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Abstract: Recent work has developed a new mathematical approach to optimally choose beam elements for constant wavelength neutron powder diffractometers. This article compares Monte Carlo computer simulations of existing instruments with simulations of instruments using configurations chosen using the new approach. The simulations show that large performance improvements over current best practice are possible. The tests here are limited to instruments optimized for samples with a cubic structure which differs from the optimization for triclinic structure samples.

1 Computer Simulation tests of optimized neutron powder 2 diffractometer configurations

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9 **Abstract:** Recent work has developed a new mathematical approach to optimally choose beam
10 elements for constant wavelength neutron powder diffractometers. This article compares Monte Carlo
11 computer simulations of existing instruments with simulations of instruments using configurations
12 chosen using the new approach. The simulations show that large performance improvements over
13 current best practice are possible. The tests here are limited to instruments optimized for samples
14 with a cubic structure which differs from the optimization for triclinic structure samples.

15 1. Introduction

16 Neutron powder diffraction is a valuable technique in studies of condensed matter. In
17 comparison with other techniques, notably X-Ray diffraction, neutron diffraction has advantages in
18 locating light atoms in crystal lattices and elucidating magnetic structures. The intensities in neutron
19 scattering work are very low and so measurements are usually quite slow and are usually conducted
20 with relatively poor resolution. In this context, it would be useful to improve the performance of
21 neutron powder diffractometers (PDs).

22 Neutron powder diffractometers must distinguish the Bragg peaks scattered from a sample
23 and this may be done using time-of-flight (TOF) methods (usually using a spallation neutron source)
24 or using a crystal monochromator to produce a constant wavelength (CW) beam (usually using a
25 reactor source).

26 For CW PDs, one common instrumental arrangement is to have a primary spectrometer,
27 which delivers a CW beam to the sample, followed by a sample and a collimator-detector pair which
28 is stepped through a range of scattering angles, $2\theta_s$, to produce a map of scattered intensity as a
29 function of $2\theta_s$. It is usual now to use a bank of many collimator-detector pairs to speed data
30 collection. The primary spectrometer consists of the source and a crystal monochromator with beam
31 collimators between source and monochromator and also between monochromator and sample.
32 Assuming that the scattering plane is horizontal (as is usual), the monochromator is often vertically
33 curved or “focussed” to increase vertical beam divergence and hence intensity at the sample. Many
34 hope that horizontally curved monochromators may be exploited to further increase count rates by
35 transforming beam spatial spread to angular spread thus increasing the flux at the sample position. A
36 second common arrangement is to use an open geometry where the “banana” detector is a continuous
37 multi-wire position sensitive detector (PSD). Collimated geometries have the advantages that noise
38 tends to be low and that large samples can be used although, in practice, large samples are often
39 simply unavailable. In any case, multiple scattering from the sample reduces the beam fraction
40 scattered usefully and is a major contributor to background, so samples are usually chosen to scatter a
41 maximum beam fraction of $1/e$. Open geometries have the advantage of greatly increased count rates

42 due to the larger effective detector solid angle but are sensitive to exact sample position and tend to be
43 more susceptible to noise. Radial oscillating collimators between sample and detector are often used
44 to reduce noise somewhat at a modest cost in count rate (of order 10%).

45 Recent work [1,2] presents a new “Acceptance Diagram” approach to describing beams from
46 primary spectrometers and an analytic approach to optimize the choice of beam elements for CWPDs.
47 The “optimization” minimises the RMS value of R_p , the “peak separation ability”, at a fixed
48 instrument transmission. R_p is the ratio of the Bragg peak angular widths ($A_{1/2}$, the peak FWHM) to
49 the expected separation of neighbouring peaks calculated from the peak density in reciprocal space for
50 the sample type considered. For samples with cubic structures this is $R_p = A_{1/2} a_0^2 \lambda^{-2} \sin 2\theta_s$ where
51 a_0 is the cubic unit cell side length. The optimization shows that at constant wavelength one can scale
52 the values of beam collimations, mosaic and vertical divergence and that the instrument transmission,
53 τ , or the peak intensity, L , is then proportional to $A_{1/2}^4$ or R_p^4 . Any quality factor, Q_{PD} , for the
54 instruments must then include a τ/R_p^4 term. For reference, a $\sqrt[4]{10} \approx 1.8$ fold improvement in
55 resolution at constant peak intensity is equivalent to a 10 fold increase in count rate at constant
56 resolution. The optimization is less clear on the effect of wavelength but numerical tests seem to
57 show that to measure some desired range of sample d -spacings, the wavelength should be made
58 almost as long as is possible. The results of the optimization [2] suggest that a proper choice of
59 elements can deliver large performance gains over current best practice, better described as reduced
60 losses.

61 While the optimization mathematics is self-consistent and has been checked in several ways,
62 some further independent verification would be useful. Some would say that the only true test of a
63 prediction of instrument improvement is to build a new instrument and compare the data with that
64 from existing machines. Such an exercise would cost many million Euros and permit testing only a
65 single configuration. In the absence of a widely accepted expression for Q_{PD} for these measurements,
66 it is surprisingly difficult to compare different instrument configurations unless the performance
67 differences are truly dramatic. Significant work has been devoted to developing, testing, comparing
68 and benchmarking “Monte Carlo” (MC) computer simulation packages for neutron scattering
69 instruments, notably “McSTAS”, “RESTRAX” and “VITESS” [3-5]. These programs have proved
70 useful and cost effective in designing neutron scattering instruments. They provide a relatively cheap
71 and quick way to accurately compare many different instrument configurations.

72 This article presents data from MC simulations of existing best practice CW PDs and of
73 instrument configurations optimized using the new methods. The simulations were conducted in
74 McSTAS (by LDC) and independently in VITESS (by KL) and then compared for consistency to
75 provide an additional check of their validity. All figures (except figure 5) display the McSTAS data.
76 The reference instruments here are taken to be the instruments D2B and D20 at the Institut Laue-
77 Langevin, highly regarded examples of so-called High Resolution and High Intensity PDs (HRPD &
78 HIPD).

79 Computer simulations such as those presented here cannot prove that the proposed new
80 configurations are optimal so this article simply shows that the optimization procedure delivers
81 significant performance improvements. There are an infinite number of optimal configurations for
82 neutron CW PDs; even for those delivering particular resolution characteristics. There are many,
83 many more non optimal configurations. This work should therefore be regarded as a preliminary and
84 limited illustration of the improvements and possibilities offered. It is hoped that application of the
85 new optimization method will lead to better instruments, better use of existing technology for
86 instrument components, to better measurements, to new types of measurements and to other
87 unexpected improvements.

88 The Monte Carlo simulations are independent of the mathematical optimization so that even if
89 the improved instrument configurations described here had been discovered by accident or guesswork
90 they would still represent a significant and useful advance on current best practice. That the
91 improvements have been found using a rational approach makes them more believable and useful.

92 2. A baseline – simulations of existing instruments

93 A list of symbols used for instrument parameters is presented in Appendix A. Appendix B
94 presents details of the parameters used in each of the simulations which should allow the verification
95 of the results presented here.

96 D2B is a conventional collimated high resolution CW PD using a bank of 128 detectors and
97 5' FWHM collimators separated by 1.25° thus spanning 160° in $2\theta_s$. The instrument uses a 0.30 m
98 high vertically focussed monochromator (VFM). There is some freedom in choosing the collimation
99 between source and monochromator, ($\alpha_1 = 5', 10'$ or open ($\approx 22'$) FWHM) and a variable detector
100 height, $2H_D$. The wavelength, λ , used is usually either 1.594\AA or 2.4\AA . The two most commonly
101 used configurations (E. Suard 2009 *Private Communication*) use $\alpha_1=5'$, a germanium (Ge533)
102 monochromator at Bragg angle $\theta_M=67.5^\circ$ giving $\lambda=1.594\text{\AA}$ and $2H_D=0.30$ m or 0.10 m.

103 D20 is a conventional open geometry CW PD using a large PSD with 1536 detector wires
104 covering 153.6° in $2\theta_s$. The McSTAS models here use 1601 wires over 160.1° . D20 has a number of
105 available Bragg angles and monochromator crystal types, all vertically focussed, and the possibility to
106 introduce a $10'$ or $20'$ α_1 collimator before the monochromator.

107 All simulations use a cylindrical sample of $\text{Na}_2\text{Ca}_3\text{Al}_2\text{F}_{14}$ ("NAC") powder 8 mm in diameter
108 and 20 mm high, unless otherwise stated. Note that the McSTAS PowderN sample component used
109 does not model multiple scattering and has been used here with no incoherent scattering or absorption
110 so there is no background in the simulations. The VITESS simulations include absorption in the
111 sample. Multiple scattering is a major contributor to background on CW PDs. The monitors used in
112 McSTAS simulations to represent detectors usually deliver the intensity as counts per second rather
113 than the total counts at the detector. This has the benefit that when increasing the number of neutron
114 packets simulated the statistical fluctuations reduce but the intensity does not change. When
115 comparing data acquired from a scan using a single collimator and detector (or a multi-collimator
116 multi-detector bank) with a scan acquired using a banana PSD, account must be taken of the different
117 detector solid angles. In practice, a multi-detector bank must be stepped many times to complete a
118 scan while a PSD takes a single measurement. For valid comparisons, the summed multi-detector
119 bank intensities must be divided by the number of steps in the scan to account for the division of
120 counting time. In the simulations described here, the number of steps is chosen to give complete
121 angle coverage in the scan so for D2B the scans in the simulation are run using $15 \times 5'$ steps. The
122 data from each step are then summed and the totals divided by 15 to compensate for the division of
123 total counting time needed. All McStas simulations assume a 100% detector efficiency.

124 Figure 1 shows the simulated intensity as a function of scattering angle, $I(2\theta_s)$ for D2B as
125 well as the calculated variation of angular resolution, $A_{1/2}(2\theta_s)$ and of $R_P(2\theta_s)$. Figure 1a shows a
126 simulation using Ge533 at $\theta_M=67.5^\circ$, $\lambda=1.594\text{\AA}$, $\alpha_1=5'$ and $2H_D=0.10$ m. Figure 1b uses the same
127 parameters except that $2H_D=0.30$ m. Figure 1c shows a much less frequently used configuration
128 where $\alpha_1=10'$ and $2H_D=0.10$ m which gives resolution almost identical to the usual high resolution
129 mode and intensity comparable to the usual high intensity mode. The recognition that this particular
130 configuration may be more useful came from a comparison of calculated values of Q_{PD} (taken to be
131 $\tau/R_{P_RMS}^4$) for all D2B configurations. This illustrates that an established and accepted optimization

132 process for neutron scattering instruments, especially if it includes a quantitative quality factor, can
133 give information about the use of the machines as well as about their design and construction.

134 Values for $A_{1/2}(2\theta_s)$ and of $R_p(2\theta_s)$ were extracted from the simulation output data, $I(2\theta_s)$,
135 using simple statistical methods and found to be in close agreement to the calculated values in all
136 cases discussed in this article except figure 5. Calculated values for D2B are illustrated in figures 1d
137 and 1e.

138 A comparison between the illustrated data calculated using McSTAS and VITeSS and real
139 D2B data taken using a NAC sample shows extremely good agreement in peak shape except that the
140 peaks at large $2\theta_s$ tend to be slightly lower and wider, no doubt due to Debye-Waller effect
141 broadening from the room temperature sample which was not realistically modelled in these McSTAS
142 simulations. The background present in the real data is also not present in simulated data but can be
143 artificially reproduced by adding an incoherent cross section of about 200 barns to the sample in the
144 McSTAS simulations. The close comparison gives confidence that these simulations are
145 representative of actual instruments.

146 Figure 2 shows simulated data, $I(2\theta_s)$, $A_{1/2}(2\theta_s)$ and $R_p(\kappa)$, for D20 using a pyrolytic graphite
147 monochromator (PG002) at $\theta_M = -21^\circ$ and a germanium (Ge311) monochromator at $\theta_M = -45^\circ$ both
148 giving $\lambda = 2.41 \text{ \AA}$ and both used with an open beamtube before the monochromator giving $\alpha_1 \approx 24'$. A
149 0.05 m thick pyrolytic graphite filter was included in the model for the PG002 scan. Introducing an
150 α_1 collimator seems to make little difference to the simulated instrument resolution but reduces
151 intensity greatly.

152 Note that for D2B, where θ_M is positive, the data ranges from $-10^\circ > 2\theta_s > -170^\circ$ while for
153 D20, where θ_M is negative, the data ranges from $10^\circ < 2\theta_s < 170^\circ$. Note also that when the value of
154 R_p exceeds 0.5 neighbouring Bragg peaks overlap. In practice, Rietveld analysis methods can be
155 applied to extract useful data from overlapping peaks and overcome this problem to some extent.

