

The Schottky–Mott Rule Expanded for Two-Dimensional Semiconductors: Influence of Substrate Dielectric Screening

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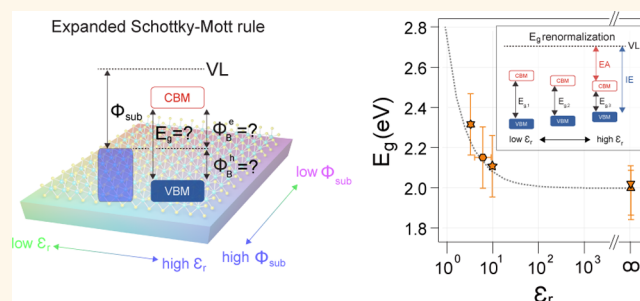
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ABSTRACT: A comprehensive understanding of the energy level alignment mechanisms between two-dimensional (2D) semiconductors and electrodes is currently lacking, but it is a prerequisite for tailoring the interface electronic properties to the requirements of device applications. Here, we use angle-resolved direct and inverse photoelectron spectroscopy to unravel the key factors that determine the level alignment at interfaces between a monolayer of the prototypical 2D semiconductor MoS₂ and conductor, semiconductor, and insulator substrates. For substrate work function (Φ_{sub}) values below 4.5 eV we find that Fermi level pinning occurs, involving electron transfer to native MoS₂ gap states below the conduction band. For Φ_{sub} above 4.5 eV, vacuum level alignment prevails but the charge injection barriers do not strictly follow the changes of Φ_{sub} as expected from the Schottky–Mott rule. Notably, even the trends of the injection barriers for holes and electrons are different. This is caused by the band gap renormalization of monolayer MoS₂ by dielectric screening, which depends on the dielectric constant (ϵ_r) of the substrate. Based on these observations, we introduce an expanded Schottky–Mott rule that accounts for band gap renormalization by ϵ_r -dependent screening and show that it can accurately predict charge injection barriers for monolayer MoS₂. It is proposed that the formalism of the expanded Schottky–Mott rule should be universally applicable for 2D semiconductors, provided that material-specific experimental benchmark data are available.

KEYWORDS: MoS₂ monolayer, 2D semiconductors, ionization energy, electron affinity, photoelectron spectroscopy, Fermi level pinning



Two-dimensional (2D) transition metal dichalcogenides (TMDCs) are intensively studied toward beyond-silicon semiconductor platforms for nanoelectronic applications because of their excellent optoelectronic properties.^{1–7} Among them, monolayer (ML) molybdenum disulfide (MoS₂) has been considered for a wide range of potential applications, such as thin-film transistors (TFTs),^{8–11} lateral heterojunction diodes,¹² photovoltaics,^{13,14} and photodetectors.^{15–17} Currently, one of the most critical issues in ML-MoS₂ based applications is the high electrical contact resistance at the ML-MoS₂/electrode junction, the reduction of which will be a key step toward high-performance devices.¹⁸ According to the Schottky–Mott rule,^{19–22} the contact resistance depends on the Schottky barrier height (SBH), which—in the simplest approximation that does not consider material-specific physicochemical interfacial interactions—is obtained as the energy difference between the electrode work

function (Φ_{elec}) and the electron affinity of the conduction band minimum (CBM) or the ionization energy of the valence band maximum (VBM) of the semiconductor (see Figure 1a, where Φ_B^e and Φ_B^h are the SBH for electron and hole injection, respectively). Thus, in principle, the SBH should be tunable by varying Φ_{elec} , also for ML-MoS₂. However, Φ_B^e for ML-MoS₂ has been reported by Das et al. to be in the range of only 0.2–0.5 eV, without significant change for different Φ_{elec} .^{23–26} This was attributed to Fermi level (E_F) pinning at gap states. The exact origin of E_F -pinning and a reliable approach to achieve

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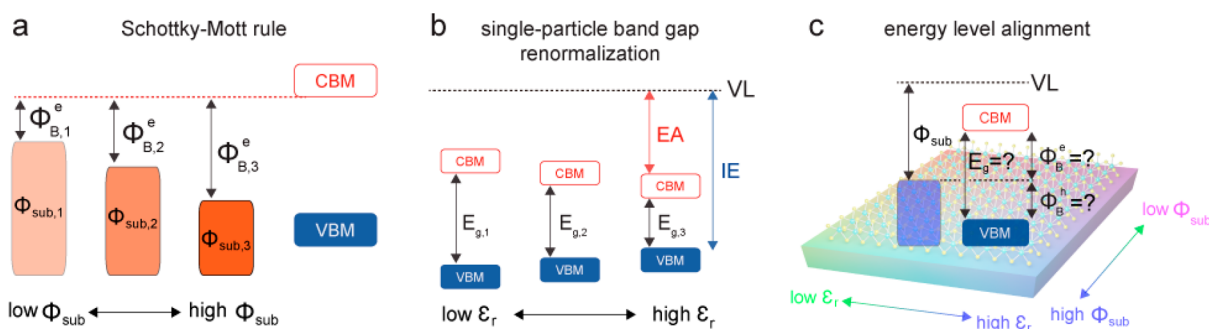


Figure 1. Illustration of the Schottky-Mott rule, single-particle band gap (E_g) renormalization, and energy level alignment for two-dimensional transition metal dichalcogenides (2D TMDCs). (a) Schematic illustration of changes in the Schottky barrier height for electrons (Φ_B^e) by varying the work function of the substrate (Φ_{sub}), and (b) band gap (E_g) renormalization by the static dielectric constant (ϵ_r) of the surrounding environment. VL is the vacuum level. (c) Challenges in predicting the energy level alignment at 2D TMDCs/substrate interfaces due to numerous varying parameters, such as ϵ_r , Φ_{sub} , or ground state charge transfer.

