurity scat-368 tering. For ITO with a carrier concentration of  $2\,\times\,10^{20}~\text{cm}^{-3}$ 369 carriers, Preissler *et al.* calculated an  $\mu_e$  of approx. 70 cm<sup>2</sup>/Vs, 370 which is by far higher than our values. Neglecting the unknown 371 influence of possible neutral impurity scattering and dislocation 372 scattering, the main reasons for this deviation can be compen-373 374 sation [35] and grain boundary scattering [37], [38].

Compensation not only influences  $\mu_e$ , but also determines the 375  $N_e$  in the films. Seeing that our films exhibit very similar carrier 376 concentrations regardless of the substrate, we conclude that the 377 compensation is mainly determined by the growth conditions 378 379 rather than the substrate and its influence on the structure of the TCO layers. Hence, we can assume that compensation is similar 380 for all samples and only minor changes might occur during 381 annealing. This context leaves the grain boundary scattering as 382 the main possible mechanism influencing the variation of  $\mu_e$ . 383 Equation (2) shows the expression for effective mobility  $\mu_{\rm eff}$ 384 385 developed by Seto [39]

$$\mu_{\rm eff} = \frac{eL}{\sqrt{2\pi m^* kT}} \exp\left(-\frac{E_b}{kT}\right) \tag{2}$$

386 where L is the grain size, m\* is the electron effective mass,  $E_b$  is the energetic barrier height at the grain boundary, T the 387 sample temperature, and e and k are the elementary charge and 388 the Boltzmann constant, respectively. From (2), we see that the 389 grain size affects the material  $\mu_{\text{eff}}$  linearly. Hence, the smaller 390 fractured grain features seen on the ITO deposited on  $ncSi_{12}^n$  in 391 comparison to  $aSi_{12}^p$  layers can partially explain the diminished 392  $\mu_e$  effect (see Fig. 5). A further effect to be considered is the 393 influence of the energetic barrier  $E_b$ . Depending on the grain 394 size L, the carrier concentration  $N_e$  and the trap density at grain 395 boundaries  $D_t$ , two expressions for the barrier height  $E_b$  are 396 applicable [37] 397

$$\mathbf{E}_{b} = \frac{\mathbf{e}^{2} \mathbf{D}_{t}^{2}}{8\varepsilon\varepsilon_{0} \mathbf{N}_{e}} \qquad \text{for } \mathbf{L}\mathbf{N}_{e} > \mathbf{D}_{t}$$
(2a)

$$\mathbf{E}_b = \frac{\mathbf{e}^2 \mathbf{L}^2 \mathbf{N}_e}{8\varepsilon\varepsilon_0} \qquad \text{for } \mathbf{L}\mathbf{N}_e < \mathbf{D}_t \tag{2b}$$

398 where  $\varepsilon \varepsilon_0$  is the static dielectric constant.

Typical  $D_t$  values for the TCO layers investigated here lie between  $1 \times 10^{12}$  and  $3 \times 10^{13}$  cm<sup>-2</sup> [14], [37]. Thus, even for a very small mean L value of 5 nm, we have  $LN_e > D_t$ . Hence, 401  $D_t$  is dominating the  $E_b$  height according to (2a). 402

Under this circumstances, an increased  $D_t$  at grain boundaries can be a further reason for decreased  $\mu_e$  of the ITO layers on nc-Si:H. 403

From the measured SIMS profiles shown in Fig. 6, we can 406 conclude that in none of the studied cases boron or phosphorous 407 diffuses into the TCO layers. Hence, the diffusion of these 408 elements is not a source of additional scattering impurities and 409 the effect of lowered  $\mu_e$  is independent of these dopants as it was 410 already concluded from Fig. 3. A further effect that can have 411 an impact on the  $\mu_e$  of the layers is the diffusion of hydrogen 412 into the TCOs coming from the hydrogenated thin-film silicon 413 layers. We see from the SIMS profiles in Fig. 6 that the ITO 414 receives less hydrogen from a  $ncSi_{12}^n$  layer. In contrast, the 415 hydrogen penetrates deeper into the ITO layer grown on  $aSi_{12}^p$ . 416 We observe, however, that both the ITO layers on  $aSi_{12}^p$  and 417  $ncSi_{12}^n$  show an increase of  $\mu_e$  upon annealing, so the potential 418 influence of hydrogen diffusion remains unclear. In the case 419 of the AZO, however, the layers present very similar hydrogen 420 profiles, which are consistent with the almost equal  $\mu_e$  that both 421 layers show. 422

A clarification of the phenomena would require determination of the potential barriers from temperature-dependent Hall measurements, which are outside the scope of this article.

IV. CONCLUSION

426

ITO deposited on nc-Si:H presents lower  $\mu_e$  in comparison to 427 same layers deposited on a-Si:H. This is related to an increased 428 RMS roughness of the nc-Si:H correlating with thin-film silicon 429 crystalline fraction and increased grain size. We find from TEM 430 measurements that a surface with increased RMS roughness pro-431 motes the growth of smaller fractured grain features of the ITO 432 at initial growth stages. In this context, possible explanations for 433 the decreased  $\mu_e$  are the decreased ITO mean grain size or an 434 increase of the trap density at the materials' grain boundaries. 435 Furthermore, we observe a lower hydrogen penetration depth 436 for ITO layers grown on nc-Si than on a-Si as observed in SIMS 437 profiles. 438

On the contrary, the AZO presents almost identical  $\mu_e$  when deposited on nc-Si:H and a-Si:H which is consistent with the similarity in structural properties observed from TEM and diffusion profiles measured with SIMS.

ITO grown on an n-doped nc-Si:H layer clearly shows lesser out-diffusion of hydrogen from the c-Si/(i)a-Si:H interface as compared to the ITO grown on p-doped a-Si:H. The impact of this behavior on device passivation properties is relevant for further investigation. 447

The previously described phenomena should be considered 448 during the development of carrier selective contacts for SHJ 449 solar cells. 450

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