156 TOF PDs on short pulse sources have the advantage that there is no real need to waste much
157 source flux in monochromating the beam. CW PDs have the advantages that they can exploit
158 wavevector focussing and vertical beam focussing both of which are more challenging to do with
159 TOF machines. Stride *et al.* [6] used MC computer simulations to compare the performance of a CW
160 PD and a Time-of-Flight (TOF) PD. They concluded that the performance of the TOF machine
161 simulated was better than that of their reference CW PD by a factor of between 3 and 14. The CW
162 PD they modelled used distances of 10 m from source to monochromator, 2m from monochromator to
163 sample and 1.5 m from sample to detector. The source was $6 \times 10 \text{ cm}^2$ source, $\alpha_1 = \eta_M = \alpha_3 = 12'$ and they
164 used a $20 \times 20 \text{ cm}^2$ VFM of 30% reflectivity. Figure 3 shows data from a McSTAS simulation
165 modelling this CW PD. 61 detectors were modelled and stepped over 2.5° in $30 \times 5'$ steps. The
166 simulated count rate here was divided by 30 to account for the time division between steps. Note that
167 the monochromator reflectivity here is lower than the value of 40% assumed for germanium
168 monochromators in the other simulations described here so the intensity was multiplied by $4/3$ to
169 allow for this. The 85% detector collimator transmission is much higher than that used in the other
170 simulations so the intensity has also been multiplied by $0.7/0.85$ to compensate for this.

171 Comparison with the performance of the optimized machines presented later seems to show
172 that the optimized CW diffractometers are better than this design by more than a factor of 3-14. This
173 result in no way proves that CW PDs are superior to TOF PDs in general but it does re-open the
174 question. To answer that question would require a comparison between optimized CW PDs and TOF

175 PDs. It appears likely that the method used to derive the CW PD optimization applied here could be
176 adapted with some work to produce an optimization for TOF PDs.

177 3. Optimized high resolution CW PD configurations using collimators

178 In calculating optimized instrument parameters, there is considerable flexibility in the choice
179 of primary spectrometer parameters to deliver a given beam, as discussed in [1] and [7]. For the
180 optimized configurations discussed in this section, this flexibility has been used to maintain $\theta_M=67.5^\circ$
181 and $\lambda=1.594\text{\AA}$ to simplify comparisons to figure 1. In general, for horizontally flat monochromators,
182 θ_M must be greater than θ_{SF} , the sample scattering angle where the angular resolution is best. By
183 contrast, for concave horizontally focussed monochromators, θ_M must be less than θ_{SF} . Using smaller
184 values for θ_M may offer some technical advantages. All optimizations discussed in this article assume
185 that the sample has a cubic structure and that the scattering range of interest is $20^\circ < |2\theta_S| < 160^\circ$. The
186 optimization for triclinic structures is different to that for cubic structures and requires a larger value
187 for θ_{SF} . The purpose of this work is to test if the optimization approach delivers improvements over
188 current practice.

189 Figure 4 shows $I(2\theta_S)$, $A_{1/2}(2\theta_S)$ and $R_P(\kappa)$ for three optimized versions of D2B using
190 collimators and horizontally flat VFMs. Figure 4a illustrates a high resolution configuration using the
191 current detector collimation, $\alpha_3=5^\circ$. Note that the resolution here is markedly better than that in figure
192 1a. This better resolution configuration has reduced peak intensity. One expects intensity to scale as
193 the 4-th power of resolution (the intensity loss here is smaller than that) and peak height to be
194 proportional to intensity divided by peak width. Because of the better resolution, the peak heights
195 here are quite comparable to those in figure 1a despite the better resolution and lower intensity.

196 Figure 4b shows a high resolution configuration using $\alpha_3=7.94^\circ$ chosen to give an RMS value
197 of R_P , R_{P_RMS} , equal to that for figure 1a. Thus, this configuration delivers resolution equivalent to the
198 current highest resolution D2B configuration but with roughly four times the intensity. How does this
199 arise? The optimization balances the resolution contributions from in plane beam divergence before
200 and after the sample, vertical divergence before and after the sample and the wavelength spread. In
201 this case, increasing the in-plane divergence and compensating by reducing vertical divergence one
202 can obtain better transmission at the same resolution. In effect, one reduces the losses in the
203 instrument. Significantly, the $\alpha_3 \approx 8^\circ$ collimation used here is larger than the 6° collimation of the
204 existing D20 PSD. That means that one could achieve better resolution than the current best on D2B
205 using an open geometry CW PD and the additional solid angle coverage of the PSD would give a
206 factor of 15 increase in count rate.

207 Figure 4c shows a higher intensity collimated configuration using 10° detector collimators.
208 This represents the highest intensity optimized collimated configuration achievable on the D2B
209 beamtube with $\theta_M=67.5^\circ$; the limitation here is the natural collimation of the beam between source
210 and monochromator. Clearly, it would be possible to increase that divergence using a guide between
211 source and monochromator or reducing the distance between source and monochromator. It is also
212 possible to adjust other parameters to optimally use a larger detector collimation but it is simpler to
213 produce higher intensities using an open geometry and smaller θ_M as discussed in the next section.
214 The optimized configurations scale in α_1 , η_M , α_2 , α_3 , $\sqrt{\phi_2}$ and $\sqrt{\phi_3}$ with intensity proportional to α_3^4 so
215 that in principle and in simulations one can simply adjust the parameters to achieve the desired
216 intensity-resolution trade-off for the problem under consideration.

217 **4. An optimized high resolution CW PD using open geometry and a horizontally** 218 **curved monochromator**

219 Figure 5 shows the VITESS simulated scan data for an optimized open geometry CW PD
220 using a double focussed monochromator (DFM) curved both horizontally and vertically for
221 comparison to D2B as described in §2 and the optimized collimated configurations described in §3.
222 In these examples the geometry is constrained to use the existing D20 beamtube, monochromator
223 position and PSD. These configurations use $\theta_M = -45^\circ$ (an existing D20 Bragg angle). To facilitate
224 comparison with the data from the optimized collimated machines, the wavelength is maintained at
225 $\lambda = 1.594 \text{ \AA}$ in the simulations. A Ge511 monochromator crystal gives $\lambda = 1.594 \text{ \AA}$ at $\theta_M = -47.06^\circ$ and
226 $\lambda = 1.54 \text{ \AA}$ at $\theta_M = 45^\circ$ so the monochromator d_M value used in the simulations does not correspond
227 exactly to any germanium Bragg peak: this is a minor detail. It is very expensive in intensity to
228 improve resolution. Similarly it is expensive in intensity to increase the number of peaks in a scan.

229 Figure 5a shows a high resolution instrument, optimised to fully use the 6' detector
230 collimation available using the D20 PSD. To achieve 6' collimation for α_3 here requires restricting
231 the sample diameter to 2.5 mm to match the detector wire spacing. This reduces the sample volume
232 by more than a factor of 10 when compared to an 8 mm diameter sample but even so the count rate is
233 increased by an order of magnitude when compared to D2B's usual high resolution mode.

234 Figure 5b shows the same configuration with an 8 mm diameter sample which gives an
235 effective value of about 12' for α_3 . The contribution to $A_{1/2}$ from α_3 is only one of four contributing
236 elements and so the effect of changing α_3 , even doubling it as here, is not extremely big.

237 Surprisingly, in the equivalent McSTAS simulations, changing the sample size had showed
238 no noticeable effect on peak width although intensities increased by an order of magnitude. Given
239 that such an effect is expected and is seen as expected in the VITESS simulations it seems that the
240 McSTAS "PowderN" component used in all simulations here may have some issue in scattering
241 virtual neutrons from the correct position in the sample. All other McSTAS simulations use an 8 mm
242 diameter cylindrical sample 20 mm high.

243 **5. Optimized CW PDs using open geometry and horizontally curved monochromators**

244 Figure 6 shows data from McSTAS simulations of optimized open geometry CW PDs with
245 varying resolution all using DFMs. In plane collimation is provided by two slits placed between the
246 reactor face and the monochromator. This arrangement gives great flexibility in the instrument's
247 resolution characteristics. The first slit acts as a virtual source and defines the angular width of the
248 beam reaching each point at the monochromator. The horizontal monochromator focussing is
249 arranged so that the monochromator focusses from the virtual source to the sample. A second slit just
250 before the monochromator controls the beam width there and immediately after the monochromator
251 and thus controls the beam angular width visible at the sample. Both of these slits could be very
252 heavy (perhaps 0.2 or 0.5 m thick) to reduce the gamma ray and fast neutron flux before the
253 monochromator and thus reduce the radiation load on the monochromator and its shielding and the
254 resulting background. If the virtual source is placed on a rail to allow its position with respect to the
255 monochromator to be varied, its position can then be used to adjust the detector scattering angle where
256 the resolution is best, $2\theta_{SF}$, without adjusting the monochromator Bragg angle. The vertical beam
257 divergence must also be adjusted to maintain an optimal configuration if the in plane divergences are
258 varied and this can be done using slits before the monochromator and just in front of the detector
259 bank. The monochromator here is Ge511 at $\theta_M = -45^\circ$ giving $\lambda = 1.54 \text{ \AA}$. The three configurations
260 simulated in figure 6 are the same machine with altered slit widths being the only changes. Here, the

261 PSD detector has been simulated with 1601 wire over 160.1° . To match the highest resolution mode
262 on D2B requires only $8'$ detector collimation so this could be accomplished with a smaller number of
263 detector wires or a detector of smaller radius. Reducing the number of wires should reduce the cost of
264 the detector electronics and increasing the wire spacing should reduce cross talk between channels.
265 Of course, this would also increase the count rate in each detector bin.

266 The McSTAS simulations here show slightly higher peaks in figure 6a than the equivalent
267 VITESS results, probably due to the McSTAS “PowderN” effects noted in §4 but figures 6b and 6c
268 show no such difference.

269 Many in the diffraction community accept a fundamental difference between HRPDs and
270 HIPDs. The description of the optimization in [2] shows that changing the resolution-intensity trade-
271 off is simply a matter of scaling. These simulations demonstrate that it is possible to design an
272 instrument giving great and simple flexibility in the resolution–intensity trade-off while
273 simultaneously delivering count rates and resolution comparable to the best currently possible but
274 requiring different machines and design philosophies. The increased count rates illustrated here arise
275 from the combination of several effects which are better regarded as reducing losses than as
276 enhancing intensity. These effects are

- 277 • Matching beam elements to each other (the optimization) ($\times 1.5 - \times 16$ or more)
- 278 • Increased solid angle coverage by Position Sensitive Detector ($\times 15$)
- 279 • Generation of rectangular rather than triangular profiles for $\tau(\gamma)$ (*ideally* $\times 2\sqrt{2}$)
- 280 • Removal of transmission losses in collimators ($\approx \times 4$)

281 The beam paths on the existing instruments pass through air which reduces the neutron flux
282 by about 6% per metre travelled. Evacuating these paths as much as is practicable or using ^4He filled
283 flight tubes (beam loss $\approx 0.3\%$ per metre) could provide a further count rate gain of about $\times 2.5$. This
284 would not necessarily be an easy thing to do but the improved performance would probably repay the
285 effort. This has not been simulated here.

286 6. Optimizing for a small $2\theta_s$ range

287 The instrument configurations discussed above were all designed to measure over a large
288 range of $2\theta_s$ as would be the case if collecting a large number of peaks to determine a crystal
289 structure. There are measurements where the interest is mainly in some small section of the full
290 pattern; as, for example, when separating peak splitting due to some phase transformation. There are
291 also dedicated instruments such as strain scanners which are designed specifically to measure over
292 only a very small range of $2\theta_s$. It is possible to optimize a CW PD for such measurements.

293 Figure 7a shows the pattern for a CW PD optimized to measure between $80^\circ < 2\theta_s < 100^\circ$
294 using $\theta_M = -45^\circ$ and $\lambda = 1.594\text{\AA}$. Figure 7b shows the pattern for an instrument optimized to measure
295 between $2\text{\AA} < d_s < 3\text{\AA}$ using $\theta_M = -21^\circ$ and $\lambda = 2.41\text{\AA}$. Note the enhanced resolution and peak height
296 in the region of interest. The drop lines in figures 6c and 6d indicate the range over which optimum
297 resolution is sought. These instrument configurations have not been optimized in detail for
298 wavelength variation, which is needed to get the best results in these cases, but rather to use
299 instruments easily adapted from existing machines. Figure 7 is merely an indication of the
300 possibilities in this regard. Optimising over a smaller angular range and including a change in
301 wavelength can produce even larger gains in both resolution and peak height although some

302 challenges are likely to be met here as an instrument optimised for a very small θ_S range needs a very
303 wide beam at the monochromator which would make shielding and background problematic.