Ohmic contacts are still controversial issues in literature (*vide infra*) that need to be elucidated.

The pinning factor S (sometimes referred to as slope parameter) is used to characterize the strength of E_F -pinning and is defined by

$$S = \Delta\Phi_B^e / \Delta\Phi_{\text{elec}} \text{ or } S = \Delta\Phi_B^h / \Delta\Phi_{\text{elec}}$$

where $\Delta\Phi_B^e$ and $\Delta\Phi_B^h$ are changes of the SBH for electrons and holes, respectively, for a given change of the electrode work function $\Delta\Phi_{\text{elec}}$. Consequently, small S values correspond to strong E_F -pinning. On the other hand, S close to 1 corresponds to a situation where vacuum level alignment occurs, that is, the simple Schottky-Mott rule holds. So far, most studies reported S values for ML-MoS₂ in the range of 0.02–0.3, and the corresponding strong E_F -pinning is widely believed to stem from ground state charge transfer to/from sulfur vacancy-induced gap states.^{24,25,27,28} In contrast, two reports recently demonstrated tunable SBHs with negligible E_F -pinning ($S = 0.96$), suggesting the validity of the Schottky-Mott limit, by using electrodes transferred onto the TMDC in their TFT devices.^{29,30} They concluded that the reason for strong E_F -pinning in previous studies was the considerable defect creation in ML-MoS₂ that occurs during evaporation of metal electrodes onto the ML, such as chemical bond or strain formation.

It should be noted that the aforementioned Φ_B^e values were obtained via the Richardson–Dushman equation³¹ ($I_{\text{ds}} = AT^2 e^{-\Phi_B^e/kT}$, where I_{ds} , A , T , and k are the source-drain current, Richardson constant, absolute temperature, and Boltzmann constant, respectively) or estimated from work function measurements; direct determination of SBH values from photoemission measurements is rarely reported. However, the original Richardson–Dushman equation was derived for electron emission into vacuum, and work function measurements can be influenced by interface dipoles. Therefore, these approaches might have over- or underestimated the SBH. Furthermore, tabulated Φ_{elec} values of the respective materials were used in the estimation of Φ_B^e instead of actually measured ones, even though it is known that Φ_{elec} is highly sensitive to the electrode preparation conditions, inevitable air exposure, and surface cleaning procedures during the sample fabrication process.^{32–34}

Recently, alternative electrodes, such as graphene, 1T-MoS₂, MoO₃/metal, and h-BN/metal have been proposed as superior electric contacts, some of which exhibit a lower static relative

dielectric constant (ϵ_r), compared to the ϵ_r approaching infinity for conventional metals.³⁵ This is another important aspect because dielectric screening by the surrounding medium leads to a pronounced renormalization of the electronic structure of ML-TMDCs, including the single-particle band gap (E_g), electron affinity (EA), and ionization energy (IE),^{36–39} which is expected to also impact the SBH, as schematically shown in Figure 1b,c. A pronounced renormalization of E_g was demonstrated by a combination of angle-resolved photoelectron spectroscopy (ARPES) and angle-resolved inverse photoelectron spectroscopy (ARIPES) measurements for ML-MoS₂ on substrates with high and low ϵ_r .⁴⁰ From earlier optical measurements by Chernikov et al. and Lin et al., ϵ_r -dependent energy level renormalization was evidenced through a variation of the exciton binding energy.^{41–43} Consequently, the dielectric environment, which has been largely ignored in the discussion of the SBH so far, must also be considered to reliably estimate the SBH for ML-TMDCs. The traditional Schottky–Mott rule does not consider the impact of the dielectric environment, because it is not obviously relevant for conventional 3D semiconductors, for which the rule was developed.²¹ Consequently, the consideration of Φ_{sub} and ϵ_r in an appropriately modified Schottky-Mott rule is essential to possibly predict the SBH in 2D TMDCs, accurately and reliably.

In this contribution, we investigate the impact of Φ_{sub} and ϵ_r on the SBH of a ML-MoS₂ by measuring the work function, IE, and EA of ML-MoS₂ on a variety of supporting substrates by a combination of ARPES and ARIPES. We observe a substantial electronic structure renormalization of the ML-MoS₂ by dielectric screening and ground state charge transfer, as a function of Φ_{sub} and ϵ_r of the supporting substrate. Based on the measured parameters, we propose an empirical model, an extended Schottky-Mott rule, that enables predicting SBH values for ML-MoS₂ on any substrate, as long as no E_F -pinning-induced ground state charge transfer occurs between ML-MoS₂ and the substrate. This modified Schottky-Mott rule should be applicable to all 2D semiconductors if pertinent benchmark data for these become available.