304 **7. A “Magnetic Materials Powder Diffractometer” – measurements at large d_S**

305 The intensity-resolution trade-off for optimized CW PDs simply results in a scaling of
306 instrument angular divergences as the desired transmission changes. This means that any
307 fundamental distinction in design between HRPDs and HIPDs disappears. There is, however, a
308 distinct application for neutron CW PDs in investigating magnetic structures. Often, the unit cell of
309 magnetic structures is quite large meaning that magnetic Bragg peaks are found at relatively small
310 scattering vectors, κ , (*ie* small $2\theta_S$). In addition, magnetic form factors mean that magnetic peaks tend
311 to become very weak or invisible at large κ . So, for magnetic studies the range of interest is often
312 confined to small κ . Designing an instrument for such work may result in a resolution characteristic
313 such as that illustrated in figure 2a for D20 using a PG002 monochromator where the resolution is
314 very good at small $2\theta_S$ but poor at large $2\theta_S$ and R_P exceeds 0.5 (*ie* peaks are no longer resolved) if
315 $2\theta_S > 94^\circ$ (*ie* $\kappa > 3.8 \text{ \AA}^{-1}$ or $d_S < 1.65$). If interest is genuinely limited to small κ , then using long
316 neutron wavelengths becomes a sensible option, particularly if the beam comes from a cold source
317 which enhances the intensity delivered at long wavelengths. Then, relatively relaxed angular
318 resolution is sufficient to separate peaks and can be used to increase count rates.

319 Based on these considerations, figure 8 shows an instrument designed for measurements
320 limited to $\kappa < 3.8 \text{ \AA}^{-1}$. Following the principle adopted in this work that instruments should be
321 feasible at existing facilities, the instrument simulated here uses the ILL horizontal cold source
322 followed by an $m=2$ neutron guide 16.3 m long, 6 cm wide and 20 cm high with the monochromator
323 at a distance of 18.5 m from the source. The physical dimensions chosen mean that this instrument
324 cannot use optimised element choices but even so it is apparent that the longer wavelength gives very
325 large intensities and excellent peak separation in this situation.

326 The minimum resolution (maximum intensity) on an optimized CW PD at this position is
327 limited by the 20 cm limit on monochromator height. Intensity increases as the 4-th power of
328 resolution or the 8-th power of vertical divergence (*ie* monochromator height) and much higher
329 intensity could be achieved by using a higher, narrower guide. Alternatively, the instrument could be
330 optimized to deliver even better resolution. Notice that existing diffuse scattering diffractometers,
331 which usually also allow polarisation analysis, have a configuration which seems to be well adapted
332 to this application of studying magnetic Bragg peaks. The new optimization process used here can be
333 applied unaltered to diffuse scattering diffractometers.

334 **8. Optimized CW PDs using a shaped mask to control the effective detector height**

335 It is usual on CW PDs to use a constant detector height at all scattering angles, $2\theta_S$. The
336 expression for Bragg peak intensity on CW PDs contains a $1/|\sin 2\theta_S|$ term to allow for the effect of
337 fixed detector height intersecting a larger fraction of the Debye-Scherrer cones at the ends of a scan.
338 As discussed in [2], the expressions for CW PD peak angular width, $A_{1/2}$, show that, if the detector
339 height, $2H_D$, is independent of $2\theta_S$, then at small and large $2\theta_S$ the contribution to $A_{1/2}$ due to vertical
340 divergence becomes proportional to $|\cot 2\theta_S|$ and diverges. Similarly, at large (but not small) $2\theta_S$ the
341 in-plane contribution to $A_{1/2}$ also diverges (although less rapidly) in proportion to $(\tan \theta_S - \tan \theta_{SF})$.

342 This suggests that if the detector height were reduced at small and large $2\theta_S$ – specifically if
343 $2H_D \propto |\sin 2\theta_S|$ – then the resolution at the ends of the scans may be improved and the integrated peak
344 intensity due to resolution should then be constant through the scan. Detailed calculations were
345 undertaken to derive expressions for optimum beam element choices under this condition.

346 Simulations of the diffraction patterns for optimized CW PDs where $2H_D \propto |\sin 2\theta_S|$ are illustrated in
347 figure 9. The instrument modelled there is arranged as follows:

- 348 • A source
- 349 • A heavy slit (whose width, $2W_V$, and distance between source and monochromator, L_{1_VH} , are
350 variable) acting as a virtual source for horizontal monochromator focussing.
- 351 • A pair of heavy slits immediately preceding the monochromator to control beam width ($2W_M$)
352 and height ($2H_M$) there.
- 353 • A segmented double focussing mosaic monochromator with variable Bragg angle, θ_M ,
354 variable vertical and horizontal curvature and ideally with a rather large mosaic (consistent
355 with retaining good peak reflectivity). The monochromator is vertically focussed from source
356 to sample and horizontally focussed from virtual source to sample.
- 357 • An open flight path to the sample at distance L_2
- 358 • A cylindrical sample
- 359 • A shaped slit immediately before the detector bank with height proportional to $2H_{D_90} |\sin 2\theta_S|$.
360 In practice, this means the opening is a wedge segment of a sphere with variable opening
361 height.
- 362 • A multi-wire position sensitive detector.

363 A radial oscillating collimator should be included between the sample and the detector bank
364 and as much of the flight path as is possible should be evacuated or ^4He gas filled but these effects are
365 not modelled here.

366 This arrangement gives great flexibility. The vertical divergence before and after the sample
367 is controlled by the monochromator height, $2H_M$, and the detector height (specified by the value at
368 $2\theta_S=90^\circ$, $2H_{D_90}$). The monochromator Bragg angle, θ_M , determines the wavelength. The
369 monochromator in-plane radius of curvature, R_{MH} , set by the distance between monochromator and
370 virtual source, L_{1_VH} , in concert with θ_M determines the scattering angle where the resolution is best,
371 $2\theta_{SF}$. The ratio of the virtual source width, $2W_V$, to L_{1_VH} determines the in-plane beam divergence at
372 the monochromator (α_1) and the ratio of the monochromator's projected width, $2W_M$, to the distance
373 between monochromator and sample, L_2 , determines the in-plane beam divergence at the sample (α_2).
374 The beam divergence at the detector is set by the detector wire spacing.

375 Detailed calculations show that using such a detector mask slightly improves the calculated
376 quality factor ($Q_{PD} = \tau/R_P^4$) over that for detectors of constant height, although not by very much. The
377 simulated data shows that this arrangement increases the useful range of peak separation in a scan at a
378 cost in measured peak intensity near the ends of the scan (but recall that this is actually an equalising
379 of peak intensities).

380 9. Cross check between McSTAS and Vitess

381 All simulations were performed by LDC using the McSTAS simulation package [3] and then
382 independently repeated by KL using the VITESS package [5]. The data obtained, intensity as a
383 function of scattering angle, were fully consistent for the two simulation sets except for the case of
384 figure 5 as is discussed above. The directly accessible quantities, the total detector count rate, I_{Tot} , and
385 the line widths, agree well with differences usually below 10%. Better than 10% agreement for I_{Tot}
386 and $A_{1/2}$ cannot really be expected because of the different modelling of sample, monochromator and

387 collimators in the two simulation packages. The simulation data were independently analysed using
388 different evaluation routines. For the McSTAS data, the peak widths are described as the ratio of
389 peak angular width to expected peak spacing, R_{p_RMS} , as described above. For the VITNESS data, the
390 peak width was taken to be the arithmetic average of the peak angular widths.

391 Table 2 in appendix A presents these data as well as an estimate of a normalised figure-of-
392 merit, Q_{PD} , for each simulation. Hewat (*private communication*) has suggested that a powder
393 diffraction quality factor should be proportional to the number of peaks observed, N_L . I_{Tot} is related to
394 the individual peak transmission, τ , multiplied by N_L and so here, Q_{PD} is calculated as $I_{Tot}/R_{p_RMS}^4$ for
395 the McSTAS data and as $I_{Tot}/A_{1/2_Av}^4$ for the VITNESS data. In our view, the numerical Q_{PD} values
396 should be treated with some caution but the pictures presented clearly show that large performance
397 improvements over current best practice are possible.

398 10. Discussion and Conclusion

399 In comparing the scan data for the various configurations discussed above it should be borne
400 in mind that improving resolution is usually very expensive in individual peak intensity. Increasing
401 the number of peaks by reducing the wavelength requires improved angular resolution to maintain
402 peak separation ability and divides the total scattered intensity (which is roughly constant) between a
403 larger number of peaks; so this is also very expensive in individual peak intensity. The current
404 difficulty in quantitatively comparing the quality of data taken at different wavelengths is one reason
405 that the majority of new models simulated here used the same wavelength.

406 The optimization criterion used in designing the machines tested in this work was to minimise
407 the RMS value of R_p at fixed integrated peak intensity (strictly instrument transmission) over a chosen
408 scattering angle range, usually $20^\circ < |2\theta_s| < 160^\circ$. The RMS value rather than the average or the
409 maximum value of R_p was chosen simply to make the mathematics tractable. There may be some
410 better optimization criterion.

411 The optimized configurations help to guide the choice of the best available technology. In
412 particular, using a PSD detector gives a gain factor of $\times 15$ over the 128 detector bank modelled here
413 because there is no need to divide the counting time to step the detector bank. Multi collimator
414 detector systems are usually chosen to achieve high resolution for large samples but the simulations
415 here confirm that very high resolution can also be achieved with a PSD. The high resolution option of
416 the instrument described by figure 6a has about the same resolution as the current high resolution
417 setting of D2B, figure 1a. Line widths below 0.25° can easily be reached as shown in figures 6, 7 and
418 9. As the resolution when using a PSD is limited by both the sample and detector pixel sizes, in this
419 case to about 0.2° , higher resolution requires reducing the sample diameter which may reduce the
420 intensity advantage of a PSD to some extent. Of course, being able to use smaller samples effectively
421 is a great advantage for these instruments.

422 Matching all parameters in the diffractometer through the optimization yields further
423 performance improvements. While the vertical monochromator curvature modelled here gives a gain
424 factor of about $\times 4$ by comparison to a flat monochromator, the horizontal curvature used in many of
425 the models does not really deliver flux gains; its role is to adjust the slope of angle-wavelength
426 correlations in the beam at the sample and hence control the detector angle at which the resolution is
427 best. Using slits rather than collimators to control beam divergence reduces transmission losses.
428 Adding these effects to the reduced losses in a well balanced PSD PD seems to result in performance
429 improvements of 2 orders of magnitude or more. As an example, the high resolution option shown in
430 figure 6a has about the same resolution as the best D2B option (figure 1a) but more than 100 times the

431 count rate. Another interesting result from this work is that the optimal detector height is smaller than
432 seems to be usual practice.

433 The optimization itself only delivers a part (albeit a significant part) of the gain factors
434 demonstrated. Further potential gains from reduced air scattering, rectangular profile beam angular
435 transmission and removing lossy collimators have all been understood for some time. Better use of
436 existing monochromator crystal types may give further gains. None of the simulations considered air
437 attenuation on instruments which results in a loss in beam flux of approximately 6% per metre. Over
438 the path from reactor face to detector on D2B (15 m) or D20 (16.7 m) this represents a loss of 2/3 of
439 the flux. The potential gains from evacuating or arranging ^4He filled flight paths (where the losses are
440 of order 0.34% per metre) are large. None of the simulations use a radial oscillating collimator which
441 would be used in practice on open geometry PDs and would reduce count rates by of order 10%.
442 Clearly, improved beamtube design and shorter source-monochromator distances or guides could
443 offer additional gains.

444 Very large improvements in neutron CW PD performance appear to be possible. Obviously,
445 this would permit better measurements to be conducted faster or to obtain good data from smaller
446 samples. Very rapidly acquired patterns should permit chemical reactions to be studied in real time.
447 The most exciting new possibilities are probably difficult to imagine now and would only become
448 apparent from using better machines. The gain factors demonstrated above are almost certainly really
449 achievable given that the configurations were derived mathematically and tested by calculation before
450 being tested using MC computer simulations which completely confirm the predictions.

451 **Acknowledgement:** We thank Emmanuel Farhi for useful discussions, for his long term
452 encouragement and for sharing information concerning instruments and simulation methods.

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465

466 Figure Captions

467 Figure 1 D2B with $\lambda=1.594\text{\AA}$, NAC sample $8\times 20\text{mm}^2$

468 (a) $I(2\theta_s)$ for $\alpha_1=5^\circ$, $2H_D=0.10\text{ m}$ (b) $I(2\theta_s)$ $\alpha_1=5^\circ$, $2H_D=0.30\text{ m}$

469 (c) $I(2\theta_s)$ for $\alpha_1=10^\circ$, $2H_D=0.10\text{ m}$

470 (d) $A_{1/2}(2\theta_s)$ and (e) $R_P(2\theta_s)$, the "peak resolving ability"

471 Solid, dashed and dotted lines correspond to a, b and c respectively

472 Figure 2 D20 at $\lambda=2.41\text{\AA}$, NAC sample $8\times 20\text{mm}^2$

473 (a) $I(2\theta_s)$ for PG002 monochromator (b) $I(2\theta_s)$ for Ge311 monochromator
 474 (c) $A_{1/2}(2\theta_s)$ – solid and dotted lines correspond to (a) and (b) respectively
 475 (d) $R_p(2\theta_s)$, – solid and dotted lines correspond to (a) and (b) respectively.