RESULTS AND DISCUSSION

Substrate Work Function Dependent Schottky Barrier Height and Fermi Level Pinning. First, to investigate the correlation between SBH and Φ_{sub} , ML-MoS₂ samples were prepared on supporting substrates with Φ_{sub} in

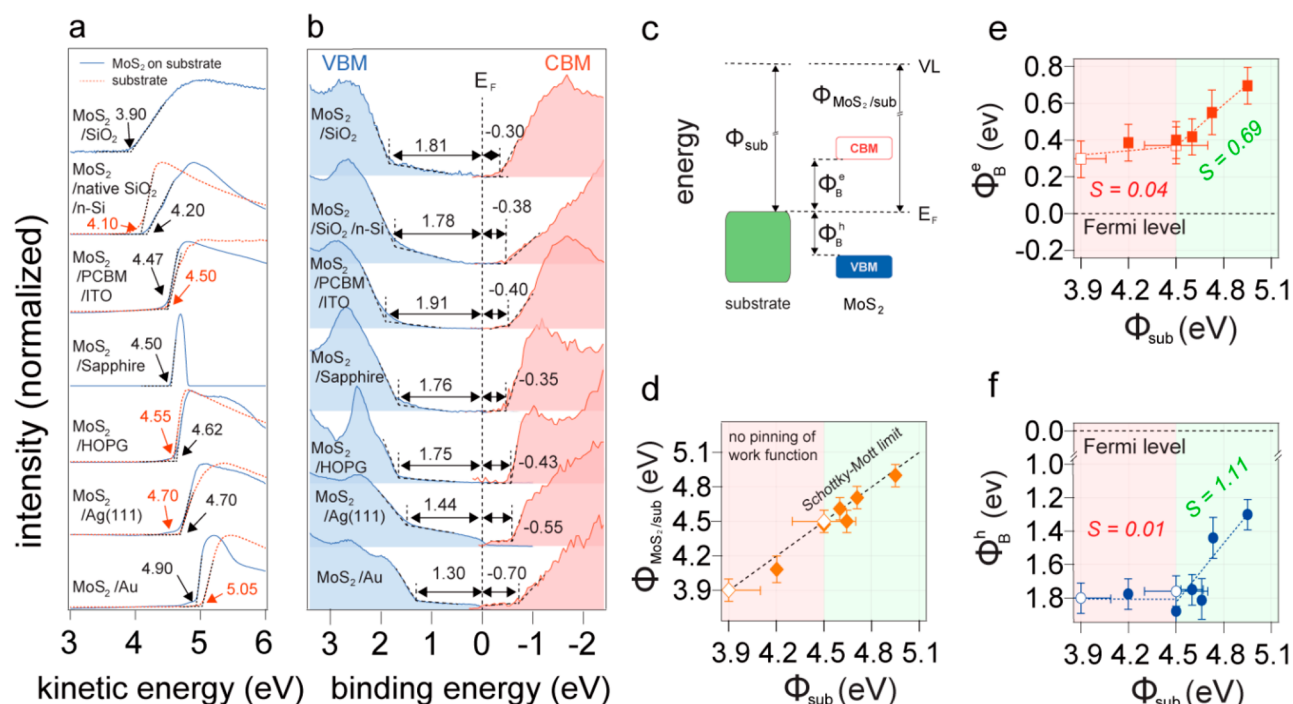


Figure 2. Substrate work function dependency of hole and electron barrier height (Φ_B^h and Φ_B^e), and monolayer (ML)-MoS₂/substrate work function. (a) Secondary electron cutoff (SECO) spectra of ML-MoS₂ (blue solid lines) on top of polycrystalline Au, Ag(111), highly oriented pyrolytic graphite (HOPG), sapphire, phenyl-C61-butyric acid methyl ester (PCBM)/indium–tin-oxide (ITO), SiO₂ (native oxide)/*n*-Si and SiO₂ (thermal oxide) substrates. Dashed red lines correspond to the SECO spectra of the pristine substrates. (b) Valence (blue) and conduction (red) band spectra of ML-MoS₂ on top of supporting substrates at the K-point of the MoS₂ Brillouin zone. (c) Schematic diagram of energy level alignment between substrate and ML-MoS₂. (d) Work function values of ML-MoS₂/substrate ($\Phi_{\text{MoS}_2/\text{sub}}$), (e) electron barrier (Φ_B^e), and (f) hole barrier (Φ_B^h) height of ML-MoS₂ plotted as a function of the substrate work function (Φ_{sub}). The measurement of Φ_{sub} for insulating substrates (sapphire and SiO₂-thermal oxide) is not possible (unfilled markers), the corresponding values are estimated and dressed with appropriately larger error bars, as further addressed in the SI.

the range of 4.2–5.05 eV. All sample preparation and measurement procedures are detailed in the [Supporting Information \(SI\)](#). ARIPEs measurements were performed in order to determine Φ_B^e and ARPES measurements for Φ_B^h , Φ_{sub} and $\Phi_{\text{MoS}_2/\text{sub}}$, the latter being the sample work function of the ML-MoS₂ on the substrate. The ML-MoS₂ were grown by chemical vapor deposition (CVD) on sacrificial substrates, from which they were transferred onto each supporting substrate to avoid damage of ML-MoS₂ during an eventual metal evaporation step, as Duan et al. suggested.²⁹ Prior to ARPES and ARIPEs measurements, the quality of transferred ML-MoS₂ was examined using optical microscopy, atomic force microscopy, reflectance, and photoluminescence measurements as shown in the [SI Figure 1](#). The properties of the supporting substrates used in this study are summarized in [SI Table S1](#).

Because Φ_{sub} is very sensitive to the surface properties, the supporting substrates were consistently prepared under the same conditions, such as exposure time to air and surface cleaning procedure, immediately before ML-MoS₂ transfer. The secondary cutoff (SECO) spectra of the ML-MoS₂/substrate samples and their supporting substrates are shown in [Figure 2a](#) as blue solid and red dashed lines, respectively. Since the SECO directly corresponds with the minimum energy needed to remove inelastically scattered electrons from the surface, it carries no information on the underlying pristine substrate, particularly as our ML-MoS₂ surface coverage is very high so that a few% uncovered substrate area is negligible.⁴⁴ From these spectra, the work function values of the ML-MoS₂/

substrate ($\Phi_{\text{MoS}_2/\text{sub}}$) samples and the bare supporting substrate (Φ_{sub}) were obtained and plotted in [Figure 2d](#). In contrast to common belief,^{24,25,27,28} $\Phi_{\text{MoS}_2/\text{sub}}$ scales linearly with Φ_{sub} with a slope of almost one, which could indicate the absence of E_F -pinning. This observation is consistent with the recent SBH estimations reported by Duan et al.,^{29,30} and supports their claim that damage by electrode deposition onto a TMDC results in strong E_F -pinning, at least from the work function perspective.