476 Figure 3 Results of a simulation of the CW PD configuration used by Stride *et al.* for
 477 comparison with TOF PDs. (a) $I(2\theta_s)$ (b) $A_{1/2}(2\theta_s)$ (c) $R_p(2\theta_s)$.

478 Figure 4 Optimized collimated CW PDs based on D2B using VFMs at $\lambda=1.594\text{\AA}$
 479 (a) $I(2\theta_s)$ $\alpha_3=5^\circ$ (b) $I(2\theta_s)$ $\alpha_3=7.94^\circ$ (c) $I(2\theta_s)$ $\alpha_3=10^\circ$
 480 (d) $A_{1/2}(2\theta_s)$ – solid, dashed and dotted lines correspond to (a), (b) and (c)
 481 (e) $R_p(2\theta_s)$ – solid, dashed and dotted lines correspond to (a), (b) and (c)

482 Figure 5 Optimized open geometry high resolution CW PDs using DFMs.

483 (a) $I(2\theta_s)$ $\alpha_1=4.1^\circ$ and $\lambda=1.594\text{\AA}$ 2.5 mm diameter NAC sample
 484 (b) $I(2\theta_s)$ $\alpha_1=4.1^\circ$ and $\lambda=1.594\text{\AA}$ 8 mm diameter NAC sample
 485 (c) $A_{1/2}(2\theta_s)$ (d) $R_p(2\theta_s)$ – solid and dotted lines correspond to (a) and (b) respectively

486 Figure 6 Optimized open geometry CW PDs using DFMs and an 8 mm diameter NAC sample.

487 (a), (b) and (c) show high, medium and low resolution configurations of the same
 488 instrument. The parameters used are listed in appendix B
 489 (d) $A_{1/2}(2\theta_s)$ (e) $R_p(2\theta_s)$ – solid, dashed and dotted lines correspond to a, b and c
 490 respectively.

491 Figure 7 Open geometry CW PDs using DFMs optimized for small range in angle or d_s .

492 (a) $I(2\theta_s)$ for an instrument optimized to measure between $80^\circ < 2\theta_s < 100^\circ$ at $\lambda=1.594\text{\AA}$
 493 (b) $I(2\theta_s)$ for an instrument optimized to measure between $2\text{\AA} < d_s < 3\text{\AA}$ at $\lambda=2.41\text{\AA}$
 494 (c) $A_{1/2}(2\theta_s)$ (d) $R_p(2\theta_s)$ – solid lines correspond to (a); dotted line to (b)

495 Figure 8 Magnetic Materials Powder Diffractometer “*partly optimized*” for $\kappa < 3.8 \text{\AA}^{-1}$ using
 496 open geometry and a DFM at $\lambda=3.5\text{\AA}$ (a) $I(2\theta_s)$ (b) $A_{1/2}(2\theta_s)$ (c) $R_p(2\theta_s)$

497 Figure 9 Simulation results for CW PDs optimized using variable detector height as discussed
 498 in the text. (a) $I(2\theta_s)$ High resolution mode (b) $I(2\theta_s)$ High intensity mode
 499 (c) $A_{1/2}(2\theta_s)$ (d) $R_p(2\theta_s)$ – solid lines correspond to (a); dotted line to (b)

501 Appendix A: Symbols used for parameters

502 Table 1: Symbols used for instrument variables

L_1, L_2, L_3	Distance Source-Monochromator, Monochromator-Sample, Sample-Detector (m)	$2H_M,$ $2H_S,$ $2H_D$	Full height of monochromator, Sample, detector (m)
$L_{1_VV},$ L_{1_VH}	Distance Monochromator to virtual source for vertical,	$R_{MH},$ R_{MV}	Monochromator radius of curvature (horizontal, vertical)

	horizontal focussing (m)		
θ_M	Monochromator Bragg Angle (degrees)	$2W_{VH}$	Full width of virtual source for horizontal focussing (m)
$\theta_S, 2\theta_S$	Bragg and scattering angles at sample (degrees)	$2W_M$	Full horizontal width of slit preceding monochromator (m)
θ_{SF}	Sample scattering angle at which the resolution is best	$2W_S$	Full width of sample (m)
λ	Neutron Wavelength (Å)	κ	Neutron Wavevector (Å ⁻¹)
α_1	Angular width (FWHM) of collimator between source and monochromator	β, η_M	Monochromator crystal mosaic
α_2	Angular width (FWHM) of collimator between monochromator and sample	$\pm\phi_2$	Vertical beam divergence between monochromator and sample
α_3	Angular width (FWHM) of collimator between sample and detector	$\pm\phi_3$	Vertical beam divergence between sample and detector
R_M	Monochromator peak reflectivity	τ_i	Peak transmission of i-th collimator
τ	Transmission	$A_{1/2}$	Angular resolution width in $2\theta_S$
R_P	“Peak resolving power” = $A_{1/2} \times$ peak angular density in $2\theta_S$	Q_{PD}	“Quality Factor” here usually Intensity / Resolution ⁴
α_{in}	Angular width (FWHM) of beam at sample		

503

504

Figure	Description	λ (Å)	No. Peaks	McSTAS			VITESS		
Collimated machines				I_{Tot}	R_{P_RMS}	$Q_{Norm} = \frac{I_{Tot}}{R_P^4}$	I_{Tot}	$A_{1/2_Av}$	$Q_{Norm} = \frac{I_{Tot}}{A_{1/2}^4}$
1a	D2B 5' / 10 cm	1.594	76	100	0.152	6.1	90	0.312	3.3
1b	D2B 5' / 30 cm	1.594	76	313	0.318	1.0	270	0.553	1.0
1c	D2B 10' / 10 cm	1.594	76	220	0.158	11.5	201	0.33	5.9
3	Stride <i>et al.</i>	1.50	84	940	0.245	8.5	1257	0.521	5.9
4a	Opt 1	1.594	76	48.6	0.096	18.7	45	0.202	9.4
4b	Opt 2	1.594	76	429	0.152	26.3	587	0.327	17.8
4c	Opt3	1.594	76	1850	0.192	44.5	1437	0.393	20.9
Open Geometry Machines									
2a	D20 PG002	2.41	81	132615	0.52	59.3	132122	1.81	4.3

2b	D20 Ge311	2.41	81	22446	0.2	458.6	20755	0.66	37.9
6a	Opt 1	1.54	81	10688	0.157	575.1	10009	0.343	250.5
6b	Opt 2	1.54	81	37842	0.246	337.8	37268	0.444	332.2
6c	Opt 3	1.54	81	120495	0.385	179.3	126130	0.625	286.3
8	MMPD	3.5	15	265362	0.0915	1.23×10^5	700509	1.438	56.7
9a	HiRes detector mask	1.54	81	15759	0.164	712.2	14389	0.358	303.4
9b	LoRes detector mask	1.54	81	139873	0.352	297.9	141864	0.617	339.1

505 **Table 2:** Summary of performance measures for simulations

506

507 **Appendix B: Instrument parameters used in the simulations**

508 This appendix presents parameters for the instruments modelled in the McSTAS and VITESS
509 simulations with the intention that they should permit duplication of the simulations described here.

510 D2B and D20 share the H11 beamtube at ILL and nearly all simulations use the following description.

511 The source is the sum of 3 Maxwellians with intensities I_i ($\text{n.cm}^{-2}.\text{s}^{-1}$) corresponding to temperatures
512 T_i in Kelvin $I_1=0.5874 \times 10^{13}$, $T_1=683.7$; $I_2=2.5094 \times 10^{13}$, $T_2=257.7$; $I_3=1.0343 \times 10^{12}$, $T_3=16.7$

513 Right handed Cartesian coordinates assume x horizontal, y vertical and z along the neutron beam axis.

514 The in-pile beamtube has total length 5.1511 m and is modelled by a series of apertures at distance Z
515 from the source

516	Source	$Z=0$	$R=0.11$ m
517	Win0	$Z=2.4621$	$R=0.11$ m
518	Octagonal slit	$Z=2.5121$	0.15 m wide
519		$Z=2.5121$	0.177 m wide rotated 45°
520	Win1	$Z=3.5321$	$R=0.0565$
521	Win2	$Z=4.0421$	$R=0.0555$
522	Win3	$Z=4.0871$	$R=0.0575$
523	Win4	$Z=4.9991$	$R=0.0575$
524	Win5	$Z=5.0061$	$R=0.0625$
525	Win6	$Z=5.1511$	$R=0.0625$

526 The D2B monochromator is 16.05 m from the source and preceded by a 0.70 m long collimator at
527 15.705 m from the source. This monochromator position is used for all collimated instruments except
528 that in figure 3.

529 The D20 monochromator is 17.2 m from the source and preceded by an optional 0.257 m long
530 collimator at 16.4 m from the source. This monochromator position is used for all open geometry
531 instruments except that in figure 8.

532 All McSTAS simulations were run using $Mn=1e8$ and using SPLIT 5 at the monochromator and
533 SPLIT 10 at the sample.

534 In all cases except figure 5a, the sample was an 8 mm diameter NAC cylinder 2 cm high. In figure
535 5a, the diameter was 2.5 mm.

536 Collimated instruments were modelled in 2 parts. The 1st part generated and saved a beam at a
537 distance 0.15 m after the sample at a scattering angle of $2\theta_s = -90^\circ$. This was used as the input to the
538 2nd part which modelled (usually) 128 collimator-detector pairs separated in $2\theta_s$ by 1.25 degrees. The
539 2nd part was run at 15 different angles separated by $5'$ to complete a scan. The final data was summed

540 and intensities divided by a factor of 15 to compensate for the time division in stepping the detector
541 bank.

542 Air attenuation was ignored in all simulations. No radial oscillating collimators were modelled.

543

544 Existing Instruments – the baseline

545 Figure 1 parameters: D2B as it is

546 Vertically focussed Ge 533 monochromator; $I(\theta_s)$ divided by 15 for detector steps

547 (a) $\theta_M = 67.5^\circ$; $\lambda = 1.594 \text{ \AA}$

548 $\{\alpha_1; \eta_M; \alpha_2; \alpha_3\} = \{5'; 12'; \text{Open } \approx 35'; 5'\}$ $\{R_M; \tau_1; \tau_3\} = \{0.40; 0.7; 0.5\}$

549 $\{L_1; L_2; L_3\} = \{16.05; 2.645; 1.3\}$ $\{2H_M; 2H_S; 2H_D\} = \{0.30; 0.02; 0.10\}$

550 $\{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{\infty; \infty; 12.0; 4.005\}$

551 The α_3 collimators here are 0.30 m long, 0.30 m high and 0.015 m wide. The low transmission as
552 measured is because of the very small α_3 . The α_1 collimator is 0.70 m long and so is allocated a
553 higher transmission.

554 (b) As for (a) but $2H_D=0.30$ m

555 (c) As for (a) but $\alpha_1 = 10'$; $\tau_1=0.8$

556

557 Figure 2 parameters: D20 as it is

558 (a) Vertically focussed PG002 monochromator; $\theta_M = -21^\circ$; $\lambda = 2.41 \text{ \AA}$; 0.05 m thick graphite filter

559 $\{\alpha_1; \eta_M; \alpha_2; \alpha_3\} = \{\text{Open } \approx 24'; 24'; \text{Open } \approx 42'; \text{PSD } 6'\}$ $\{R_M; \tau_1; \tau_3\} = \{0.70; 1.0; 1.0\}$

560 $\{L_1; L_2; L_3\} = \{17.2; 3.2; 1.47\}$ $\{2H_M; 2H_S; 2H_D\} = \{0.30; 0.02; 0.15\}$

561 $\{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{\infty; \infty; 13.15; 1.845\}$

562 (b) Vertically focussed Ge311 monochromator; $\theta_M = -45^\circ$; $\lambda = 2.41 \text{ \AA}$

563 $\{\alpha_1; \eta_M; \alpha_2; \alpha_3\} = \{\text{Open } \approx 24'; 24'; \text{Open } \approx 42'; \text{PSD } 6'\}$ $\{R_M; \tau_1; \tau_3\} = \{0.30; 1.0; 1.0\}$

564 $\{L_1; L_2; L_3\} = \{17.2; 3.2; 1.47\}$ $\{2H_M; 2H_S; 2H_D\} = \{0.30; 0.02; 0.15\}$

565 $\{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{\infty; \infty; 13.15; 3.64\}$

566 The value for R_M is lower here than for figure 1 because of the larger mosaic.

567

568 Figure 3 parameters: Stride *et al*'s CW PD

569 Stride *et al.* showed using MC simulations that a given TOF PD is superior to a given CWPD

570 by a factor between $\times 4$ and $\times 13$. Their CW PD model is simulated here for comparison to the

571 optimized configurations which are more than a factor 13 better. Note that this does not prove an

572 inherent superiority for either instrument type. The source used here is the ILL H11 Maxwellian.