To directly assess Φ_B^e and Φ_B^h , ARPES and ARIPEs were performed at the K-point of the ML-MoS₂ Brillouin zone (BZ), which yield the global VBM and CBM values, respectively (see [SI Figure S3](#)).^{40,45} Because the photoemission signal of some substrates (particularly Au and Ag) is comparably strong and that of others is weak [sapphire and highly oriented pyrolytic graphite (HOPG)], the valence band spectra take on different overall appearances despite the predominant contribution from ML-MoS₂. Nonetheless, its pronounced VBM features at the K point of the BZ are clearly observable, which allows obtaining the binding energy of the VBM (onset of emission) with respect to the Fermi level. In analogy, the binding energy of the CBM with respect to the Fermi level was systematically extracted. All these values, corresponding to Φ_B^e and Φ_B^h , are plotted as a function of Φ_{sub} in [Figure 2e,f](#).

If the Schottky–Mott rule applies, Φ_{sub} alone determines Φ_B^e and Φ_B^h if IE and EA are constants, as depicted in [Figure 2c](#). From this perspective, the correlation between $\Phi_{\text{MoS}_2/\text{sub}}$ and Φ_{sub} in [Figure 2d](#) appears reasonable. In contrast, the plots of

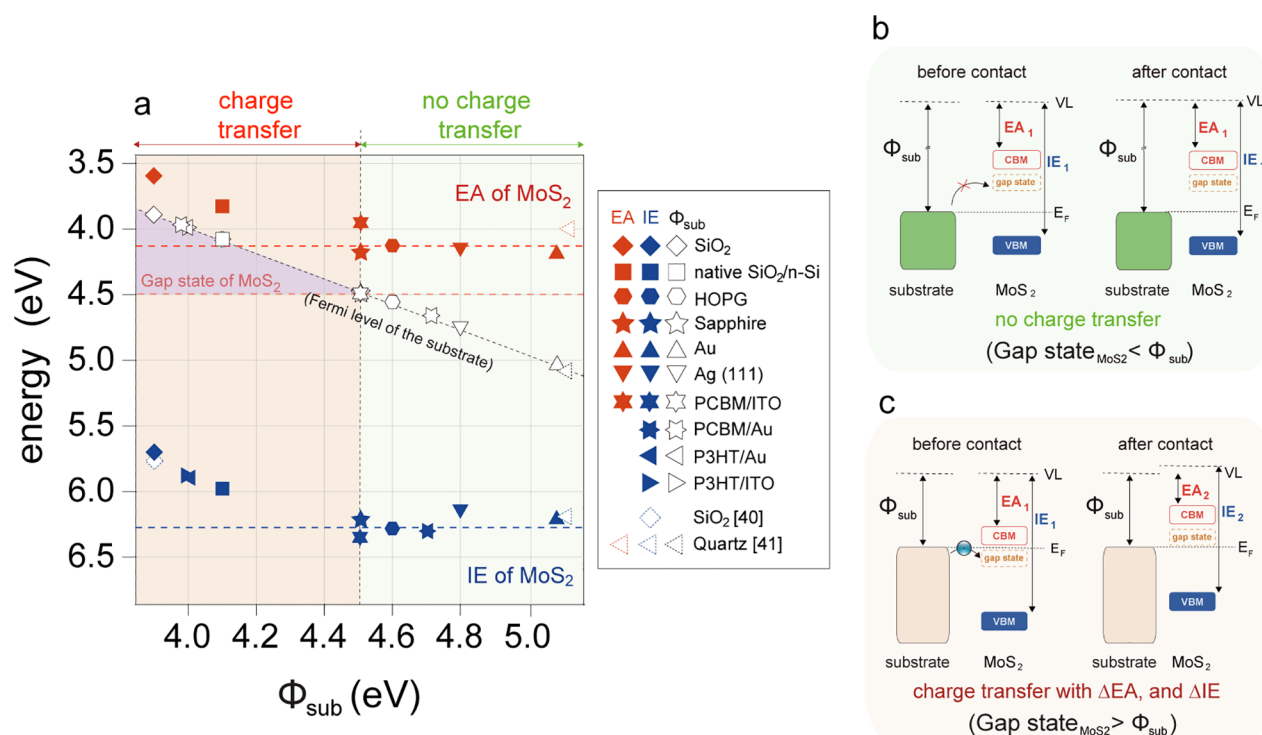


Figure 3. Energy levels of ML-MoS₂ as a function of substrate work function. (a) Plot of ionization energy (IE, blue) and electron affinity (EA, red) of ML-MoS₂ as a function of the bare substrate work function, for the substrates: polycrystalline Au, Ag(111), HOPG, sapphire, PCBM)/ITO, poly(3-hexylthiophene-2,5-diyl) (P3HT)/ITO, SiO₂ (native oxide)/n-Si, and SiO₂ (thermal oxide). The Fermi level positions of the bare supporting substrates with respect to the vacuum level are plotted as white symbols. Schematic energy diagrams before and after contact between ML-MoS₂ and a substrate, for the cases that before contact (b) gap state energy levels lie above the substrate Fermi level and (c) below the substrate Fermi level.

Figure 2e,f can be divided into two regions, bordering at Φ_{sub} of 4.5 eV. In the right-hand region ($\Phi_{\text{sub}} > 4.5$ eV; shaded green), decreasing Φ_{B}^h and increasing Φ_{B}^e can be clearly observed with increasing Φ_{sub} . This seems at first glance to support the validity of the Schottky-Mott rule for ML-MoS₂/substrate. Upon closer inspection, the slope parameters S are fitted to be 1.11 for Φ_{B}^h and 0.69 for Φ_{B}^e . Notably, S for the electron barrier is significantly lower than 1, and for the electron barrier even a little higher than the ideal value of 1 of the Schottky-Mott rule. This asymmetry in the trends of hole and electron barriers as a function of Φ_{sub} has not been reported to date. This finding already implies that at least one factor is missing to establish a rule for the energy level alignment at ML-MoS₂/substrate interfaces.

In the second region ($\Phi_{\text{sub}} < 4.5$ eV; shaded red), the charge injection barriers are pinned, at ca. 0.4 eV for electrons and ca. 1.80 eV for holes, with $S \approx 0$ within the margin of experimental error. This is a clear indication of E_{F} -pinning, involving electron transfer from the substrate to the ML-MoS₂. For defect-free semiconductors these electrons are received by the conduction band. It was also suggested that gap states due to atomic defects in TMDCs are involved to establish the electronic balance at the charge neutrality level.²⁴ In this E_{F} -pinning study with various metals a value of 4.48 eV was derived for the charge neutrality level of MoS₂, which is very close to the work function value that we find here to dissect the region of essentially vacuum level alignment and E_{F} -pinning, i.e., 4.5 eV. Therefore, it is possible that charge transfer to defect levels in the gap of MoS₂ also plays a role here, and these have been suggested to have rather wide energy distribution below the conduction band.⁴⁶ The ground state

electron transfer should result in a work function change (compared to Φ_{sub}) that is proportional to the amount of transferred charge.⁴⁷ However, as seen from Figure 2d, the observed difference between Φ_{sub} and $\Phi_{\text{MoS}_2/\text{sub}}$ in the E_{F} -pinning region is too small (<0.2 eV) to be consistent with a constant IE and EA of ML-MoS₂ over the entire range of substrates investigated.

Variation of IE and EA of ML-MoS₂ due to ground state charge transfer. To elucidate the reason for the apparent variation of the IE and EA of ML-MoS₂ just mentioned above, we summarize the measured IE and EA values as a function of Φ_{sub} , including the values from previous reports,^{48,49} in Figure 3a. The spectra and the corresponding determination of IE are detailed in SI Figure S4. The blue, red, and white symbols represent the IE and EA of ML-MoS₂, and Φ_{sub} , respectively. In the green shaded region of Figure 3a ($\Phi_{\text{sub}} > 4.5$ eV), the IE and EA values of ML-MoS₂ exhibit a comparably small variation. The substrate E_{F} is well within the band gap of ML-MoS₂ in this region, making electron transfer from the substrate to ML-MoS₂ unlikely, as illustrated in Figure 3b. In contrast, the red region of Figure 3a ($\Phi_{\text{sub}} < 4.5$ eV) shows a decrease in IE and EA with decreasing Φ_{sub} . In this region, the energy position of E_{F} before contact (corresponding to Φ_{sub}) is lower than the native sulfur vacancy induced gap state of ML-MoS₂ (pink dashed line), which was reported at about 0.4 eV^{27,28,50} below the conduction band minimum, corresponding to the EA of a pristine and charge-neutral ML-MoS₂. Notably, the energy of this gap state is then at ca. 4.5 eV below the vacuum level in Figure 2a, corresponding to the crossover work function value between the red and green areas. Because no charge transfer is expected

in the green (unpinned) region, it is reasonable to assume that the measured IE and EA in the green region can be considered as intrinsic values for ML-MoS₂. In the red-shaded region of Figure 3a, the before-contact energy level alignment is favorable for electron transfer from the substrate to ML-MoS₂, as illustrated in Figure 3c. In fact, strong indication for excess electrons in experiments with ML-MoS₂ on SiO₂ as supporting substrate has been reported by the observations of enhanced trion photoluminescence,^{51,52} in line with our suggestion that electron transfer occurs from the supporting substrate to ML-MoS₂ in the E_F-pinning region. This is also in line with a decrease in IE due to increased carrier screening.^{53–56} While an increase in EA would be concomitantly expected due to band gap renormalization by doping, it is apparently not observed here. This is at odds with the simple picture of electron transfer to gap states, but could possibly be due to partial filling⁵⁷ and electron correlation induced splitting⁵⁸ of the conduction band by transferred electrons, so that the measured EA does no longer correspond to the conduction band minimum. To understand this in detail, further systematic doping experiments would be needed, which yet goes beyond the scope of this work.