573 The beamtube is $6 \times 10 \text{ cm}^2$ and the monochromators is a $20 \times 20 \text{ cm}^2$ VFM.

574 Here 61 collimator-detector pairs are separated in $2\theta_s$ by 2.5 degrees. The 2nd instrument part was run

575 at 30 different angles separated by $5'$ to complete a scan. The final data were summed and intensities

576 divided by a factor of 30 to compensate for the time division in stepping the detector bank. A test

577 showed that using the D2B beamtube and monochromator position makes no difference to the data.

578 $\theta_M = 45^\circ$; $\lambda = 1.5 \text{ \AA}$ VFM Ge511

579 $\{\alpha_1; \eta_M; \alpha_2; \alpha_3\} = \{12'; 12'; \text{Open}; 12'\}$ $\{R_M=0.30; \tau_{\alpha 1}=\tau_{\alpha 3}=0.85\}$

580 The value of R_M matches that used by Stride *et al.* but differs from that used in figure 1 and therefore

581 the simulated detector intensities have been multiplied by $4/3$ to match the value ($R_M=0.4$) used

582 elsewhere. $\tau_{\alpha 3}$ at 0.85 is much larger than the transmission for comparable collimators modelled

583 elsewhere in this work so that intensities have also been multiplied by $0.7 / 0.85$ to compensate.

584 $\{L_1; L_2; L_3\} = \{10; 2.0; 1.5\}$ $\{2H_M; 2H_S; 2H_D\} = \{0.20; 0.02; 0.10\}$

585 61 ($2.54 \times 10 \text{ cm}^2 \text{ W} \times \text{H}$) detectors with $12'$ collimators 2.5° apart covering 150° and with $30 \times 0.0833^\circ$
586 steps. Note that the $2\theta_S$ range here is smaller than in figure 1. Here the intensities are increased but
587 the peak widths $A_{1/2}$ increase sharply above $2\theta_S = 45^\circ$, a consequence of the small θ_M used with a
588 horizontally flat monochromator.
589

590 **Optimized Instruments – (Optimized for samples of cubic structure)**

591 **Figure 4 parameters: Optimized CW PD using collimators and VFM**

592 For all three models

593 Ge 533 VFM; $\theta_M = 67.5^\circ$; $\lambda = 1.594 \text{ \AA}$; $I(\theta_S)$ divided by 15 for detector steps

594 $\{L_1; L_2; L_3\} = \{16.05; 2.645; 1.3\}$ $\{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{\infty; \infty; 12.0; 4.005\}$
595

596 (a) $\{\alpha_1; \eta_M; \alpha_2; \alpha_3\} = \{10.23'; 6.86'; 10.43'; 5'\}$ $\{R_M; \tau_{\alpha_1}; \tau_{\alpha_2}; \tau_{\alpha_3}\} = \{0.40; 0.85; 0.7; 0.5\}$
597 Because the flight paths themselves provide some collimation, to achieve a FWHM of $10.23'$
598 requires an α_1 Soller collimator of FWHM $11.6'$. Similarly, the α_2 collimator modelled had
599 FWHM $10.92'$.

600 $\{2H_M; 2H_S; 2H_D\} = \{0.22; 0.02; 0.10\}$

601 (b) $\{\alpha_1; \eta_M; \alpha_2; \alpha_3\} = \{16.23'; 10.90'; 16.55'; 7.94'\}$ $\{R_M; \tau_{\alpha_1}; \tau_{\alpha_2}; \tau_{\alpha_3}\} = \{0.40; 0.9; 0.8; 0.65\}$
602 The simulations used Soller collimators of FWHM $\alpha_1 = 24'$; $\alpha_2 = 18.8'$

603 $\{2H_M; 2H_S; 2H_D\} = \{0.278, 0.02, 0.127\}$

604 (c) $\{\alpha_1; \eta_M; \alpha_2; \alpha_3\} = \{20.46'; 13.72'; 20.86'; 10'\}$ $\{R_M; \tau_{\alpha_1}; \tau_{\alpha_2}; \tau_{\alpha_3}\} = \{0.40; 1.0; 0.85; 0.7\}$
605 The simulations used a Soller collimator α_2 of FWHM $\alpha_2 = 26'$ and an open beamtube for α_1
606 giving a FWHM of about $22'$.

607 $\{2H_M; 2H_S; 2H_D\} = \{0.312, 0.02, 0.142\}$
608

609 **Figure 5 parameters: Optimized CW PD using open geometry and DFM**

610 Ge 533 DFM; $\theta_M = -45^\circ$; $\lambda = 1.594 \text{ \AA}$

611 (a) $\{\eta_M; \alpha_3\} = \{12'; 6' \text{ PSD}\}$ $\{\alpha_1; \alpha_2\} = \{4.14'; 12.25'\}$ $\{R_M\} = \{0.40\}$

612 The detector is a 1600 wire PSD of radius 1.47 m with wire spacing 2.56 mm giving a $6'$ angular
613 separation. We expected that the effective α_3 collimation should include sample and detector widths,
614 $2W_S$ and W_D , so that $\alpha_3 \approx \text{atan}\{(2W_S + W_D) / 2L_3\}$

615 $\{L_1; L_2; L_3\} = \{17.2; 3.2; 1.47\}$ $\{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{7.31; 6.3; 13.15; 3.64\}$

616 $\{2H_M; 2H_S; 2H_D\} = \{0.242; 0.02; 0.110\}$ $\{2W_V; 2W_M; 2W_S\} = \{0.0124; 0.0141; 0.0025\}$

617 (b) As for (a) but $2W_S = 0.008$ so effectively $\{\alpha_2; \alpha_3\} = \{11.9'; 12.3'\}$
618

619 **Figure 6 parameters: Open geometry DFM CW PD Optimized at various**
620 **resolutions**

621 All figure 6 simulations use a “Ge 511” DFM at $\theta_M = -45^\circ$, $\lambda = 1.540 \text{ \AA}$

622 and $\{L_1, L_2, L_3\} = \{17.2, 3.0, 1.5\}$ $\{L_{1_VH}, L_{1_VV}, R_{MH}, R_{MV}\} = \{6.850, 13.15, 5.90, 3.455\}$

623 There is flexibility in the optimal choice of η_M / α_1 and this was used to set the monochromator
624 mosaic to $12'$ (to match that used now on D2B) and a value of $R_M = 0.40$ was assumed.

625 The detector is a 1600 wire PSD of radius 1.47 m with wire spacing 2.56 mm giving a $6'$ angular
626 separation. This instrument is the same for figures 6a, 6b and 6c with adjusted slit widths.
627

628 (a) Here the parameters were chosen to give a value for R_{P_RMS} of 0.157 to match that for figure 1a.
 629 Therefore,

$$630 \quad \{(4\alpha_1^{-2} + \eta_M^{-2})^{-1/2}, \alpha_2, \alpha_3\} = \{2.593', 13.59', 7.64'\} \quad \phi = 0.0427 = 2.45^\circ$$

$$631 \quad \{2H_M, 2H_S, 2H_D\} = \{0.256, 0.02, 0.127\} \quad \{2W_V, 2W_M, 2W_S\} = \{0.0150, 0.0168, 0.008\}$$

632 The slit widths were adjusted by factors of $\sqrt{2}$ to allow for the rectangular variation of transmission
 633 with angular divergence expected for the open beam tubes used here. The optimisation requires that
 634 $(4\alpha_1^{-2} + \eta_M^{-2})^{-1/2} = 2.593'$, so setting $\eta_M = 12'$ gives $\alpha_1 = 5.31'$ and $2W_V = (\sqrt{2} \cdot \alpha_1 \cdot L_{1_VH}) = 0.015$ m
 635 $\alpha_2 = 13.59'$ giving $2W_M = (\sqrt{2} \cdot \alpha_2 \cdot L_2) = 0.0168$
 636

637 (b) Optimised medium resolution CWPD. Here the optimisation parameters were derived assuming
 638 that $\alpha_3 = 12'$

$$639 \quad \{(4\alpha_1^{-2} + \eta_M^{-2})^{-1/2}, \alpha_2, \alpha_3\} = \{4.077', 21.37', 12'\} \quad \phi = 0.0536 = 3.07^\circ$$

$$640 \quad \{2H_M, 2H_S, 2H_D\} = \{0.321, 0.02, 0.160\} \quad \{2W_V, 2W_M, 2W_S\} = \{0.0244, 0.0264, 0.008\}$$

$$641 \quad (4\alpha_1^{-2} + \eta_M^{-2})^{-1/2} = 4.077' \text{ Choosing } \eta_M = 12' \text{ gives } \alpha_1 = 8.67'$$

642 This instrument is the same as that for figure 6a with the only differences being adjusted slit widths.
 643

644 (c) Optimised high intensity CWPD. Here the optimisation parameters were derived assuming that
 645 $\alpha_3 = 18'$. This corresponds to $2H_D = 0.20$

$$646 \quad \{(4\alpha_1^{-2} + \eta_M^{-2})^{-1/2}, \alpha_2, \alpha_3\} = \{6.37', 33.4', 18.75'\}$$

$$647 \quad \{2H_M, 2H_S, 2H_D\} = \{0.401, 0.02, 0.200\} \quad \{2W_V, 2W_M, 2W_S\} = \{0.0424, 0.0412, 0.008\}$$

$$648 \quad (4\alpha_1^{-2} + \eta_M^{-2})^{-1/2} = 6.37' \text{ and choosing } \eta_M = 12' \text{ gives } \alpha_1 = 15.05'.$$

649

650 **Figure 7 parameters: Open geometry DFM CW PD Optimized for small $2\theta_S / d_S$**
 651 **range**

652 (a) “Ge511” DFM with $\theta_M = -45^\circ$; $\lambda = 1.594 \text{ \AA}$

653 The optimisation was applied here over a scattering angle range $80^\circ < 2\theta_S < 100^\circ$

654 Here a Soller collimator is used to define the beam angular spread before the monochromator

$$655 \quad \{\alpha_1; \eta_M; \alpha_3\} = \{6.4'; 12'; 6' \text{ PSD}\} \quad \{R_M; \tau_{\alpha 1}\} = \{0.40; 0.75\}$$

$$656 \quad \{L_1; L_2; L_3\} = \{17.2; 3.2; 1.47\} \quad \{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{\infty; 9.05; 13.15; 3.64\}$$

$$657 \quad \{2H_M; 2H_S; 2H_D\} = \{0.299; 0.02; 0.136\} \quad \{2W_V; 2W_M; 2W_S\} = \{0.2; 0.0789; 0.008\}$$

658

659 (b) PG002 DFM with $\theta_M = -21^\circ$; $\lambda = 2.41 \text{ \AA}$ $\{R_M\} = \{0.70\}$

660 The optimisation was applied here over a sample d -spacing range $2 \text{ \AA} < d_S < 3 \text{ \AA}$

$$661 \quad \{\eta_M; \alpha_3\} = \{24'; 6' \text{ PSD}\} \quad \{L_1; L_2; L_3\} = \{17.2; 3.2; 1.47\}$$

$$662 \quad \{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{8.48; 12.97; 13.15; 1.84\}$$

$$663 \quad \{2H_M; 2H_S; 2H_D\} = \{0.26; 0.02; 0.118\} \quad \{2W_V; 2W_M; 2W_S\} = \{0.0134; 0.0535; 0.008\}$$

664

665 **Figure 8 parameters: Open geometry DFM MMPD optimized for $\kappa < 3.8 \text{ \AA}^{-1}$**

666 This instrument is sited on the ILL horizontal cold source tube H5; radius = 0.21

$$667 \quad I_1 = 1.022 \times 10^{13}, T_1 = 413.5; \quad I_2 = 3.44 \times 10^{13}, T_2 = 145.8; \quad I_3 = 2.78 \times 10^{13}, T_3 = 40.1 \text{ K}$$

668 The layout is simplified: Source; 2.155 m gap

669 The monochromator is 1 m from the end of a 16.313 m long, $6 \times 20 \text{ cm}^2$, $m=2$ guide.

670 PG002 DFM $\theta_M = -31.4^\circ$; $\lambda = 3.5 \text{ \AA}$; $\{R_M\} = \{0.80\}$

671 $\{\alpha_1; \eta_M; \alpha_3\} = \{\text{Open (ie guide so } \pm 21^\circ); 24'; 6' \text{ 1601 wire PSD}\}$

672 $\{L_1\} \{L_2; L_3\} = \{2.5+15+1.0\} \{2.5; 1.5\} \quad \{L_{1_VH}; R_{MH}; L_{1_VV}; R_{MV}\} = \{\infty; 3.972; \infty; 2.605\}$

673 $\{2H_M; 2H_S; 2H_D\} = \{0.20; 0.02; 0.18\} \quad \{2W_V; 2W_M; 2W_S\} = \{0.06; 0.06; 0.008\}$

674

675 **Figure 9 parameters: Optimized Open geometry DFM CW PD – Orange Peel**
676 **detector mask**

677 (a) “Ge 511” DFM $\{\theta_M, \lambda, R_M\} = \{-45^\circ, 1.54 \text{ \AA}, 0.40\}$ $\phi_2 = 0.0423 = 2.42^\circ$ $\phi_{3_90} = 0.0719 = 4.12^\circ$

678 1601 wire banana detector $10^\circ < 2\theta_S < 170^\circ$ 3.33 mm spacing, 8' collimation

679 $\{L_1, L_2, L_3\} = \{17.2, 3.0, 1.5\}$ $\{2H_M, 2H_S, 2H_{D_90}\} = \{0.253, 0.02, 0.215\}$

680 $\{(4\alpha_1^{-2} + \eta_M^{-2})^{-1/2}, \alpha_2, \alpha_3\} = \{2.72', 14.24', 8'\}$

681 $\{L_{1_VH}, L_{1_VV}, R_{MH}, R_{MV}\} = \{6.85, 13.15, 5.901, 3.455\}$ $\{2W_V, 2W_M, 2W_S\} = \{0.0157, 0.0176, 0.008\}$

682 Choose $\eta_M = 12'$ so $\alpha_1 = 5.58'$ and $2W_V = (\sqrt{2} \cdot \alpha_1 \cdot L_{1_VH}) = 0.0157$.

683 $\alpha_2 = 14.24'$ so $2W_M = (\sqrt{2} \cdot \alpha_2 \cdot L_2) = 0.0176$

684

685 (b) As for figure 9a but

686 $\{(4\alpha_1^{-2} + \eta_M^{-2})^{-1/2}, \alpha_2, \alpha_3\} = \{6.11', 32.05', 18'\}$ $\phi_2 = 0.0635 = 3.64^\circ$ $\phi_{3_90} = 0.108 = 6.18^\circ$

687 And again choosing $\eta_M = 12'$

688 $\{2W_V, 2W_M, 2W_S\} = \{0.040, 0.0396, 0.008\}$ $\{2H_M, 2H_S, 2H_{D_90}\} = \{0.380, 0.02, 0.323\}$

689

Figure

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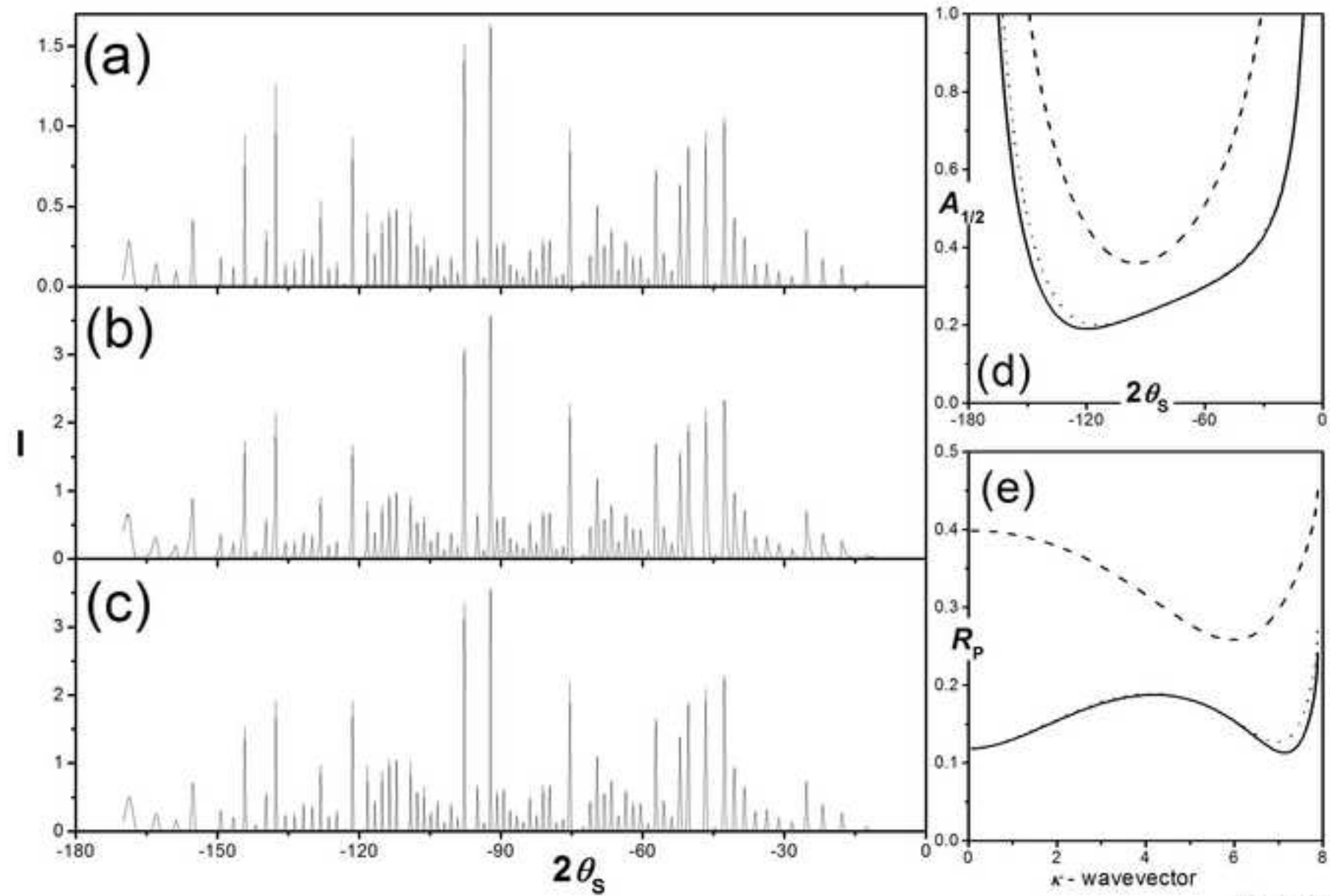


Figure 1

Figure

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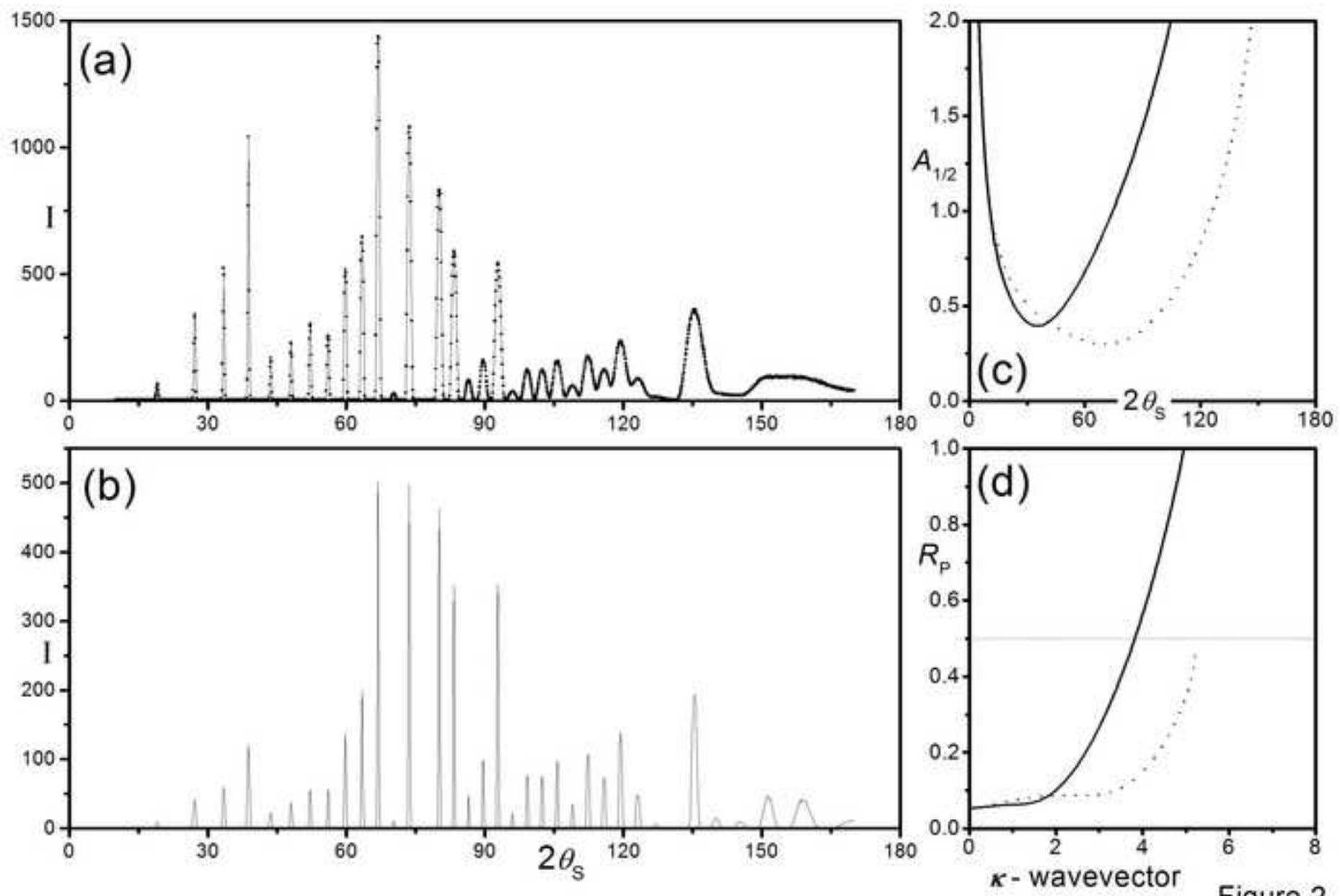


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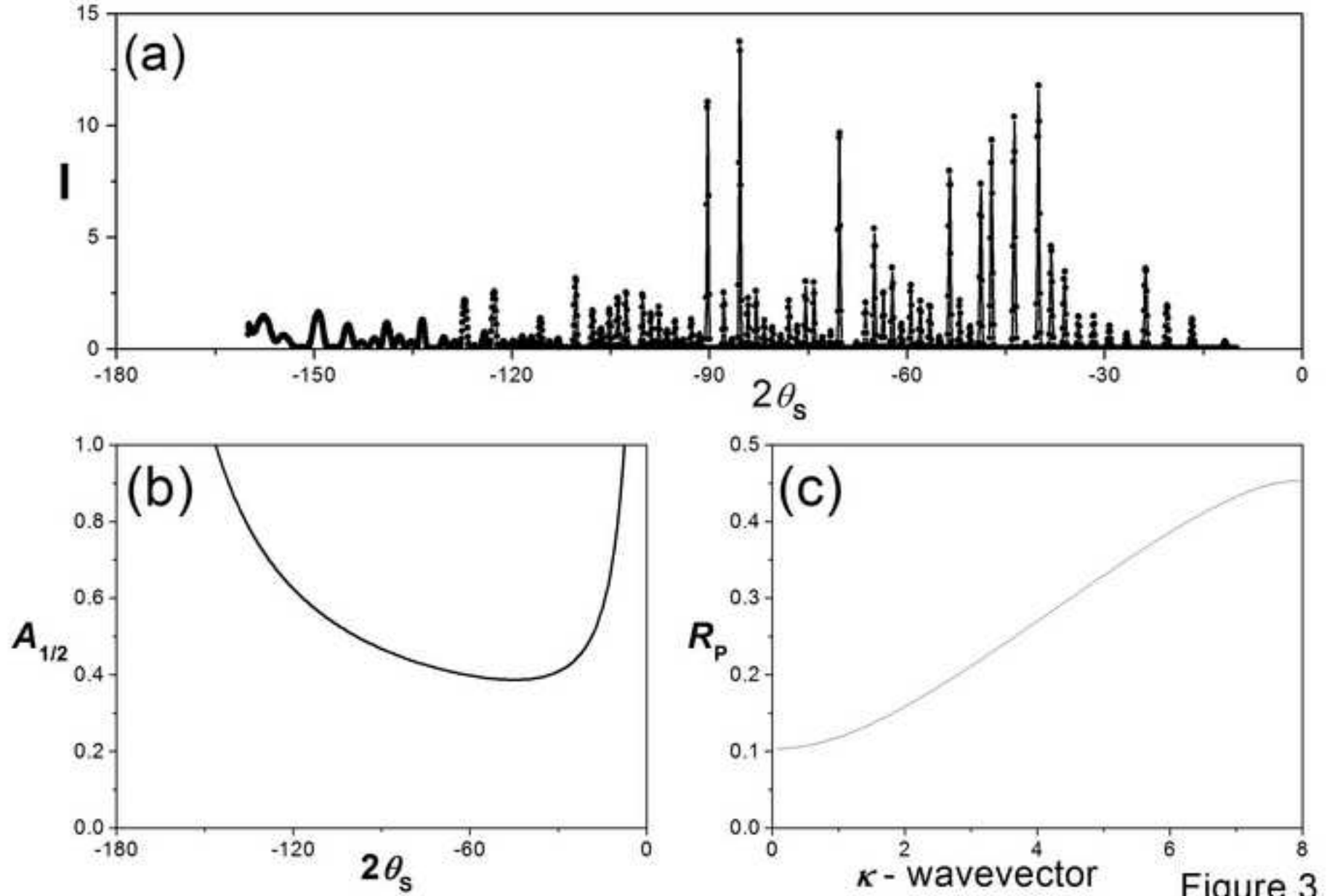