Therefore, our observations underpin that, unlike in 3D systems, ground state charge transfer with a substrate can significantly renormalize the electronic structure and alter the IE and EA of 2D materials. From an application point of view, it is important to stress that IE and EA, which are often thought to be intrinsic properties of a material, are not constant, but depend on Φ_{sub} if charge transfer occurs. This can also provide a consistent explanation as why many previous studies have reported a wide range of IE values for ML-MoS₂ (5.7–6.2 eV) that extend far beyond the experimental error.^{14,40,48,59–64}

Schottky-Mott Rule Expanded by Band Gap Renormalization. We now address the impact of dielectric screening by the substrate, which has been considered in discussion of the SBH so far. For this, E_g , IE, and EA of ML-MoS₂ are plotted as a function of the static dielectric constant of the supporting substrate (ϵ_r) as shown in Figure 4. Only supporting substrates with $\Phi_{\text{sub}} > 4.5$ eV are used for this analysis, in order to exclude charge transfer induced changes in IE and EA due to E_F-pinning, as discussed in the previous section. From Figure 4 we observe the significant decrease of IE, increase of EA, and decrease of E_g ($E_g = \text{IE} - \text{EA}$) of the ML-MoS₂ with increasing substrate ϵ_r . Our present data are thus consistent with previous theoretical predictions by first-principles calculations using the GW approximation and further experimental data from scanning tunneling microscopy measurements.^{36,65}

The origin of the E_g renormalization due to screening is the Coulomb interaction between confined holes/electrons inside the 2D monolayer and the surrounding dielectric medium,^{54,66} and we can safely hypothesize that the change in E_g of ML-MoS₂ monolayer is thus governed by the Coulomb potential that is proportional to $1/\epsilon_r$. Based on this, E_g of ML-MoS₂ as a function of ϵ_r of the substrate can be empirically expressed as

$$E_g(\epsilon_r) = E_{g,\infty} + \alpha/\epsilon_r \quad (1)$$

where $E_{g,\infty}$ is the single-particle band gap of ML-MoS₂ on a substrate with infinite ϵ_r , and α is an empirical constant (of the dimension energy) that includes information about the polarizability of the combined system. For ML-MoS₂, $\alpha =$

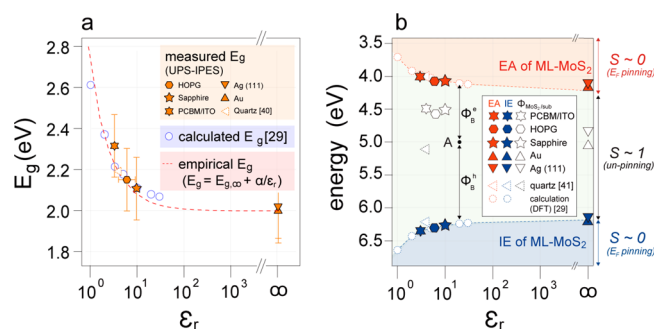


Figure 4. Energy levels of monolayer (ML)-MoS₂ as a function of substrate dielectric constant. (a) The single-particle band gap (E_g) of ML-MoS₂ plotted as a function of substrate static dielectric constant. The E_g were obtained from combined ARPES and ARIPEs measurements. Reported E_g values from scanning tunneling spectroscopy and DFT calculations are plotted as blue circles and dashed triangle, respectively.^{36,49} Details about the empirically estimated E_g (dashed line) are given in the main text. (b) Plot of ionization energy (IE), electron affinity (EA) and work function of ML-MoS₂ ($\Phi_{\text{MoS}_2/\text{sub}}$) on different substrates, as a function of the substrate dielectric constant. The calculated IE, EA, and E_g values³⁶ of ML-MoS₂ are rigidly shifted to align with the measured values. Only supporting substrates with $\Phi_{\text{sub}} > 4.5$ eV are plotted to rule ground state charge transfer effects (E_F -pinning).

0.9 eV and $E_{g,\infty} = 2.0$ eV were obtained by fitting the experimental data in Figure 4a. Following the variation of E_g given by eq 1, the dependence of IE and EA with respect to ϵ_r can be written in the following way, assuming no difference of α for electrons and holes:

$$\text{IE}(\epsilon_r) = \text{IE}_\infty + \alpha/2\epsilon_r, \quad \text{EA}(\epsilon_r) = \text{EA}_\infty - \alpha/2\epsilon_r \quad (2)$$

where IE_∞ and EA_∞ are the ionization energy and electron affinity of a ML-MoS₂ on a substrate with infinite ϵ_r . Once IE_∞ and EA_∞ are determined by fitting experimental data with this equation, the SBH for electrons (holes) can be obtained by the energy difference between Φ_{sub} and EA (IE). For instance, mark A in Figure 4b represents an assumed supporting substrate having Φ_{sub} of 5 eV and ϵ_r of 20, and the specific SBH values, Φ_B^e and Φ_B^h , can be directly read from the graph, or computed by

$$\begin{aligned} \Phi_B^h(\Phi_{\text{sub}}, \epsilon_r) &= \text{IE}_\infty + \alpha/2\epsilon_r - \Phi_{\text{sub}} \\ \Phi_B^e(\Phi_{\text{sub}}, \epsilon_r) &= \Phi_{\text{sub}} - (\text{EA}_\infty - \alpha/2\epsilon_r) \end{aligned} \quad (3)$$

Using these equations, reasonably predicted Φ_B^h and Φ_B^e values, based on the parameters fitted from our data and the values of Φ_{sub} and ϵ_r , are obtained and itemized in Table 1.

The empirical parameter α in the expanded Schottky-Mott rule above (eq 3) is representative of the Coulomb interaction between individual confined in ML-MoS₂ and the surrounding dielectric. Therefore, the α used here is valid for ML-MoS₂. However, with appropriate experimental benchmark data, the proposed rule should be useful for estimating the SBH for other 2D semiconductors as well.