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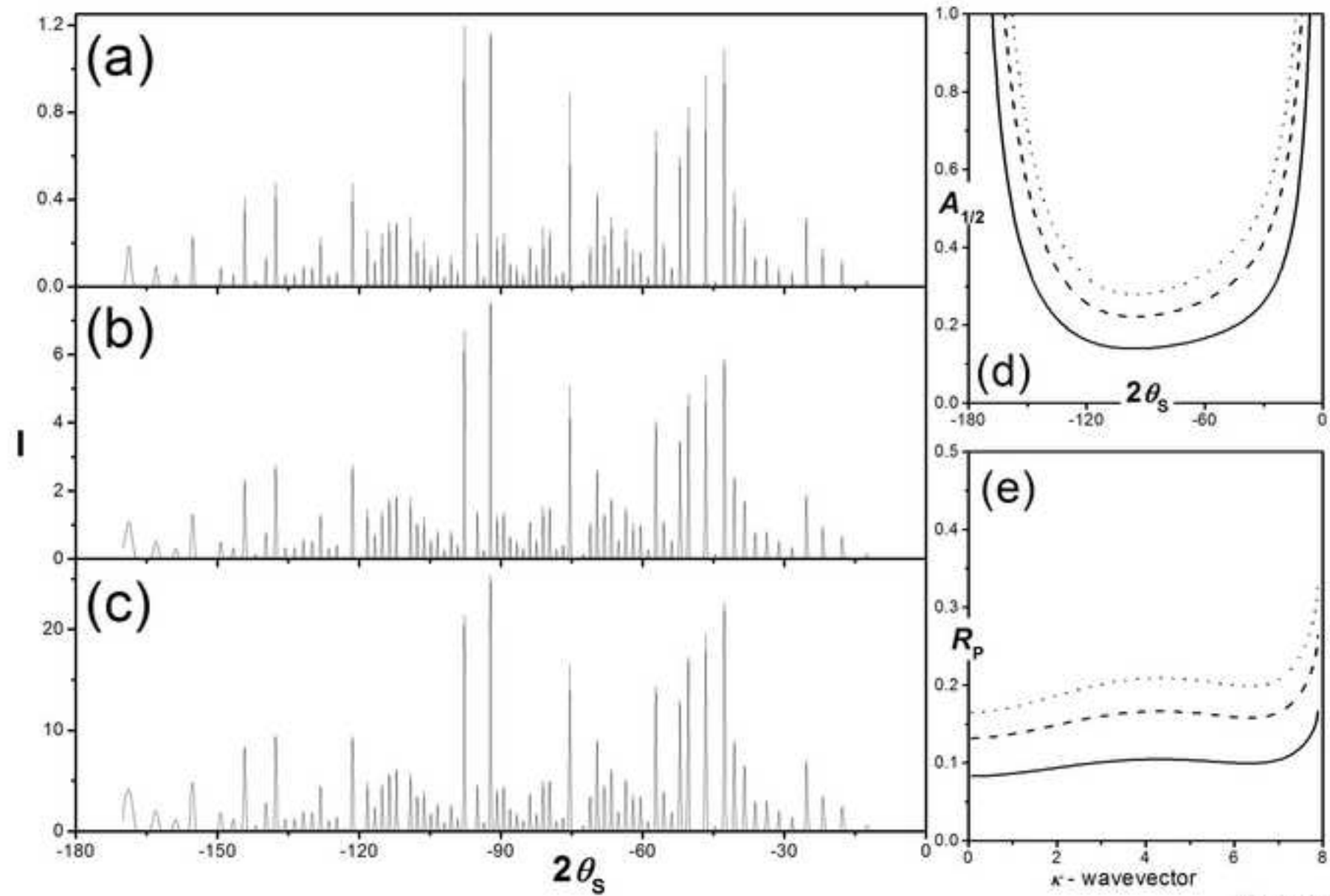


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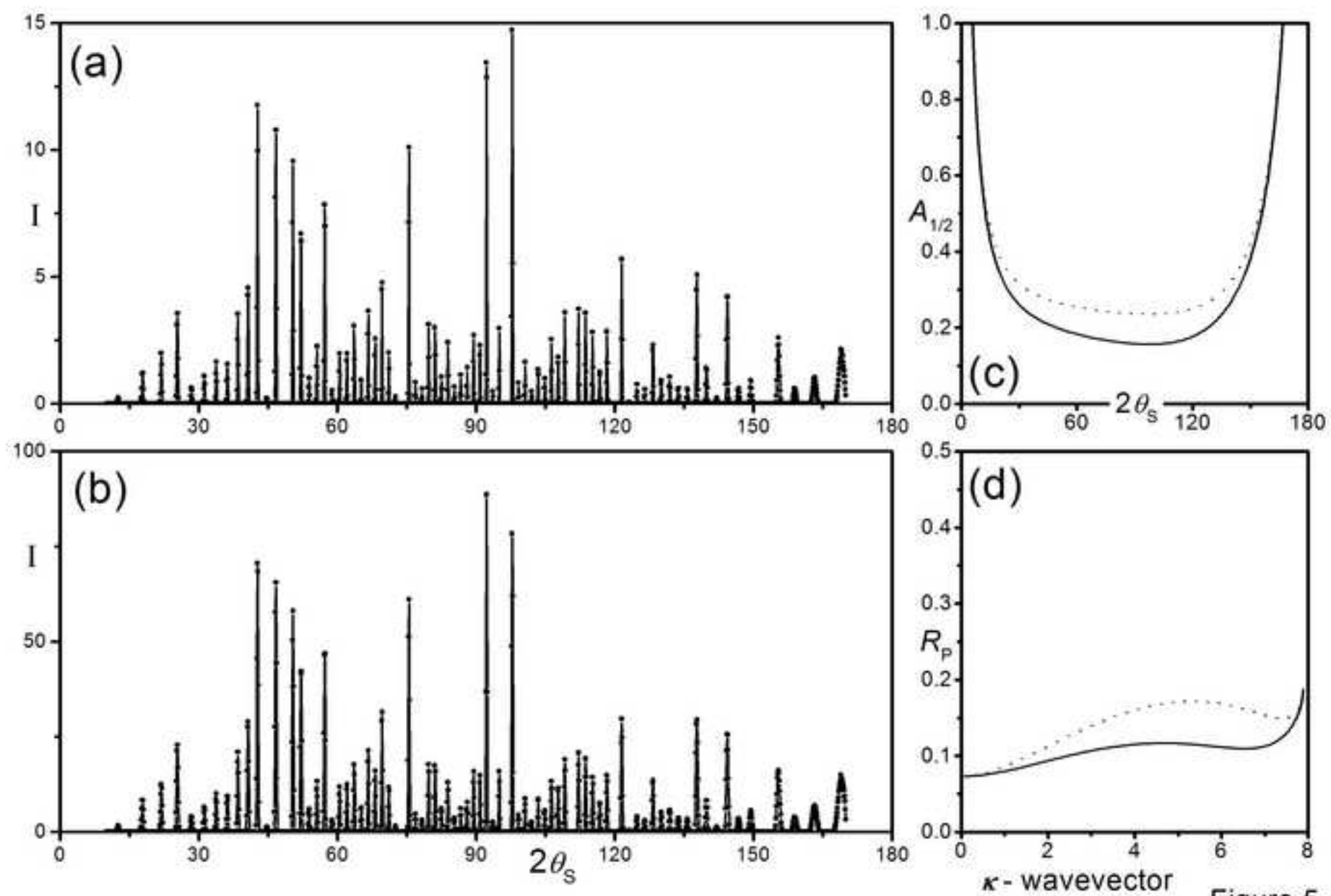


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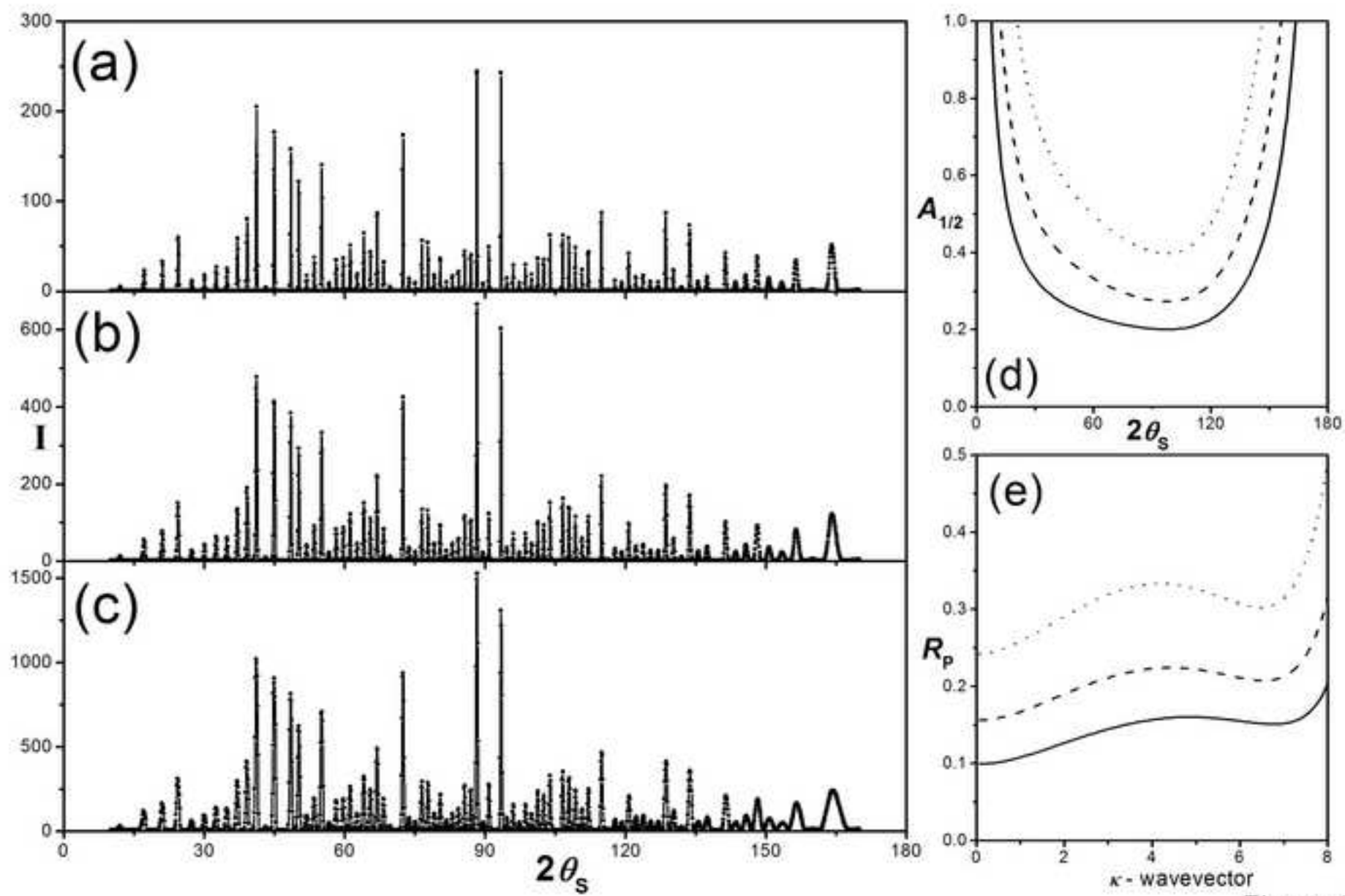