Asymmetry of the Pinning Parameter S for Electrons and Holes. With the expanded Schottky-Mott rule introduced above, we can now reconcile the observation made in Figure 2e,f that the pinning parameter S differs for electrons and holes, whereas they are expected to be the same in the original Schottky-Mott rule. As seen from Figure 5a, S gives the

Table 1. Predicted and Measured SBH and E_g Values^a

substrate	Φ_B^h		Φ_B^e		E_g	
	measured	predicted	measured	predicted	measured	predicted
PCBM/ITO	1.91	1.84	0.40	0.38	2.31	2.23
HOPG	1.75	1.73	0.43	0.43	2.18	2.15
sapphire	1.76	1.74	0.35	0.34	2.11	2.08
Ag(111)	1.44	1.50	0.55	0.50	1.99	2.00
Au	1.30	1.30	0.70	0.70	2.00	2.00

^aComparison of measured and predicted Φ_B^h , Φ_B^e , and E_g values. The parameters used in the empirical model are $IE_\infty = 6.2$ eV, $EA_\infty = 4.2$ eV, $E_g, \infty = 2.0$ eV, and $\alpha = 0.9$ eV. All values are given in eV.

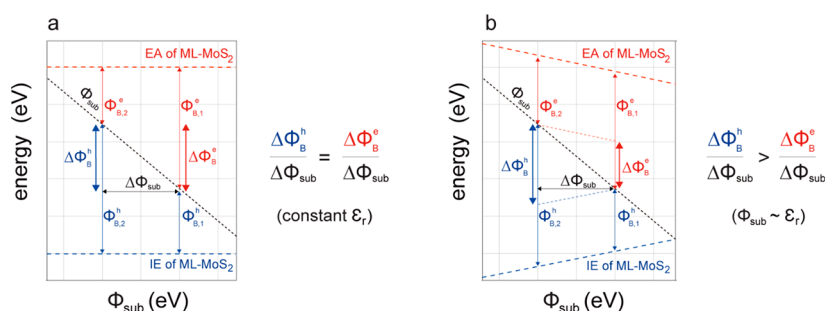


Figure 5. Origin of the asymmetric pinning parameters S for electrons and holes. The energy levels of ML-MoS₂ as a function of work function of the supporting substrate (Φ_{sub}), where the ionization energy (IE) and electron affinity (EA) is (a) constant and (b) vary with the dielectric constant of supporting substrate (ϵ_r), using the relations given in eq 3.

variation of Φ_B^h and Φ_B^e with Φ_{sub} , and both exhibit identical trends as long as IE and EA are constant. In our experiments, coincidentally, increasing Φ_{sub} goes in hand with increasing ϵ_r ($d\epsilon_r/d\Phi_{sub} \neq 0$) for our substrates. Therefore, as EA and IE change with ϵ_r , one can readily see that the S parameter becomes different from 1 and dependent on carrier type, as exemplified in Figure 5b. Using eq 3, the slope parameter for holes and electron can be calculated as

$$d\Phi_B^h/d\Phi_{sub} = |d(\alpha/2\epsilon_r)/d\Phi_{sub}| - 1|$$

and

$$d\Phi_B^e/d\Phi_{sub} = |1 + [d(\alpha/2\epsilon_r)/d\Phi_{sub}]|$$

which returns different values. In our case, this yields S for holes of 1.11 (even higher than the ideal S of 1) and 0.69 for electrons. This emphasizes that Φ_{sub} and ϵ_r of the substrate must be considered simultaneously to appropriately determine the SBH and the interfacial energy level alignment.

Finally, an application point of view note regarding the TMDC energy levels further apart from the immediate electrode contact. In a lateral geometry, as found in monolayer-based field-effect transistors, the ML-TMDC rests on the gate dielectric next to the electrode. Since the (static) ϵ_r of the gate dielectric is lower than that of a metal, the band gap of the TMDC will increase as function of distance from the metal on the length scale of a few nm.⁶⁷ Accordingly, the injected charge carriers have to overcome an effective SBH that is larger (by approximately half the amount of the band gap renormalization) than the value immediately at the electrode contact. Accordingly, the effective SBH will be smaller when using modern high dielectric constant gate dielectrics. If the ML-TMDC is doped, additional band bending away from the electrode will occur, its magnitude depending on the doping level. The length-scale over which this band bending occurs has been explained in this geometry by the geometry-specific

screening length by Appenzeller et al.,⁶⁸ and was estimated to be in the range of a few nm for typical material parameters, in accordance with an estimation from experiment.⁶⁷ We also note that the SBH derived from our model should be further adapted for bi- and multilayer TMDCs, as changes in band gap resulting from the different dielectric screening by the additional TMDC layer(s) will occur, alongside with a direct-to-indirect gap transition. Furthermore, the formation of space charge regions in the vertical direction is expected, which will result in a thickness-dependent SBH.⁶⁹

CONCLUSIONS

From the present systematic study of the electronic energy levels at interfaces comprising ML-MoS₂ transferred onto a variety of substrates, including metals, semimetals, as well as organic and inorganic semiconductors and insulators, we infer how the charge injection barrier is impacted by the substrate work function Φ_{sub} and dielectric constant ϵ_r simultaneously. Two different regimes of level alignment are observed: (i) for $\Phi_{sub} < 4.5$ eV, strong Fermi level pinning occurs with $S \approx 0$. (ii) For $\Phi_{sub} > 4.5$ eV, essentially vacuum level alignment takes place but the S values are different for electrons and holes. In the E_F -pinning regime (i), a significant reduction of the IE and EA of the ML-MoS₂ is observed and is attributed to pronounced band gap renormalization by electron transfer from the supporting substrate to the ML-MoS₂ gap states. In regime (ii) where no interfacial ground state charge transfer occurs, the Schottky barrier height depends on Φ_{sub} and the magnitude of dielectric screening by the supporting substrate. Because the dielectric screening induces band gap renormalization in 2D semiconductors, the slope parameter S can deviate from 1, even when vacuum level alignment prevails. For ML-MoS₂ this effect leads to asymmetric S values for electrons (≈ 0.69) and holes (≈ 1.11), the latter even exceeding the limit of 1 in the traditional Schottky-Mott rule. From this insight, we propose an empirical expansion of the Schottky-Mott rule to

account for the specific effects of the substrate's ϵ_r . This enables accurate predictions of the Schottky barrier height for ML-MoS₂ on virtually any supporting substrate. We propose that the expression for the expanded Schottky-Mott rule should be applicable to any 2D semiconductor monolayer, provided that appropriate benchmark data are available. The presented comprehensive picture of energy level alignment mechanisms enhances the reliability of interface design and electrical contact optimization for applications relying on 2D semiconductors.

METHODS

Sample Preparation. MoS₂ monolayers were grown on the substrates *via* chemical vapor deposition (CVD)⁷⁰ and transferred onto preprepared target supporting substrates using (1) the sonication method reported by Ma et al.¹⁶ or (2) the thermal release tape method (TRT).⁷¹ In brief, First, poly(methyl methacrylate) (PMMA, Micro Chem) layer was spin-coated onto the as-grown MoS₂ monolayer film and baked to form a stable scarified layer. (1) For sonication method, the PMMA/MoS₂ monolayer was sonicated for 1 min in a deionized water. After then, the PMMA/MoS₂ was peeled off and deposited on the Au, Ag (111), SiO₂, *n*-Si and HOPG. The fabricated structure of PMMA/MoS₂/substrates was baked for 1 h at 120 °C. (2) For TRT method, the TRT was attached on the PMMA/MoS₂ monolayer. After then, TRT/PMMA/MoS₂ monolayer was mechanically peeled from the as-grown MoS₂ monolayer film. After the separated TRT/PMMA/MoS₂ was transferred onto prespin coated P3HT (PCBM)/ITO substrate, the TRT was released from the sample by heating on a hot plate at 110 °C for 2 min. Finally, for both cases, the top PMMA layer was removed by using the acetone. To ensure the possible damage of relative weak organic layer (P3HT and PCBM), pristine Poly(3-hexylthiophene-2,5-diyl) (P3HT) and [6,6]-Phenyl C61 butyric acid methyl ester (PCBM) on ITO that were dipped in the acetone for 20 min and it were tested using ARPES and optical microscopy, which are proven to no change.

ARPES and ARIPEs Measurements. Prior to the ARPES and ARIPEs measurements, all MoS₂ monolayer samples were annealed overnight at 300–350 °C *in situ* in an ultrahigh vacuum (UHV) preparation chamber (10^{−9} mbar) to remove carbon contamination and residual poly(methyl methacrylate) involved during the transfer process. Valence band spectra were obtained using a hemispherical electron analyzer (Phoibos-100 and SCIENTA DA30) with standard He I_α (21.22 eV) discharge lamp. To obtain the accurate VBM onset, the contribution from the He I_β satellite was removed in all PES spectra presented in this study. External bias of −10 V is applied to the sample to measure the reliable secondary electron cutoff (SECO) spectra. Conduction band spectra were obtained using the isochromat mode photodetector consisting a BaO cathode and a band-pass filter of 9.5 eV (SrF₂ + NaCl) with a low-energy electron gun. The energy reference of both instruments was calibrated by measuring the Fermi edge of clean Au (111) sample. The instrumental energy resolution was of 0.14 and 0.30 eV for ARPES and ARIPEs, respectively. Deconvolution procedure were carried out for IPES spectra to obtain the more accurate onset of CBM, and the details are in previous studies.⁴⁰

Optical Measurement. Reflectance measurements were either performed using a microscope setup (samples on *n*-Si, SiO₂/Si, and HOPG) or using an integrating sphere (samples on Au and sapphire). White light from a tungsten halogen lamp was used. The differential reflectance (DR) spectra, defined as $DR = (R - R_0)/R_0$ were used to obtain exciton transition energies on the opaque substrates. Here, *R* is the reflectance from MoS₂ monolayer on the substrate and *R*₀ is the reflectance from the substrate only. For MoS₂ monolayer on sapphire and Au, the DR spectra were multiplied with (−1) for clarity. In order to extract the positions of the A and B exciton and compare them to literature, the DR spectra on SiO₂/Si and HOPG were modeled with a Lorentz oscillator model and fitted with a transfer matrix method using the dielectric functions of the substrates from literature.

Absorbance (samples on ITO) was measured using a PerkinElmer Lambda 950 UV–vis–NIR spectrometer. PL spectra were obtained in a microscope PL setup exciting with a laser diode at 2.82 eV. The emission was dispersed in an Acton SpectraPro 2500i spectrograph equipped with a liquid nitrogen cooled CCD (Acton SPEC-10:100).

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsnano.1c04825>.

Optical microscopy images; Differential reflectance/absorption spectroscopy; Photoluminescence spectroscopy; Information on supporting substrates; Atomic force microscopy images of the supporting substrates; Calculated band structure of ML-MoS₂; Angle-resolved photoemission spectroscopy of ML-MoS₂ (PDF)

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Author Contributions

S.P., T.S., S.B., X.X., D.S., and P.A. performed ARPES and ARIPEs measurements, and analyzed all data, under supervision of N.K., N.M., and S.B. performed optical measurements to ensure sample quality. S.P., T.S., S.B., X.X., D.S., P.A., and N.K. wrote the manuscript. D.S., T.S., and P.A. revised the manuscript. A.A., A.H., H.S.K., C.L., and V.T. prepared the ML-MoS₂ samples. All authors commented on the manuscript.

Notes

The authors declare no competing financial interest.

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