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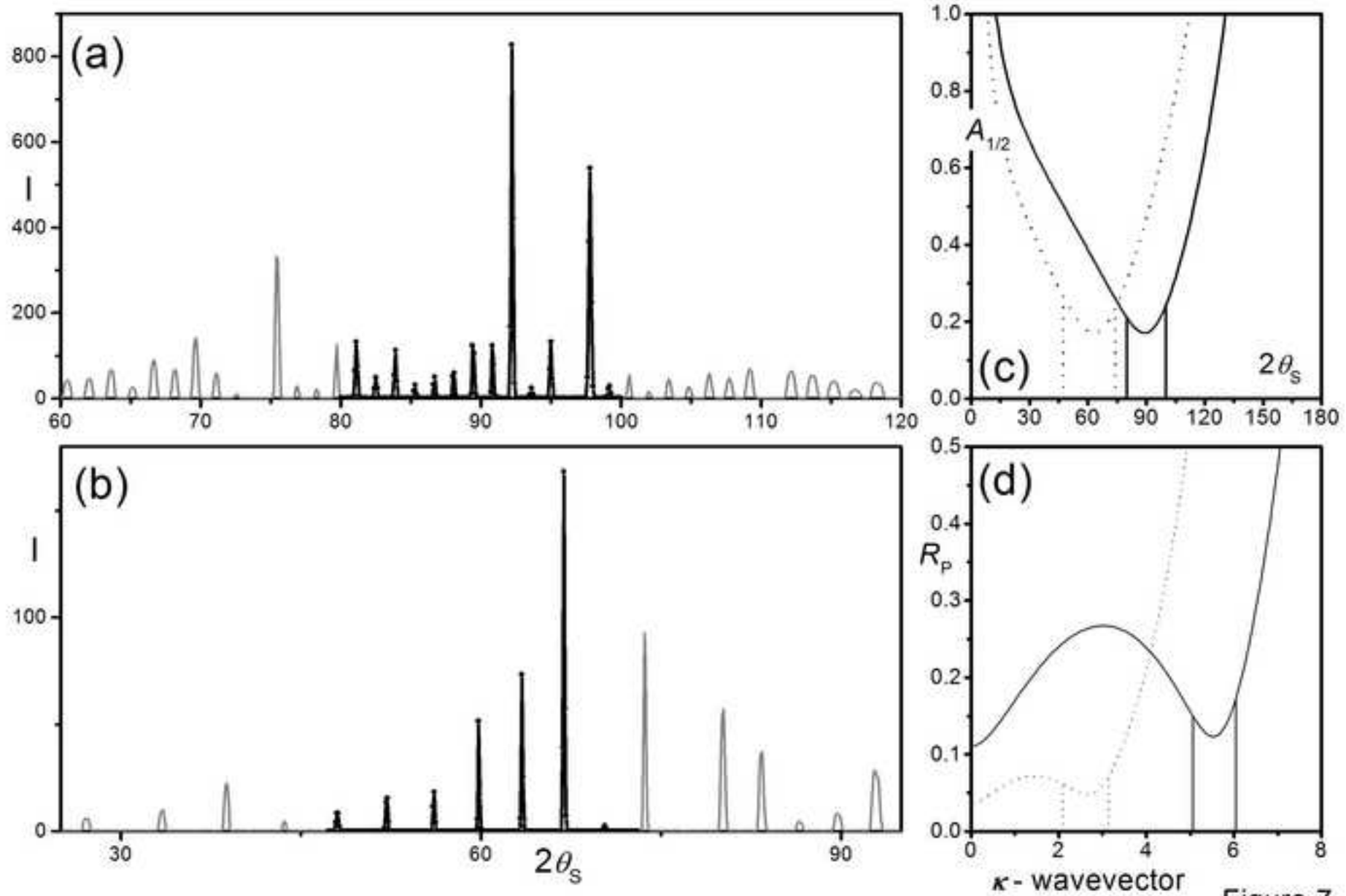


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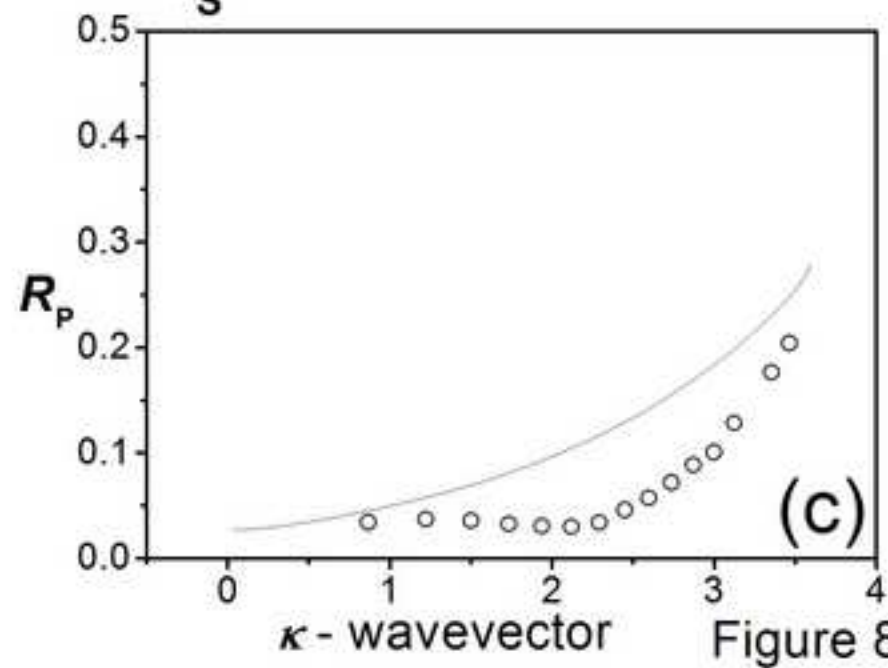
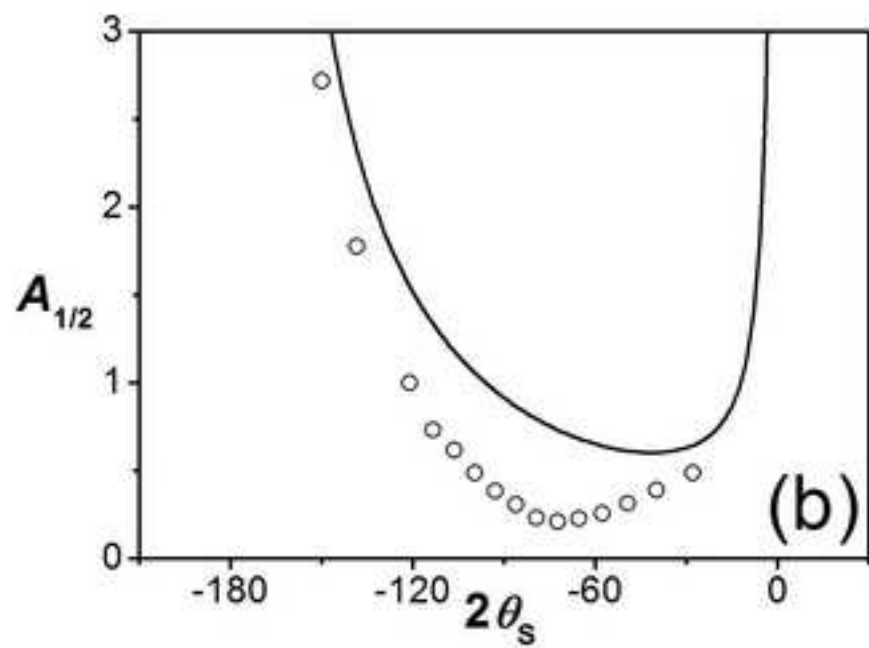
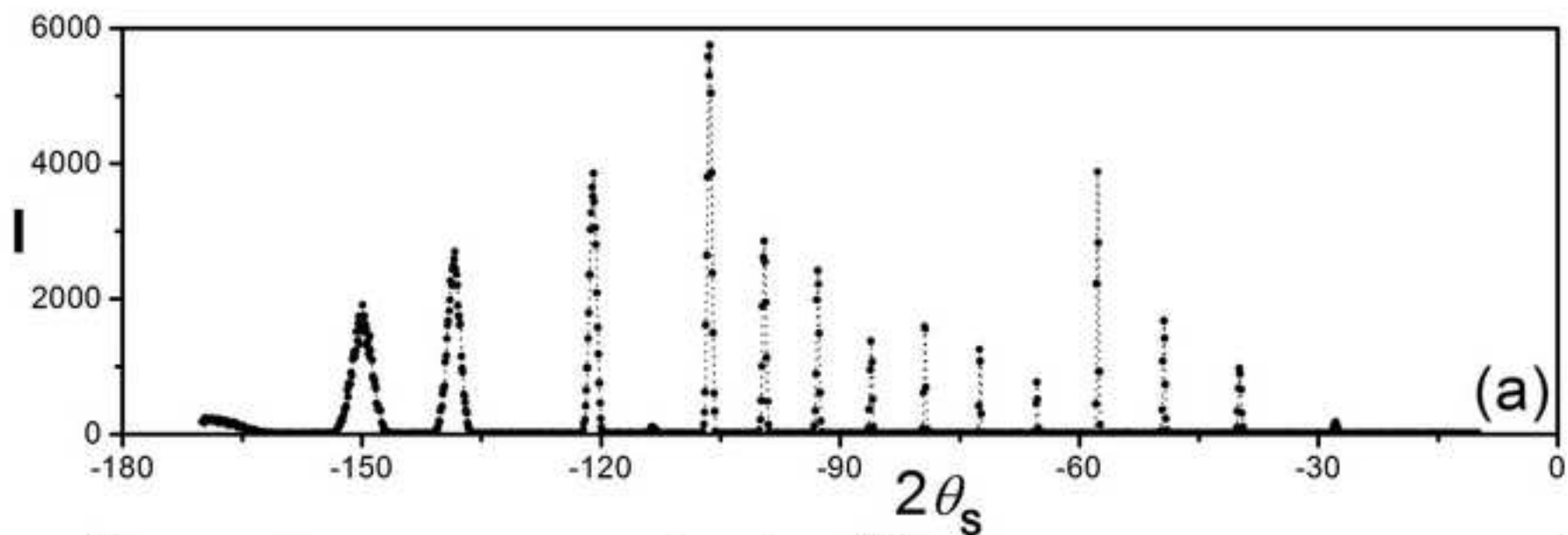


Figure 8

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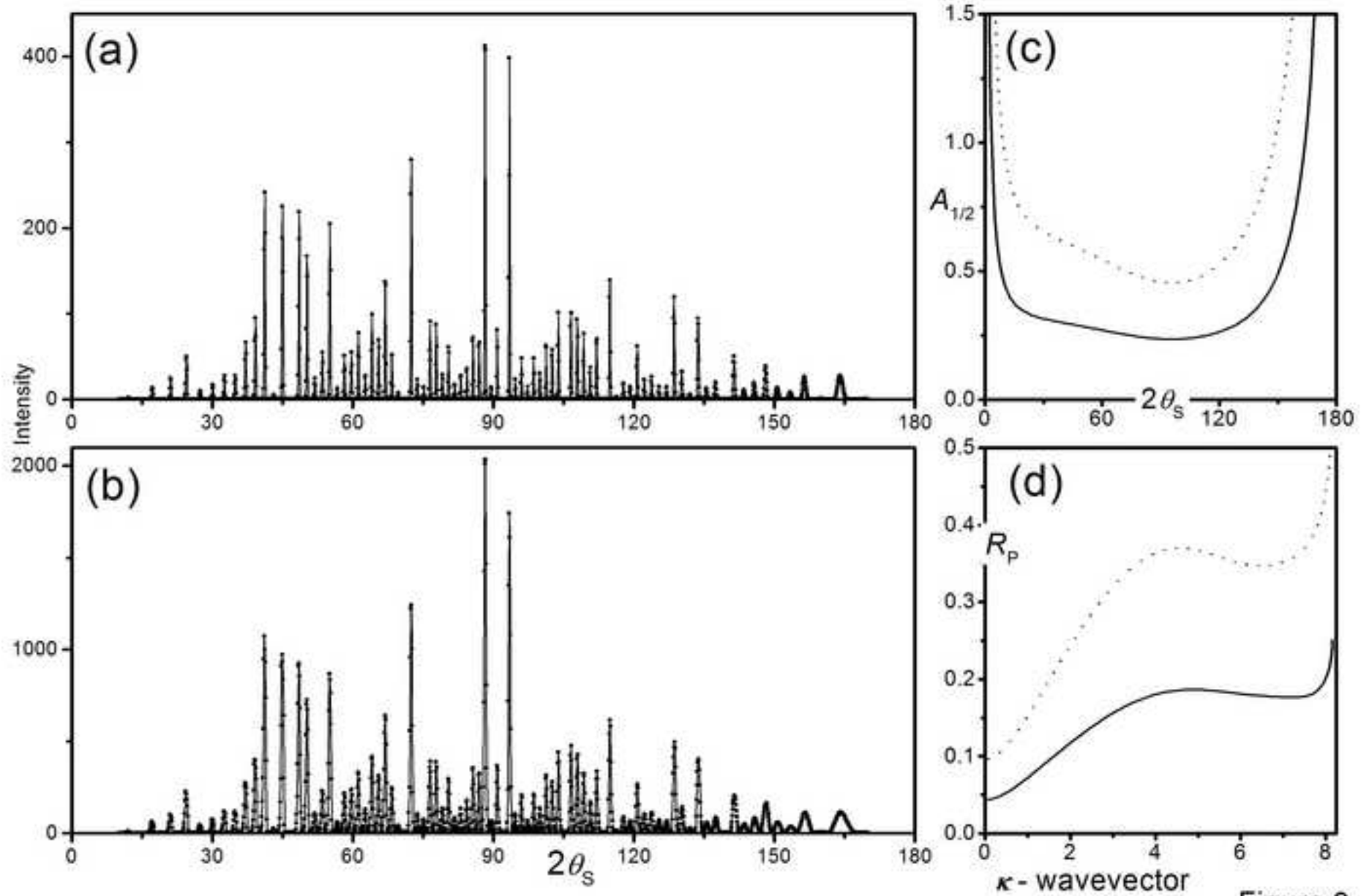


Figure 9